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Users are cautioned that California Department of Transportation (Department) does not assume any liability or responsibility based on these electronic files or for any defective or incomplete copying, exerpting, scanning, faxing or downloading of the contract documents. As always, for the official paper versions of the bidders packages and non-bidder packages, including addenda write to the California Department of Transportation, Plans and Bid Documents, Room 0200, P.O. Box 942874, Sacramento, CA 94272-0001, telephone (916) 654-4490 or fax (916) 654-7028. Office hours are 7:30 a.m. to 4:15 p.m. When ordering bidder or non-bidder packages it is important that you include a telephone number and fax number, P.O. Box and street address so that you can receive addenda.





# STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

# NOTICE TO CONTRACTORS INSTRUCTIONS TO BIDDERS GENERAL CONDITIONS AND

# SPECIAL PROVISIONS

FOR BUILDING CONSTRUCTION IN

LOS ANGELES AND VENTURA COUNTIES AT VARIOUS LOCATIONS

CONTRACT NO. 07-215804 07-Ven,LA-L5724, 5751, 5744, 5721, 5753

Bids Open: September 26, 2002 Dated: August 26, 2002

#### \*

# IMPORTANT SPECIAL NOTICES

#### Payment Bonds

Attention is directed to Section 2-1.03, "Contract Bonds," of the Instructions to Bidders, regarding contract bonds. The payment bond shall be in a sum not less than one hundred percent of the total amount payable by the terms of the contract.

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#### DEPARTMENT OF TRANSPORTATION

NOTICE TO CONTRACTORS

#### CONTRACT NO. 07-215804 07-Ven,LA-L5724, 5751, 5744, 5721, 5753

Sealed proposals for the work shown on the plans entitled:

### STATE OF CALIFORNIA; DEPARTMENT OF TRANSPORTATION; PROJECT PLANS FOR BUILDING CONSTRUCTION IN LOS ANGELES AND VENTURA COUNTIES AT VARIOUS LOCATIONS

will be received at the Department of Transportation, 3347 Michelson Drive, Suite 100, Irvine, CA 92612-1692, until 2 o'clock p.m. on September 26, 2002, at which time they will be publicly opened and read in Room C - 1116 at the same address.

Proposal forms for this work are included in a separate book entitled:

## STATE OF CALIFORNIA; DEPARTMENT OF TRANSPORTATION; PROPOSAL AND CONTRACT FOR BUILDING CONSTRUCTION IN LOS ANGELES AND VENTURA COUNTIES AT VARIOUS LOCATIONS

General work description: Construct Washrack Systems at the Ventura, Camarillo, Hollywood, Moorpark, and Ojai Maintenance Stations.

This project has a goal of 3 percent disabled veteran business enterprise (DVBE) participation.

No prebid meeting is scheduled for this project.

Bids are required for the entire work described herein.

At the time this contract is awarded, the Contractor shall possess either a Class A license or Class B license or a combination of Class C licenses which constitutes a majority of the work.

The Contractor must also be properly licensed at the time the bid is submitted, except that on a joint venture bid a joint venture license may be obtained by a combination of licenses after bid opening but before award in conformance with Business and Professions Code, Section 7029.1.

This contract is subject to state contract nondiscrimination and compliance requirements pursuant to Government Code, Section 12990.

Preference will be granted to bidders properly certified as a "Small Business" as determined by the Department of General Services, Office of Small Business Certification and Resources at the time of bid opening in conformance with the provisions in Division 0.027, "Small Business Preference," of the special provisions, and Section 1896 et seq, Title 2, California Code of Regulations. A form for requesting a "Small Business" preference is included with the bid documents. Applications for status as a "Small Business" must be submitted to the Department of General Services, Office of Small Business Certification and Resources, 1531 "I" Street, Second Floor, Sacramento, CA 95814, Telephone No. (916) 322-5060.

A reciprocal preference will be granted to "California company" bidders in conformance with Section 6107 of the Public Contract Code. (See Divisions 2 and 3 of the special provisions.) A form for indicating whether bidders are or are not a "California company" is included in the bid documents and is to be filled in and signed by all bidders.

Project plans, special provisions, and proposal forms for bidding this project can only be obtained at the Department of Transportation, Plans and Bid Documents, Room 0200, MS #26, Transportation Building, 1120 N Street, Sacramento, California 95814, FAX No. (916) 654-7028, Telephone No. (916) 654-4490. Use FAX orders to expedite orders for project plans, special provisions and proposal forms. FAX orders must include credit card charge number, card expiration date and authorizing signature. Project plans, special provisions, and proposal forms may be seen at the above Department of Transportation office and at the offices of the District Directors of Transportation at Irvine, Oakland, and the district in which the work is situated.

Cross sections for this project are not available.

The successful bidder shall furnish a payment bond and a performance bond.

Pursuant to Section 1773 of the Labor Code, the general prevailing wage rates in the county, or counties, in which the work is to be done have been determined by the Director of the California Department of Industrial Relations. These wages are set forth in the General Prevailing Wage Rates for this project, available at the Labor Compliance Office at the offices of the District Director of Transportation for the district in which the work is situated, and available from the California Department of Industrial Relations' Internet Web Site at: http://www.dir.ca.gov. Future effective general prevailing wage rates which have been predetermined and are on file with the Department of Industrial Relations are referenced but not printed in the general prevailing wage rates.

DEPARTMENT OF TRANSPORTATION

Deputy Director Transportation Engineering

Dated August 26, 2002

**MCT** 

#### STATE OF CALIFORNIA

#### DEPARTMENT OF TRANSPORTATION

# INSTRUCTIONS TO BIDDERS AND GENERAL CONDITIONS FOR BUILDING CONSTRUCTION

**JANUARY 2002** 

Issued by
DEPARTMENT OF TRANSPORTATION



#### INSTRUCTIONS TO BIDDERS

#### **SECTION 1**

#### PROPOSAL REQUIREMENTS AND CONDITIONS

#### 1-1.01 **GENERAL**

- The bidder shall carefully examine the instructions contained herein and shall be satisfied as to the conditions with which the bidder must comply prior to bid and to the conditions affecting the award of contract.
- These instructions form a part of the contract documents.
- Attention is directed to Section 1-1.01, "General," of the General Conditions regarding the use of masculine gender pronouns in these Instructions to Bidders.

#### 1-1.02 CONTRACTOR'S LICENSING LAWS

- Attention is directed to the provisions of Chapter 9 of Division 3 of the Business and Professions Code concerning the licensing of contractors.
- All bidders and contractors shall be licensed in conformance with the laws of this State and any bidder or contractor not so licensed is subject to the penalties imposed by those laws.
- Attention is also directed to the requirements in Public Contract Code Section 10164. In all projects where Federal funds are involved, the Contractor shall be properly licensed at the time the contract is awarded.

#### 1-1.03 EXAMINATION OF PLANS, SPECIAL PROVISIONS AND SITE OF THE WORK

- The bidder shall examine carefully the site of the work contemplated, the plans and special provisions and these instructions to bidders and contract forms therefor. The submission of a bid shall be conclusive evidence that the bidder has investigated and is satisfied as to the conditions to be encountered, as to the character, quality, and scope of work to be performed, the quantities of materials to be furnished, and as to the requirements of these instructions to bidders, plans, special provisions, and the contract.
- Where the Department has made investigations of site conditions, including subsurface conditions in areas where work is to be performed under the contract, bidders or Contractors may, upon written request, inspect the records of the Department as to those investigations subject to and upon the conditions hereinafter set forth.
- Where there has been prior construction by the Department or other public agencies within the project limits, records of the prior construction that are currently in the possession of the Department and which have been used by, or are known to, the designers and administrators of the project will be made available for inspection by bidders or Contractors, upon written request, subject to the conditions hereinafter set forth. Those records may include, but are not limited to, as-built drawings, design calculations, foundation and site studies, project reports and other data assembled in connection with the investigation, design, construction and maintenance of those prior projects.
- Inspection of those records of investigations and project records may be made at the office of the district in which the work is situated, or in the case of records of investigations related to structure work, at the Transportation Laboratory, Sacramento, California. The records of investigations and project records are not a part of the contract and are available solely for the convenience of the bidder or contractor. It is expressly understood and agreed that the Department assumes no responsibility whatsoever in respect to the sufficiency or accuracy of the investigations thus made, the records thereof, or of project records, or of the interpretations set forth therein or made by the Department in its use thereof and there is no warranty or guaranty, either express or implied, that the conditions indicated by the investigations or records are representative of those existing in or throughout those areas, or any part thereof, or that unlooked-for developments may not occur, or that materials other than, or in proportions different from those indicated, may not be encountered.
- No information derived from the inspection of investigations or compilation thereof made by the Department or from the Engineer, or his assistants, will in any way relieve the bidder or contractor from any risk or from properly fulfilling the terms of the contract.

#### 1-1.04 PROPOSAL FORMS

- The Department will furnish to each bidder a standard proposal form, which, when filled out and executed may be submitted as that bidder's bid. Bids not presented on forms so furnished, and copies or facsimiles of the bidder's completed and executed proposal forms submitted as a bid will be rejected.
- The proposal form is bound together with the contract in a book entitled "Proposal and Contract." The proposal shall set forth the bid price, in clearly legible figures, in the space provided, and shall be signed by the bidder, who shall fill out all blanks in the proposal form as therein required.
- The proposal shall be submitted as directed in the "Notice to Contractors" under sealed cover plainly marked as a proposal, and identifying the project to which the proposal relates and the date of the bid opening therefor. Proposals which are not properly marked may be disregarded.

- All proposal forms other than for "District Opening" projects shall be obtained from the Department of Transportation, Plans and Bid Documents, Room 0200, Transportation Building, 1120 N Street, California 95814, or as otherwise designated in the "Notice to Contractor."
- Proposals for "District Opening" projects shall be made on forms obtained from the District Director of Transportation in whose district the work is to be performed, but in all other respects the provisions in this Section 1-1.04 shall apply.

#### 1-1.05 REQUIRED LISTING OF PROPOSED SUBCONTRACTORS

- Each proposal shall have listed therein the name and address of each subcontractor to whom the bidder proposes to subcontract portions of the work in an amount in excess of one-half of one percent of the total bid, in conformance with the Subletting and Subcontracting Fair Practices Act, commencing with Section 4100 of the Public Contract Code. The bidder's attention is invited to other provisions of the Act related to the imposition of penalties for a failure to observe its provisions by using unauthorized subcontractors or by making unauthorized substitutions.
- A sheet for listing the subcontractors, as required herein, is included in the "Proposal and Contract" book.

#### 1-1.055 STATE EMPLOYEES AND DESIGN ENGINEERS MAY NOT BID ON CONSTRUCTION CONTRACTS

- No employee of the State shall be eligible to submit a proposal for, nor to subcontract for any portion of, nor to supply any materials for any contract administered by the Department.
- No engineering or architectural firm which has provided design services for a project shall be eligible to submit a proposal for the contract to construct the project nor to subcontract for any portion of the work. The ineligible firms include the prime contractor for design, subcontractors of portions of the design, and affiliates of either. An affiliate is a firm which is subject to the control of the same persons, through joint ownership or otherwise.

#### 1-1.06 PREVIOUS DISQUALIFICATION, REMOVAL OR OTHER PREVENTION OF BIDDING

- Pursuant to Section 10162 of the Public Contract Code the bidder shall complete, under penalty of perjury, the questionnaire in the Proposal relating to previous disqualification, removal or other prevention of bidding of the bidder, or officers or employees of the bidder because of violation of law or a safety regulation.
- A bid may be rejected on the basis of a bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, having been disqualified, removed, or otherwise prevented from bidding on, or completing a Federal, State, or local project because of a violation of law or a safety regulation.

#### 1-1.07 PROPOSAL GUARANTY

All bids shall be presented under sealed cover and accompanied by one of the following forms of bidder's security:

Cash, a cashier's check, a certified check, or a bidder's bond executed by an admitted surety insurer, made payable to the Director of Transportation.

- The security shall be in an amount equal to at least 10 percent of the amount bid. A bid will not be considered unless one of the forms of bidder's security is enclosed with it.
- The bidder's bond shall conform to the bond form in the book entitled "Proposal and Contract" for the project and shall be properly filled out and executed. The bidder's bond form included in that book may be used. Upon request, "Bidder's Bond" forms may be obtained from the Department of Transportation.

#### 1-1.08 COMPLIANCE WITH ORDERS OF THE NATIONAL LABOR RELATIONS BOARD

- Pursuant to Public Contract Code Section 10232, the Contractor shall swear by a statement, under penalty of perjury, that no more than one final, unappealable finding of contempt of court by a Federal court has been issued against the Contractor within the immediately preceding 2-year period because of the Contractor's failure to comply with an order of a Federal court which orders the Contractor to comply with an order of the National Labor Relations Board. For purposes of Section 10232 a finding of contempt does not include any finding which has been vacated, dismissed, or otherwise removed by the court because the Contractor has complied with the order which was the basis for the finding. The State may rescind any contract in which the Contractor falsely swears to the truth of the statement required by Section 10232.
- The statement required by Public Contract Code Section 10232 is on the page preceding the signature page of the Proposal.

#### 1-1.09 WITHDRAWAL OF PROPOSALS

• Any bid may be withdrawn at any time prior to the date and time fixed for the opening of bids only by written request for the withdrawal of the bid filed at the location at which the bid was received by the Department. The request shall be executed by the bidder or the bidder's duly authorized representative. The withdrawal of a bid does not prejudice the right of the bidder to file a new bid. Whether or not bids are opened exactly at the time fixed for opening bids, a bid will not be received after that time, nor may any bid be withdrawn after the time fixed for the opening of bids.

#### 1-1.10 PUBLIC OPENING OF PROPOSALS

• Proposals will be opened and read publicly at the time and place indicated in the Notice to Contractors. Bidders or their authorized agents are invited to be present.

#### 1-1.11 REJECTION OF PROPOSALS

- Proposals may be rejected if they have been transferred to another bidder, or if they show any alterations of form, additions not called for, conditional bids, incomplete bids, erasures, or irregularities of any kind.
- When proposals are signed by an agent, other than the officer or officers of a corporation authorized to sign contracts on its behalf or a member of a partnership, a "Power of Attorney" must be on file with the Department prior to opening bids or shall be submitted with the proposal; otherwise, the proposal may be rejected as irregular and unauthorized.

#### 1-1.12 COMPETITIVE BIDDING

- If more than one proposal be offered by any individual, firm, copartnership, corporation, association, or any combination thereof, under the same or different names, all of those proposals may be rejected. A party who has quoted prices on materials or work to a bidder is not thereby disqualified from quoting prices to other bidders, or from submitting a bid directly for the materials or work.
- All bidders are put on notice that any collusive agreement to control or affect the awarding of this contract is in violation of the competitive bidding requirements of the State Contract Act and the Business and Professions Code and may render void any contract let under those circumstances.

#### 1-1.13 RELIEF OF BIDDERS

• Attention is directed to the provisions of Public Contract Code Sections 5100 to 5107, inclusive, concerning relief of bidders and in particular to the requirement therein, that if the bidder claims a mistake was made in the bid presented, the bidder shall give the Department written notice within 5 days after the opening of the bids of the alleged mistake, specifying in the notice in detail how the mistake occurred.

#### 1-1.14 INELIGIBILITY TO CONTRACT

• Public Contract Code Section 10285.1 provides as follows:

Any State agency may suspend, for a period of up to three years from the date of conviction, any person from bidding upon, or being awarded, a public works or services contract with the agency under this part or from being a subcontractor at any tier upon the contract, if that person, or any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, has been convicted by a court of competent jurisdiction of any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any State or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Section 1101, with any public entity, as defined in Section 1100, including, for the purposes of this article, the Regents of the University of California or the Trustees of the California State University. A State agency may determine the eligibility of any person to enter into a contract under this article by requiring the person to submit a statement under penalty of perjury declaring that neither the person nor any subcontractor to be engaged by the person has been convicted of any of the offenses referred to in this section within the preceding three years.

• A form for the statement required by Section 10285.1 is included in the Proposal.

#### **SECTION 2**

#### AWARD AND EXECUTION OF CONTRACT

#### 2-1.01 AWARD OF CONTRACT

• The right is reserved to reject any and all proposals. The award of the contract, if it be awarded, will be to the lowest responsible bidder whose proposal complies with all the requirements prescribed. The award, if made, will be made within 30 days after the opening of the proposals. This period will be subject to extension for any further period as may be agreed upon in writing between the Department and the bidder concerned.

#### 2-1.02 RETURN OF PROPOSAL GUARANTIES

• The proposal guaranties accompanying the proposals of the first, second and third lowest responsible bidders will be retained until the contract has been finally executed, after which all those proposal guaranties, except bidders' bonds and any guaranties which have been forfeited, will be returned to the respective bidders whose proposals they accompany. The proposal guaranties, other than bidder's bonds, submitted by all other unsuccessful bidders will be returned upon determination, by the Department, of the first, second and third lowest responsible bidders.

#### 2-1.03 CONTRACT BONDS

- The successful bidder shall furnish the 2 bonds required by the State Contract Act. One bond shall secure the payment of the claims of laborers, mechanics or materialmen employed on the work under the contract and the other bond shall guarantee the faithful performance of the contract. The bond forms will be furnished to the successful bidder by the Department.
- Except as otherwise provided in Section 3248 of the Civil Code and Section 30154 of the Streets and Highways Code, the payment bond shall be in a sum equal to the contract price and the performance bond shall be in a sum equal to at least one-half of the contract price.
- All alterations, extensions of time, extra and additional work, and other changes authorized by the General Conditions, the special provisions or any part of the contract may be made without securing the consent of the surety or sureties on the contract bonds.

#### 2-1.04 EXECUTION OF CONTRACT

• The contract shall be signed by the successful bidder and returned, together with the contract bonds, within 8 days, not including Saturdays, Sundays and legal holidays, after the bidder has received the contract for execution.

#### 2-1.05 FAILURE TO EXECUTE CONTRACT

• Failure of the lowest responsible bidder, the second lowest responsible bidder, or the third lowest responsible bidder to execute the contract and file acceptable bonds as provided herein within 8 days, not including Saturdays, Sundays and legal holidays, after that bidder has received the contract for execution shall be just cause for the forfeiture of the bidder's security. The successful bidder may file with the Department a written notice, signed by the bidder or the bidder's authorized representative, specifying that the bidder will refuse to execute the contract if it is presented. The filing of this notice shall have the same force and effect as the failure of the bidder to execute the contract and furnish acceptable bonds within the time hereinbefore prescribed.

#### **GENERAL CONDITIONS**

#### **SECTION 1**

#### **DEFINITIONS AND TERMS**

#### 1-1.01 **GENERAL**

- Unless the context otherwise requires, wherever in the specifications and other contract documents the following abbreviations and terms, or pronouns in place of them, appear in the contract documents, the intent and meaning shall be interpreted as provided in this Section 1.
- Working titles having a masculine gender, such as "workman" and "journeyman" and pronouns, such as "he" and "himself", are utilized in these General Conditions, the Instructions to Bidders and the special provisions for the sake of brevity, and are intended to refer to persons of either gender.

#### 1-1.02 ACCEPTANCE

• The formal written acceptance by the Director of Transportation of an entire contract which has been completed in all respects in conformance with the contract documents and any modifications thereof previously approved.

#### **1-1.03 ADDENDUM**

• A document or written communication issued by the Department during the bidding period which modifies, supersedes, or supplements the original contract documents.

#### 1-1.04 **BIDDER**

• Any individual, firm, partnership, corporation, or combination thereof, submitting a proposal for the work contemplated, acting directly, or through a duly authorized representative.

#### 1-1.05 CONTRACT

• The written agreement covering the performance of the work and the furnishing of labor, materials, tools and equipment in the construction of the work. The contract shall include the notice to contractors, Instructions to Bidders, proposal, plans, General Conditions, special provisions and contract bonds; also any and all supplemental agreements amending or extending the work contemplated and which may be required to complete the work in a substantial and acceptable manner. Supplementary agreements are written agreements covering alterations, amendments, or extensions to the contract and include contract change orders.

#### 1-1.06 CONTRACTOR

• The person or persons, firm, partnership, corporation, or combination thereof, private or municipal, who have entered into a contract with the Department of Transportation, as party or parties of the second part or their legal representatives.

#### 1-1.07 DAYS

• Unless otherwise designated, days as used in the contract documents will be understood to mean calendar days.

#### 1-1.08 DEPARTMENT

• The Department of Transportation of the State of California, as created by law.

#### 1-1.09 DIRECTOR

The executive officer of the Department of Transportation, as created by law.

#### 1-1.10 ENGINEER

• The Chief Engineer, Department of Transportation, acting either directly or through properly authorized agents, the agents acting within the scope of the particular duties delegated to them.

#### 1-1.11 GENERAL NOTES

• The written instructions, provisions, conditions or other requirements appearing on the plans, and so identified thereon, which pertain to the performance of the work.

#### 1-1.12 LABORATORY

• The Division of Engineering Services - Materials Engineering and Testing Services and the Division of Engineering Services - Geotechnical Services of the Department of Transportation, or established laboratories of the various Districts of the Department, or other laboratories authorized by the Department to test materials and work involved in the contract. When a reference is made in the specifications to the "Transportation Laboratory," the reference shall mean the Division of Engineering Services - Materials Engineering and Testing Services and the Division of Engineering Services - Geotechnical Services, located at 5900 Folsom Boulevard, Sacramento, CA 95819, Telephone (916) 227-7000.

#### 1-1.13 LEGAL HOLIDAYS

• Those days designated as State holidays in the Government Code.

#### 1-1.14 LIQUIDATED DAMAGES

• The amount prescribed in the special provisions, pursuant to the authority of Public Contract Code Section 10226, to be paid to the State or to be deducted from any payments due or to become due the Contractor for each day's delay in completing the whole or any specified portion of the work beyond the time allowed in the special provisions.

#### 1-1.15 PLANS

• The official drawings including plans, elevations, sections, detail drawings, diagrams, plates, general notes, information and schedules thereon, or exact reproductions thereof, approved by the Engineer, which show the location, character, dimensions and details of the work to be performed. The plans include any drawings or plates bound within the special provisions.

#### 1-1.16 PREMISES

• The area of State-owned property which surrounds the work site, limited by the property lines thereof. In some cases the premises may coincide with the work site.

#### 1-1.17 PROPOSAL

• The offer of the bidder for the work when made out and submitted on the prescribed proposal form, properly signed and guaranteed.

#### 1-1.18 PROPOSAL FORM

• The approved form upon which the Department of Transportation requires formal bids be prepared and submitted for the work.

#### 1-1.19 PROPOSAL GUARANTY

• The cash, cashier's check, certified check, or bidder's bond accompanying the proposal submitted by the bidder, as a guaranty that the bidder will enter into a contract with the Department of Transportation for the performance of the work if the contract is awarded to the bidder.

#### 1-1.20 SPECIAL PROVISIONS

• The special provisions are specific clauses setting forth conditions or requirements of the work and supplementary to these General Conditions and the Instructions to Bidders. The Department of Transportation publication entitled Labor Surcharge And Equipment Rental Rates is to be considered as a part of the special provisions.

#### 1-1.21 STATE

The State of California.

#### 1-1.22 STATE CONTRACT ACT

• An act to regulate contracts for the erection, construction, alteration, repair or improvement of any state structure, building, road, or other State improvements of any kind, to be found in Chapter 1, Division 2 of the Public Contract Code.

#### 1-1.23 WORK

• The furnishing of all labor, and the furnishing and installing of all materials, articles, supplies and equipment as specified, designated, or required by the contract.

#### 1-1.24 WORKING DAY

• Every day except Saturdays, Sundays, legal holidays, and those days not charged as working days pursuant to Section 6-1.07, "Time of Completion," of these General Conditions.

#### **1-1.25 WORK SITE**

• The area of actual construction and the areas immediately adjacent thereto.

#### 1-1.26 ABBREVIATIONS

**AAMA** Architectural Aluminum Manufacturers' Association AAN American Association of Nurserymen American Association of State Highway and Transportation Officials AASHTO American Concrete Institute ACI **AGA** American Gas Association **AISC** American Institute of Steel Construction American Iron and Steel Institute **AISI** AITC American Institute of Timber Construction **AMCA** Air Movement and Control Association American National Standards Institute **ANSI** APA American Plywood Association American Public Health Association **APHA** 

API American Petroleum Institute.

AREA American Railway Engineering Association

ARI American Refrigeration Institute

ASHRAE American Society of Heating, Refrigeration and Air Conditioning Engineers

ASME American Society of Mechanical Engineers
ASTM American Society for Testing and Materials

AWG American Wire Gage

AWPA American Wood Preservers' Association

AWS American Welding Society

AWWA American Water Works Association

CBC California Building Code CEC California Electrical Code

CS Commercial Standards (US Department of Commerce)

EIA Electronic Industries Association

ESO Electrical Safety Orders

FGMA Flat Glass Marketing Association

FM Factory Mutual FS Federal Specification

IEEE Institute of Electrical and Electronics Engineers ICBO International Conference of Building Officials

NAAMM National Association of Architectural Metal Manufacturers

NBFU National Board Fire Underwriters

NEC National Electrical Code

NEMA National Electrical Manufacturers' Association

NFPA National Fire Protection Association

PEI Porcelain Enamel Institute

PS Product Standard (US Department of Commerce)

RIS Redwood Inspection Service SCPI Structural Clay Products Institute

SMACNA Sheet Metal and Air Conditioning Contractors' National Association

TCA Tile Council of America
TPI Truss Plate Institute
UBC Uniform Building Code
UL Underwriters' Laboratory
UPC Uniform Plumbing Code
WCLB Grade Stamp for WCLIB

WCLIB West Coast Lumber Inspection Bureau (Grade Stamped WCLB)

WIC Woodwork Institute of California WWPA Western Wood Products' Association

#### **Units of Measurement**

• Some of the symbols for units of measurement used in the specifications are defined as follows. The symbols for other units of measurement used in the specifications are as defined in ASTM Designation: E-380, or in the various specifications and test referenced in the specifications.

#### Symbols as used

in the Specifications Definitions

A amperes g gram kg kilogram

ha hectare  $(10\ 000\ m^2)$ 

h hour J joule L liter m meter kilometer km millimeter mm μm micrometer nm nanometer  $m^2$ square meter  $m^3$ cubic meter N newton N·m newton meter Ω ohm

 $\begin{array}{lll} \Omega & & \text{ohm} \\ \text{Pa} & & \text{pascal} \\ \text{kPa} & & \text{kilopascal} \\ \text{MPa} & & \text{megapascal} \\ \text{s} & & \text{second} \end{array}$ 

tonne metric ton (1000 kg)

W watt V volt

#### **SECTION 2**

#### CONTROL AND SCOPE OF THE WORK

#### 2-1.01 AUTHORITY OF ENGINEER

• The Engineer shall decide all questions which may arise as to the quality or acceptability of materials furnished and work performed and as to the manner of performance and rate of progress of the work; all questions which may arise as to the interpretation of the plans and special provisions; all questions as to the acceptable fulfillment of the contract on the part of the Contractor; and all questions as to compensation. The Engineer's decision shall be final, and the Engineer shall have authority to enforce and make effective those decisions and orders which the Contractor fails to carry out promptly.

#### 2-1.02 INTENT OF PLANS AND SPECIAL PROVISIONS

• The intent of the plans and special provisions is to prescribe the details for the construction and completion of the work which the Contractor undertakes to perform in conformance with the terms of the contract. Where the plans or special provisions describe portions of the work in general terms, but not in complete detail, it is understood that only the best general practice is to prevail and that only materials and workmanship of the first quality are to be used. Unless otherwise specified, the Contractor shall furnish all labor, materials, tools, equipment, and incidentals, and do all the work involved in executing the contract in a satisfactory and workmanlike manner.

#### 2-1.03 COORDINATION AND INTERPRETATION OF CONTRACT DOCUMENTS

- These General Conditions, the plans, special provisions, contract change orders, and all supplementary documents are essential parts of the contract, and a requirement occurring in one is as binding as though occurring in all. They are intended to be complementary, and to describe and provide for a complete work.
- Plans shall govern over these General Conditions; the special provisions shall govern over both these General Conditions and the plans.
- Should it appear that the work to be done or any of the matters relative thereto are not sufficiently detailed or explained in these General Conditions, the special provisions, or the plans, the Contractor shall apply to the Engineer for further explanations as may be necessary and shall conform to them as part of the contract. In the event of any doubt or question arising respecting the true meaning of these General Conditions, the special provisions or the plans, reference shall be made to the Engineer, whose decision thereon shall be final.
- In the event of any discrepancy, between any drawing and the figures written thereon, the figures shall be taken as correct. Detail drawings shall prevail over general drawings and general notes shall prevail over drawings.

#### 2-1.04 SHOP DRAWINGS, DESCRIPTIVE DATA, SAMPLES, AND ALTERNATIVES

- It shall be the Contractor's responsibility to submit, so as to cause no delay in the work, all shop drawings, descriptive data, samples for the various trades as required by the special provisions, and offers of alternatives, if any. The submittals shall be checked and coordinated by the Contractor with the work of other trades involved before they are submitted to the Engineer for examination.
- Submittals shall be delivered to the locations indicated in the special provisions.
- Work requiring the submittal of shop drawings, descriptive data or samples shall not begin prior to approval of that submittal by the Engineer. Fifteen working days shall be allowed for approval or return for correction of each submittal or resubmittal. Approval of submittals shall not operate to waive any of the requirements of the plans and specifications or relieve the Contractor of any obligation thereunder, and defective work, materials and equipment may be rejected notwithstanding the approval of that submittal. Should the Engineer fail to complete his review within the time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in review, an extension of time commensurate with the delay in completion of the work thus caused will be granted pursuant to the provisions in Section 6-1.08, "Liquidated Damages," of these General Conditions, and no additional compensation will be allowed for the delay.
- Submittals shall be made by a letter of transmittal which shall contain a list of all matter submitted and identification of all variations from the plans and special provisions contained in the submittal. The letter and all items accompanying the same shall be fully identified as to project name and location, Contractor's name, district, county, and contract number, with ample cross references to the contract documents, to facilitate identification of items and their location in the work. Additional specific requirements shall be as follows:

#### **Shop Drawings**

• The Contractor shall submit at least 5 copies of all shop drawings required by the special provisions. Two copies will be returned to the Contractor either approved for use or returned for correction and resubmittal. Shop drawings include any drawing which requires execution by a draftsman as distinguished from printed matter. The size of shop drawings shall be 559 mm x 864 mm or 279 mm x 432 mm in size.

#### **Descriptive Data**

• The Contractor shall submit 5 copies of each set of manufacturer's brochures or other data required by the special provisions. The State will examine the submittals and return 2 copies either approved for use or returned for correction and resubmittal.

#### Samples

• The Contractor shall submit samples of articles, materials or equipment as required by the special provisions. The work shall be in conformance with the approved samples. Samples shall be removed from State property when directed or may be incorporated in the work if approved by the Engineer. Samples not removed by the Contractor will become the property of the State or, at the State's option, will be removed or disposed of by the State at the Contractor's expense.

#### **Alternatives**

- For convenience in designation on the plans or in the special provisions, certain materials, articles, or equipment may be designated by a brand or a trade name or the name of the manufacturer together with catalog designation or other identifying information, hereinafter referred to generically as "designated by brand name". An alternative material, article, or equipment which is of equal quality and of the required characteristics for the purpose intended may be proposed for use provided the Contractor complies with the following requirements:
  - 1 The Contractor shall submit his proposal for an alternative in writing. The request shall be made in ample time to permit approval without delaying the work, but need not be made in less than 35 days after award of the contract.
  - 2 No proposal will be considered unless accompanied by complete information and descriptive data, necessary to determine the equality of the offered materials, articles, or equipment. Samples shall be provided when requested by the Engineer. The Contractor shall satisfy the Engineer as to the comparative quality, suitability, or performance of the offered materials, articles, or equipment. In the event that the Engineer rejects the use of the alternative materials, articles, or equipment, then one of the particular products designated by brand name shall be furnished.
- Approval of submittals by the Engineer shall not relieve the Contractor from responsibility for the successful completion of the work, nor shall it relieve the Contractor from responsibility for errors in the submittals. A failure by the Contractor to identify in the letter of transmittal, material deviations from the plans or specifications shall void the submittal and any action taken thereon by the Engineer. When specifically requested by the Engineer, the Contractor shall resubmit the shop drawings, descriptive data and samples as may be required.
- If any mechanical, electrical, structural, or other changes are required for the proper installation and fit of alternative materials, articles, or equipment, or because of deviations from the contract plans and special provisions, the changes shall not be made without the approval of the Engineer and shall be made without additional cost to the State.

#### 2-1.045 DIFFERING SITE CONDITIONS

- During the progress of the work, if subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract or if unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract, are encountered at the site, the party discovering those conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.
- Upon written notification, the Engineer will investigate the conditions, and if the Engineer determines that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the contract, an adjustment, excluding loss of anticipated profits, will be made and the contract modified in writing accordingly. The Engineer will notify the Contractor of his determination whether or not an adjustment of the contract is warranted.
- No contract adjustment which results in a benefit to the Contractor will be allowed unless the Contractor has provided the required written notice.
- No contract adjustment will be allowed under the provisions specified in this section for any effects caused on unchanged work.
- Any contract adjustment warranted due to differing site conditions will be made in conformance with the provisions in Section 3-1.01, "Changes," of these General Conditions, except as otherwise provided.

#### 2-1.05 PRESERVATION AND CLEANING

• The Contractor shall clean up the work at frequent intervals and at other times when directed by the Engineer. While finish work is being accomplished, floors shall be kept clean, free of dust, construction debris and trash. Upon completion of the work, the Contractor shall remove from the premises the Contractor's construction equipment and any waste materials not previously disposed of, leaving the premises thoroughly clean and ready for final inspection.

#### 2-1.06 LIMITATIONS ON WORK SITE AND PREMISES

• The Contractor shall limit the Contractor's construction operations to the work site unless otherwise shown on the plans or specified. The Contractor shall perform no operations of any nature over or on the premises except those operations as are authorized by the plans or special provisions, or as authorized by the Engineer.

#### 2-1.07 SUPERINTENDENCE

- The Contractor shall designate in writing before starting work, an authorized representative who shall have the authority to represent and act for the Contractor.
- When the Contractor is comprised of 2 or more persons, firms, partnerships, or corporations functioning on a joint venture basis, the Contractor shall designate in writing before starting work, the name of one authorized representative who shall have the authority to represent and act for the Contractor.
- The authorized representative shall be present at the site of the work at all times while work is actually in progress on the contract. When work is not in progress and during periods when work is suspended, arrangements acceptable to the Engineer shall be made for any emergency work which may be required.
- Whenever the Contractor or the Contractor's authorized representative is not present on any particular part of the work where it may be desired to give direction, orders will be given by the Engineer, which shall be received and obeyed by the superintendent or foreman who may have charge of the particular work in reference to which the orders are given.
- Any order given by the Engineer, not otherwise required by the specifications to be in writing, will on request of the Contractor, be given or confirmed by the Engineer in writing.

#### 2-1.08 CHARACTER OF WORKMEN

• If any subcontractor or person employed by the Contractor shall appear to the Engineer to be incompetent or to act in a disorderly or improper manner, they shall be discharged immediately on the request of the Engineer, and that person shall not again be employed on the work.

#### 2-1.09 INSPECTION

- The Contractor shall at all times permit the Engineer and the Engineer's authorized agents to inspect the work or any part thereof. The Contractor shall maintain proper facilities and provide safe access for inspection by the Engineer to all parts of the work, and to the shops where the work is in preparation. Work shall not be covered up until authorized by the Engineer and the Contractor shall be solely responsible for notifying the Engineer where and when the work is in readiness for inspection and testing. Should any work be covered without authorization, it shall, if so ordered, be uncovered at the Contractor's expense.
- Whenever the Contractor intends to perform work on Saturday, Sunday, or a legal holiday, the Contractor shall give notice to the Engineer of the Contractor's intention 48 hours prior to performing that work, or a longer period as may be specified so that the Engineer may make necessary arrangements.

#### 2-1.10 REMOVAL OF REJECTED AND UNAUTHORIZED WORK

- All work which has been rejected shall be remedied, or removed and replaced by the Contractor in a manner acceptable to the Engineer and no compensation will be allowed to the Contractor for the removal, replacement, or remedial work.
- Any work done beyond the lines shown on the plans or established by the Engineer, or any work done without written authority will be considered as unauthorized work and will not be paid for. Upon order of the Engineer, unauthorized work shall be remedied, removed, or replaced at the Contractor's expense.
- Upon failure of the Contractor to comply promptly with any order of the Engineer made under this Section 2-1.10, the Department may cause rejected or unauthorized work to be remedied, removed, or replaced, and the costs thereof will be deducted from any moneys due or to become due the Contractor.

#### 2-1.11 COST REDUCTION INCENTIVE

- The Contractor may submit to the Engineer, in writing, proposals for modifying the plans, special provisions or other requirements of the contract for the sole purpose of reducing the total cost of construction. The cost reduction proposal shall not impair, in any manner, the essential functions or characteristics of the project, including but not limited to service life, economy of operation, ease of maintenance, desired appearance, or design and safety standards.
- Prior to preparing a cost reduction proposal, the Contractor shall request a meeting with the Engineer to discuss the proposal in concept and to determine the merit of the cost reduction proposal. Items of discussion will also include permit issues, impact on other projects, impact on the project schedule, peer reviews, and review times required by the Department and other agencies.
- Cost reduction proposals shall contain the following information:
  - 1. A description of both the existing contract requirements for performing the work and the proposed changes.
  - 2. An itemization of the contract requirements that must be changed if the proposal is adopted.

- 3. A detailed estimate of the cost of performing the work under the existing contract and under the proposed change. The estimates of cost shall be determined in the same manner as if the work were to be paid for as a change in the work as provided in Section 3, "Changes in the Work," of these General Conditions.
- 4. A statement of the time within which the Engineer must make a decision thereon.
- 5. The contract work affected by the proposed changes, including any quantity variation attributable thereto.
- The provisions of this Section 2-1.11 shall not be construed to require the Engineer to consider any cost reduction proposal which may be submitted hereunder; proposed changes in basic design will not be considered as an acceptable cost reduction proposal; and the Department will not be liable to the Contractor for failure to accept or act upon any cost reduction proposal submitted pursuant to this section nor for any delays to the work attributable to any cost reduction proposal. If a cost reduction proposal is similar to a change in the plans or special provisions, under consideration by the Department for the project, at the time the proposal is submitted or if the proposal is based upon or similar to standard special provisions adopted by the Department after the advertisement for the contract, the Engineer will not accept the proposal, and the Department reserves the right to make the changes without compensation to the Contractor under the provisions of this section.
- The Contractor shall continue to perform the work in conformance with the requirements of the contract until an executed change order, incorporating the cost reduction proposal has been issued. If an executed change order has not been issued by the date upon which the Contractor's cost reduction proposal specifies that a decision thereon should be made, or such other date as the Contractor may subsequently have specified in writing, the cost reduction proposal shall be deemed rejected.
- The Engineer shall be the sole judge of the acceptability of a cost reduction proposal and of the estimated net savings in construction costs from the adoption of all or any part of the proposal. In determining the estimated net savings, the right is reserved to disregard the schedules of values if, in the judgment of the Engineer, the schedule does not represent a fair measure of the value of work to be performed or to be deleted.
- The Department reserves the right where it deems action is appropriate, to require the Contractor to share in the Department's costs of investigating a cost reduction proposal submitted by the Contractor as a condition of considering the proposal. Where this condition is imposed, the Contractor shall indicate acceptance thereof in writing, and that acceptance shall constitute full authority for the Department to deduct amounts payable to the Department from any moneys due or that may become due to the Contractor under the contract.
- If the Contractor's cost reduction proposal is accepted in whole or in part the acceptance will be by a contract change order, which shall specifically state that it is executed pursuant to this Section 2-1.11. The change order shall incorporate the changes in the plans and special provisions which are necessary to permit the cost reduction proposal or that part of it as has been accepted to be put into effect, and shall include any conditions upon which the Department's approval thereof is based if the approval of the Department is conditional. The change order shall also set forth the estimated net savings in construction costs attributable to the cost reduction proposal effectuated by the change order, and shall further provide that the Contractor be paid 50 percent of that estimated net savings amount. The Contractor's cost of preparing the cost reduction incentive proposal and the Department's costs of investigating a cost reduction incentive proposal, including any portion thereof paid by the Contractor, shall be excluded from consideration in determining the estimated net savings in construction costs.
- Acceptance of the cost reduction proposal and performance of the work thereunder shall not extend the time of completion of the contract unless specifically provided for in the contract change order authorizing the use of the cost reduction proposal.
- The amount specified to be paid to the Contractor in the change order which effectuates a cost reduction proposal shall constitute full compensation to the Contractor for the cost reduction proposal and the performance of the work thereof pursuant to the change order.
- The Department expressly reserves the right to adopt a cost reduction proposal for general use on contracts administered by the Department when it determines that the proposal is suitable for application to other contracts. When an accepted cost reduction proposal is adopted for general use, only the Contractor who first submitted that proposal will be eligible for compensation pursuant to this section, and in that case, only as to those contracts awarded to that Contractor prior to submission of the accepted cost reduction proposal and as to which the cost reduction proposal is also submitted and accepted. Cost reduction proposals identical or similar to previously submitted proposals will be eligible for consideration and compensation under the provisions of this Section 2-1.11 if the identical or similar previously submitted proposals were not adopted for general application to other contracts administered by the Department. Subject to the provisions contained herein, the State or any other public agency shall have the right to use all or any part of any submitted cost reduction proposal without obligation or compensation of any kind to the Contractor.
- This Section 2-1.11 shall apply only to contracts awarded to the lowest bidder pursuant to competitive bidding.

#### **SECTION 3**

#### **CHANGES IN THE WORK**

#### **3-1.01 CHANGES**

- The Department reserves the right to order changes in the contract at any time prior to the acceptance of the work by the Director, and the Contractor shall comply with the ordered changes. Changes or deviations from the contract shall not be made without authority in writing from the Engineer, and changes to the work without the Engineer's written approval will be considered unauthorized work and will not be paid for.
- On the basis set forth in this Section 3, the contract lump sum price will be adjusted for any ordered change which results in a change in the cost of the work.
- When ordered by the Engineer, the Contractor shall halt work in the area affected by a proposed change. Whenever it appears to the Contractor that a change is necessary, the Contractor shall immediately notify the Engineer of the reasons for that change; however, work in the area affected shall not be discontinued unless ordered by the Engineer.
- For any approved change in the work, the Contractor shall be entitled to an adjustment in time equal to the number of working days which completion of the entire work is delayed due to the changed work, and the State will be entitled to an adjustment in time equal to the number of working days which completion of the entire work is advanced due to the changed work. For ordinary changes, the Contractor's cost estimate for the changed work shall state the amount of extra time, if any, that the Contractor considers should be allowed for making the requested change. Failure to request additional time when submitting the estimate, or failure to submit the estimate, shall constitute a waiver of the right to later claim any adjustment in time based upon changed work. For ordinary changes which decrease the amount of work and for indeterminate type changes, an adjustment in time commensurate with the changed work will be determined by the Engineer. Disagreement as to time adjustments shall not affect contract price adjustments, nor shall it be cause for not proceeding with the changed work when ordered by the Engineer. The Contractor shall have the right, however, to further pursue a time adjustment in the event agreement is not reached.

#### 3-1.01A Ordinary Changes

- The Engineer will notify the Contractor in writing of any proposed changes and describe the intended change. Within 15 days after receipt of a written request, the Contractor shall submit his proposed price to be added or deducted from the contract price due to the change. The Contractor's proposed price to be added to or deducted from the contract price shall be supported by detailed estimates of cost prepared by the Contractor. The Contractor shall also provide information to support any request for an adjustment in contract time which is directly attributable to the changed work. The Contractor shall, upon request by the Engineer, permit inspection of his original contract estimate, subcontract agreements or purchase orders relating to the change.
- If agreement is reached on the adjustment in compensation as provided in Section 3-1.01C, "Agreed Cost for Changes," of these General Conditions, the Contractor shall proceed with the work at the agreed price.
- If the Contractor and the Engineer fail to agree as to the adjustment in compensation for the performance of the changed work, the Contractor, upon written order from the Engineer, shall proceed immediately with the changed work and the contract price will be adjusted in conformance with the provisions in Section 3-1.01D, "Failure to Agree to the Cost of Changes," of these General Conditions.
- If the Contractor fails to submit his cost estimate within the specified 15 day period, the specified period may be extended in writing by the Engineer. If the Engineer does not so extend the specified period, or if the Contractor fails to submit his cost estimate within the extended time period, the Contractor shall commence the work immediately upon receipt of written order from the Engineer and the contract price will be adjusted in conformance with the provisions in Section 3-1.01D, "Failure to Agree to the Cost of Changes," of these General Conditions.

#### 3-1.01B Indeterminate Type Changes

• Changes in the work of a kind where the cost of the work cannot be determined until completed, may be authorized by the Engineer in writing. The written order shall state that it is issued pursuant to this Section 3-1.01B. Upon receipt of a written order from the Engineer, the Contractor shall proceed with the ordered work and the contract price will be adjusted in conformance with the provisions in Section 3-1.01D, "Failure to Agree to the Cost of Changes," of these General Conditions.

#### 3-1.01C Agreed Cost For Changes

• If the Engineer and the Contractor agree as to the adjustment in compensation for the performance of changed work on the basis of the Contractor's proposed cost estimate of the work, the contract lump sum price will be adjusted accordingly. The adjustment in compensation shall be agreed to in writing and executed by both parties.

#### 3-1.01D Failure To Agree To The Cost Of Changes

• When a proposed change order decreases the cost of the work and the Engineer and the Contractor fail to agree upon the decreased cost thereof, the Engineer's estimated decrease in cost will be deducted from the contract price. The Contractor will be allowed 15 days after receipt of a contract change order approved by the Engineer, in which to file a written protest

setting forth in what respects the Contractor differs from the Engineer's estimate of decreased cost, otherwise the decision of the Engineer to deduct the Engineer's estimate of decreased cost shall be deemed to have been accepted by the Contractor as correct.

- In the event the Engineer and the Contractor fail to agree on the cost of a change order which increases the cost of the work, the Engineer will maintain a daily job record containing a detailed summary of all labor, materials and equipment required by the ordered change. At the end of each day's work, the Contractor shall review the Engineer's daily job record comparing with the Contractor's own records, and after agreement is reached, the daily job record shall be signed by both the Engineer and the Contractor and shall become the basis for payment for the changed work. Upon completion of the work under the change order, the Contractor shall submit an invoice listing only those items of labor, materials and equipment that were agreed to by both the Engineer and the Contractor to be in addition to the requirements of the contract, together with allowable markups.
- When there is a failure to agree as to cost, no payment for the changed work will be made to the Contractor until all work called for in the change order has been completed, except that progress payments may be made on those portions of the changed work which the Contractor and the Engineer agree as to cost.

#### 3-1.01E Allowable Costs For Changes

• The only costs which will be allowed because of changed work and the manner in which these costs shall be computed are set forth in Sections 3-1.01E(1) through 3-1.01E(5) of these General Conditions. Where the term "actual cost" is used in the aforesaid sections, it shall be deemed to mean "estimated cost" where the adjustment in compensation is of a necessity based upon estimated costs.

#### 3-1.01E(1) Labor

• The Contractor will be paid an amount based on the actual cost for labor and supervision directly required for the performance of the changed work, including payments, assessment of benefits required by lawful labor union collective bargaining agreements; compensation insurance payments; contributions made to the State pursuant to the Unemployment Insurance Code, and for taxes paid to the Federal Government pursuant to the Social Security Act of August 14, 1935, as amended. No labor cost will be recognized at a rate in excess of the wages prevailing in the locality at the time the work is performed, nor will the use of a labor classification which would increase the cost be permitted unless the Contractor establishes to the complete satisfaction of the Engineer the necessity for payment at a higher rate.

#### **3-1.01E(2)** Materials

• The Contractor will be paid an amount based on the actual cost of the materials directly required for the performance of the changed work. The cost of materials may include the costs of procurement, transportation and delivery if necessarily incurred. If a cash or trade discount by the actual supplier is available to the Contractor, it shall be credited to the State. If the materials are obtained from a supply or source owned wholly or in part by the Contractor, payment therefor will not exceed the current wholesale price for the materials. If, in the opinion of the Engineer, the cost of materials is excessive, or if the Contractor fails to furnish satisfactory evidence of the cost to the Engineer from the actual supplier, the cost of the materials shall be deemed to be the lowest current wholesale price at which similar materials are available in the quantities required. The Department reserves the right to furnish the materials required by the change order as it deems advisable, and the Contractor shall have no claim for cost or markups on material furnished by the Department.

#### 3-1.01E(3) **Equipment**

• The Contractor will be paid an amount based on the actual cost for the use of equipment directly required and approved by the Engineer in the performance of the changed work. No payment will be made for time while equipment is inoperative due to breakdowns or on days when no work is performed. In addition, the rental time shall include the time required to move the equipment to the work from the nearest available source of the required equipment, and to return it to the source. If the equipment is not moved by its own power, then loading and transportation costs will be paid. Moving time, loading and transportation costs will only be paid if the equipment is used exclusively on the changed work during the time between move in and move out. Individual pieces of equipment having a replacement value of \$500 or less shall be considered to be tools or small equipment, and no payment will be made therefor. For equipment owned, furnished, or rented by the Contractor, no cost therefor shall be recognized in excess of the rental rates established by distributors or equipment rental agencies in the locality where the work is performed.

#### 3-1.01E(4) Markups

- When a change order increases the cost of the work, the Contractor may add the following maximum markups to the actual costs of labor, materials, or equipment rental:
  - 33 percent for labor;
  - 15 percent for materials; and
  - 15 percent for equipment rental.

- The above markups include full compensation for bonds, profit and overhead.
- When a change order decreases the cost of the work, the reduction in cost shall include a 5 percent markup on the estimated cost for furnishing the labor, materials and equipment which would have been used on the work had the change order not been issued.
- When a change order involves both added work and deleted work, the markup or markups to be used shall be as follows:

The actual costs of labor, materials, and equipment rental for added and deleted work shall be calculated separately without adding markups. If the difference between the calculated costs for labor results in an increased cost, a markup of 33 percent shall be applied to the increased cost. If the difference between the calculated costs of materials or equipment rental results in an increased cost, a markup of 15 percent shall be applied to the increased costs of materials or equipment rental, as the case may be. If the difference between the calculated costs for labor, materials or equipment rental results in a decreased cost, a markup of 5 percent shall be applied to the decreased costs of labor, materials or equipment rental, as the case may be.

• When added or deleted work is performed by an authorized subcontractor, approved in conformance with the provisions in Section 1-1.05, "Required Listing of Proposed Subcontractors," of the Instructions to Bidders, an additional 5 percent will be added to the total cost of the work including all markups specified in this Section 3-1.01E(4). The additional 5 percent markup shall reimburse the Contractor for additional administrative costs, and no other additional payment will be made by reason of performance of the work by a subcontractor.

#### 3-1.01E(5) General Limitation

- In no event shall any actual cost for added work be recognized in excess of market values prevailing at the time of the change, unless the Contractor can establish to the satisfaction of the Engineer that the Contractor investigated all possible means of obtaining the added work at prevailing market values and that the excess cost could not be avoided by the Contractor. The Engineer will determine the necessity for incurring the costs enumerated above, and as to whether they are directly required for the performance of the changed work. Lump sum quotations may be accepted at the option of the Engineer. When a change order deletes work from the contract, the computation of the cost thereof shall be the values which prevailed at the time bids for the work were opened.
- When work under this Section 3 is performed by forces other than the Contractor's organization, no additional payment will be made by the State by reason of the performance of the work by a subcontractor or other forces, except as provided elsewhere in this Section 3.

#### **SECTION 4**

#### **CONTROL OF MATERIALS**

#### 4-1.01 MATERIALS

- The Contractor shall furnish all materials required to complete the work, except materials that are designated in the special provisions to be furnished by the State and materials furnished by the State in conformance with Section 3, "Changes in the Work," of these General Conditions.
- Unless otherwise specified in the special provisions, materials furnished by the Contractor for incorporation into the work shall be new. When the quality or kind of materials, articles, or equipment is not specifically indicated, then the quality or kind thereof shall be similar to those which are indicated.
- Articles or materials to be incorporated in the work shall be stored in such a manner as to insure the preservation of their quality and fitness for the work, and to facilitate inspection.
- All materials which do not conform to the requirements of the plans and special provisions, as determined by the Engineer, will be rejected whether in place or not. Rejected material shall be removed immediately from the site of the work, unless otherwise permitted by the Engineer. No rejected material, the defects of which have been subsequently corrected, shall be used in the work, unless approval in writing has been given by the Engineer. Upon failure of the Contractor to comply promptly with any order of the Engineer made under these provisions, the Engineer shall have authority to cause the removal and replacement of rejected material and to deduct the cost thereof from any moneys due or to become due the Contractor.
- Manufacturers' warranties, guaranties, instruction sheets and parts lists, which are furnished with certain materials incorporated in the work, shall be delivered to the Engineer before acceptance of the contract.
- Unless otherwise designated in the special provisions, materials furnished by the State will be delivered to the job site. Materials furnished by the State that are designated in the special provisions as available at locations other than the job site shall be hauled to the site of the work by the Contractor at his expense, including any necessary loading and unloading that may be involved.
- The Contractor will be held responsible for all materials furnished to him, and he shall pay all demurrage and storage charges. State-furnished materials lost or damaged from any cause whatsoever shall be replaced by the Contractor. The Contractor will be liable to the Department for the cost of replacing State-furnished material and those costs may be deducted from any moneys due or to become due the Contractor.

#### 4-1.02 PRODUCT AND REFERENCE STANDARDS

- When descriptive catalog designations, including manufacturer's name, product brand name, or model number are referred to in the contract documents, those designations shall be considered as being those found in industry publications in effect on the day the Notice to Contractors for the work is dated.
- When standards or test designations are referred to in the contract documents by specific date of issue, they shall be considered a part of the contract. When those references do not bear a date of issue, the edition in effect on the day the Notice to Contractors for the work is dated shall be considered as part of the contract.

#### 4-1.03 SAMPLING AND TESTING OF MATERIALS

- Unless otherwise specified, all tests shall be performed in conformance with the methods used by the Department of Transportation and shall be made by the Engineer or his designated representative.
- The Department has developed methods for testing the quality of materials and work. These methods are identified by number and are referred to as California Test. Up to five copies of individual California Tests are available at the Division of New Technology, Materials and Research, located at 5900 Folsom Boulevard, (P.O. Box 19128), Sacramento, CA 95819, and will be furnished to interested persons upon request. If a complete set of California Test Methods is desired, it can be purchased from the Department's Office of Business Management, Materiel Operations Branch, 1900 Royal Oaks Drive, Sacramento, CA 95815.
- Whenever a reference is made in the special provisions to a California Test by number, it shall mean the California Test in effect on the day the Notice to Contractors for the work is dated.
- Whenever the special provisions provide an option between 2 or more tests, the Engineer will determine the test method to be used.
- Whenever a specification, manual, or test designation provides for test reports (such as certified mill test reports) from the manufacturer, copies of those reports, identified as to the lot of material, shall be furnished to the Engineer. The manufacturer's test reports shall supplement the inspection, sampling and testing provisions of this Section 4-1.03 and shall not constitute a waiver of the State's right to inspect. When material which cannot be identified with specific test reports is proposed for use, the Engineer may, at his discretion, select random samples from the lot for testing. Testing specimens from the random samples, including those required for retest, shall be prepared in conformance with the referenced specification and furnished by the Contractor at his expense. The number of samples and test specimens shall be entirely at the discretion of the Engineer.

• When requested by the Engineer, the Contractor shall furnish, without charge, samples of all materials entering into the work, and no material shall be used prior to approval by the Engineer, except as provided in Section 4-1.04, "Certificates of Compliance," of these General Conditions.

#### 4-1.035 TESTING BY CONTRACTOR

• The Contractor shall be responsible for controlling the quality of the material entering the work and of the work performed, and shall perform testing as necessary to ensure quality control. The test methods used for quality control testing by the Contractor shall be as determined by the Contractor. The results of those quality control tests shall be made available to the Engineer upon request. Contractor performed quality control tests are for the Contractor's use in controlling the work and will not be accepted for use as acceptance tests.

#### 4-1.04 CERTIFICATES OF COMPLIANCE

- A Certificate of Compliance shall be furnished prior to the use of any materials for which the special provisions require that a Certificate of Compliance be furnished. In addition, the Engineer may permit the use of certain materials or assemblies prior to sampling and testing if accompanied by a Certificate of Compliance. The certificate shall be signed by the manufacturer of the material or the manufacturer of assembled materials and shall state that the materials involved comply in all respects with the requirements of the special provisions. A Certificate of Compliance shall be furnished with each lot of such materials delivered to the work and the lot so certified shall be clearly identified in the certificate.
- Materials used on the basis of a Certificate of Compliance may be sampled and tested at any time. The fact that material is used on the basis of a Certificate of Compliance shall not relieve the Contractor of responsibility for incorporating material in the work which conforms to the requirements of the plans and special provisions and any material not conforming to those requirements will be subject to rejection whether in place or not.
- The Department reserves the right to refuse to permit the use of material on the basis of a Certificate of Compliance.
- The form of the Certificate of Compliance and its disposition shall be as directed by the Engineer.

#### **SECTION 5**

#### LEGAL RELATIONS AND RESPONSIBILITIES

#### 5-1.01 LAWS TO BE OBSERVED

• The Contractor shall keep informed of and observe, and comply with and cause all of his agents and employees to observe and comply with all prevailing Federal and State laws, and rules and regulations made pursuant to the Federal and State laws, and county and municipal ordinances, and regulations, which in any way affect the conduct of the work of the contract. If any conflict arises between provisions of the contract and any laws above referred to, the Contractor shall notify the Engineer at once in writing. The Contractor shall protect and indemnify the State or any of its officers, agents, and servants against any claim or liability arising from or based on the violation of any law, rule, or regulation, whether by the Contractor or the Contractor's agents or employees.

#### 5-1.01A Hours of Labor

• Eight hours labor constitutes a legal day's work. The Contractor or any subcontractor under the Contractor shall forfeit, as a penalty to the State of California, \$25 for each worker employed in the execution of the contract by the respective Contractor or subcontractor for each calendar day during which that worker is required or permitted to work more than 8 hours in any one calendar day and 40 hours in any one calendar week in violation of the provisions of the Labor Code, and in particular, Section 1810 to Section 1815, thereof, inclusive, except that work performed by employees of Contractors in excess of 8 hours per day, and 40 hours during any one week, shall be permitted upon compensation for all hours worked in excess of 8 hours per day at not less than one and one-half times the basic rate of pay, as provided in Section 1815 thereof.

#### 5-1.01B Labor Nondiscrimination

• Attention is directed to Section 1735 of the Labor Code, which reads as follows:

"No discrimination shall be made in the employment of persons upon public works because of the race, religious creed, color, national origin, ancestry, physical handicap, medical condition, marital status, or sex of such persons, except as provided in Section 12940 of the Government Code and every contractor for public works violating this section is subject to all the penalties imposed for a violation of this chapter."

• Attention is directed to the following "Nondiscrimination Clause" that is required by Chapter 5 of Division 4 of Title 2, California Code of Regulations:

#### NONDISCRIMINATION CLAUSE

- 1. During the performance of this contract, contractor and its subcontractors shall not unlawfully discriminate against any employee or applicant for employment because of race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, age (over 40) or sex. Contractors and subcontractors shall ensure that the evaluation and treatment of their employees and applicants for employment are free of such discrimination. Contractors and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code, Section 12990 et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code, Section 12990, set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations are incorporated into this contract by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.
- 2. This Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

# STANDARD CALIFORNIA NONDISCRIMINATION CONSTRUCTION CONTRACT SPECIFICATIONS (GOVERNMENT CODE, SECTION 12990)

These specifications are applicable to all nonexempt State contracts and subcontracts, and to the "Standard California Nondiscrimination Construction Contract Specifications" set forth herein. The specifications are applicable to all nonexempt State construction contracts and subcontracts of \$5,000 or more.

1. As used in the specifications:

- a. "Administrator" means Administrator, Office of Compliance Programs, California Department of Fair Employment and Housing, or any person to whom the Administrator delegates authority;
- b. "Minority" includes:
  - (i) Black (all persons having primary origins in any of the black racial groups of Africa, but not of Hispanic origin);
  - (ii) Hispanic (all persons of primary culture or origin in Mexico, Puerto Rico, Cuba, Central or South America or other Spanish derived culture or origin regardless of race);
  - (iii) Asian/Pacific Islander (all persons having primary origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent or the Pacific Islands); and
  - (iv) American Indian/Alaskan Native (all persons having primary origins in any of the original peoples of North America and who maintain culture identification through tribal affiliation or community recognition).
- 2. Whenever the contractor or any subcontractor subcontracts a portion of the work, it shall physically include in each subcontract of \$5,000 or more the nondiscrimination clause in this contract directly or through incorporation by reference. Any subcontract for work involving a construction trade shall also include the Standard California Construction Contract Specifications, either directly or through incorporation by reference.
- 3. The contractor shall implement the specific nondiscrimination standards provided in paragraphs 6(a) through (e) of these specifications.
- 4. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the contractor's obligations under these specifications, Government Code, Section 12990, or the regulations promulgated pursuant thereto.
- 5. In order for the nonworking training hours of apprentices and trainees to be counted, such apprentices and trainees must be employed by the contractor during the training period, and the contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor or the California Department of Industrial Relations.
- 6. The contractor shall take specific actions to implement its nondiscrimination program. The evaluation of the contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The contractor must be able to demonstrate fully its efforts under Steps a. through e. below:
  - a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and at all facilities at which the contractor's employees are assigned to work. The contractor, where possible, will assign two or more women to each construction project. The contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the contractor's obligations to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - b. Provide written notification within seven days to the director of DFEH when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
  - c. Disseminate the Contractor's equal employment opportunity policy by providing notice of the policy to unions and training, recruitment and outreach programs and requesting their cooperation in assisting the Contractor to meet its obligations; and by posting the company policy on bulletin boards accessible to all employees at each location where construction work is performed.
  - d. Ensure all personnel making management and employment decisions regarding hiring, assignment, layoff, termination, conditions of work, training, rates of pay or other employment decisions, including all supervisory personnel, superintendents, general foremen, on-site foremen, etc., are aware of the Contractor's equal employment opportunity policy and obligations, and discharge their responsibilities accordingly.
  - e. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the equal employment opportunity policy and the Contractor's obligations under these specifications are being carried out.

- 7. Contractors are encouraged to participate in voluntary associations which assist in fulfilling their equal employment opportunity obligations. The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's.
- 8. The Contractor is required to provide equal employment opportunity for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Fair Employment and Housing Act (Gov. Code, Section 12990 et seq.) if a particular group is employed in a substantially disparate manner.
- 9. Establishment and implementation of a bona fide affirmative action plan pursuant to Section 8104 (b) of this Chapter shall create a rebuttal presumption that a contractor is in compliance with the requirements of Section 12990 of the Government Code and its implementing regulations.
- 10. The Contractor shall not use the nondiscrimination standards to discriminate against any person because of race, color, religion, sex, national origin, ancestry, physical handicap, medical condition, marital status or age over 40.
- 11. The Contractor shall not enter into any subcontract with any person or firm decertified from state contracts pursuant to Government Code Section 12990.
- 12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and the nondiscrimination clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Government Code Section 12990 and its implementing regulations by the awarding agency. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Government Code Section 12990.
- 13. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company equal employment opportunity policy is being carried out, to submit reports relating to the provisions hereof as may be required by OCP and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status, (e.g., mechanic, apprentice trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in any easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

NOTE: Authority cited: Sections 12935(a) and 12990(d), Government Code. Reference: Section 12990, Government Code.

#### 5-1.01C Prevailing Wage

The Contractor and any subcontractor under the Contractor shall comply with Labor Code Sections 1774 and 1775. Pursuant to Section 1775, the Contractor and any subcontractor under the Contractor shall forfeit to the State or political subdivision on whose behalf the contract is made or awarded a penalty of not more than fifty dollars (\$50) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates as determined by the Director of Industrial Relations for the work or craft in which the worker is employed for any public work done under the contract by the Contractor or by any subcontractor under the Contractor in violation of the provisions of the Labor Code and in particular, Labor Code Sections 1770 to 1780, inclusive. The amount of this forfeiture shall be determined by the Labor Commissioner and shall be based on consideration of the mistake, inadvertence, or neglect of the Contractor or subcontractor in failing to pay the correct rate of prevailing wages, or the previous record of the Contractor or subcontractor in meeting their respective prevailing wage obligations, or the willful failure by the Contractor or subcontractor to pay the correct rates of prevailing wages. A mistake, inadvertence, or neglect in failing to pay the correct rate of prevailing wages is not excusable if the Contractor or subcontractor had knowledge of their obligations under the Labor Code. In addition to the penalty and pursuant to Labor Code Section 1775, the difference between the prevailing wage rates and the amount paid to each worker for each calendar day or portion thereof for which each worker was paid less than the prevailing wage rate shall be paid to each worker by the Contractor or subcontractor. If a worker employed by a subcontractor on a public works project is not paid the general prevailing per diem wages by the subcontractor, the prime contractor of the project is not liable for the penalties described above unless the prime contractor had knowledge of that failure of the subcontractor to pay the specified prevailing rate of wages to those workers or unless the prime contractor fails to comply with all of the following requirements:

- 1. The contract executed between the contractor and the subcontractor for the performance of work on the public works project shall include a copy of the provisions of Sections 1771, 1775, 1776, 1777.5, 1813, and 1815 of the Labor Code.
- 2. The contractor shall monitor the payment of the specified general prevailing rate of per diem wages by the subcontractor to the employees, by periodic review of the certified payroll records of the subcontractor.
- 3. Upon becoming aware of the subcontractor's failure to pay the specified prevailing rate of wages to the subcontractor's workers, the contractor shall diligently take corrective action to halt or rectify the failure, including, but not limited to, retaining sufficient funds due the subcontractor for work performed on the public works project.
- 4. Prior to making final payment to the subcontractor for work performed on the public works project, the contractor shall obtain an affidavit signed under penalty of perjury from the subcontractor that the subcontractor has paid the specified general prevailing rate of per diem wages to the subcontractor's employees on the public works project and any amounts due pursuant to Section 1813 of the Labor Code.
- Pursuant to Section 1775 of the Labor Code, the Division of Labor Standards Enforcement shall notify the Contractor on a public works project within 15 days of the receipt by the Division of Labor Standards Enforcement of a complaint of the failure of a subcontractor on that public works project to pay workers the general prevailing rate of per diem wages. If the Division of Labor Standards Enforcement determines that employees of a subcontractor were not paid the general prevailing rate of per diem wages and if the Department did not retain sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the contractor shall withhold an amount of moneys due the subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by the Division of Labor Standards Enforcement. The Contractor shall pay any money retained from and owed to a subcontractor upon receipt of notification by the Division of Labor Standards Enforcement that the wage complaint has been resolved. If notice of the resolution of the wage complaint has not been received by the Contractor within 180 days of the filing of a valid notice of completion or acceptance of the public works project, whichever occurs later, the Contractor shall pay all moneys retained from the subcontractor to the Department. These moneys shall be retained by the Department pending the final decision of an enforcement action.
- Pursuant to the provisions of Section 1773 of the Labor Code, the Department has obtained the general prevailing rate of wages (which rate includes employer payments for health and welfare, pension, vacation, travel time, and subsistence pay as provided for in Section 1773.8 of the Labor Code, apprenticeship or other training programs authorized by Section 3093 of the Labor Code, and similar purposes) applicable to the work to be done, for straight time, overtime, Saturday, Sunday and holiday work. The holiday wage rate listed shall be applicable to all holidays recognized in the collective bargaining agreement of the particular craft, classification or type of workmen concerned. The general prevailing wage rates and any applicable changes to these wage rates are available at the Labor Compliance Office at the offices of the District Director of Transportation for the district in which the work is situated. For work situated in District 9, the wage rates are available at the Labor Compliance Office at the offices of the District Director of Transportation for District 6, located at Fresno. General prevailing wage rates are also available from the California Department of Industrial Relations' internet web site at: http://www.dir.ca.gov.
- The wage rates determined by the Director of Industrial Relations for the project refer to expiration dates. Prevailing wage determinations with a single asterisk after the expiration date are in effect on the date of advertisement for bids and are good for the life of the contract. Prevailing wage determinations with double asterisks after the expiration date indicate that the wage rate to be paid for work performed after this date has been determined. If work is to extend past this date, the new rate shall be paid and incorporated in the contract. The Contractor shall contact the Department of Industrial Relations as indicated in the wage rate determinations to obtain predetermined wage changes.
- Pursuant to Section 1773.2 of the Labor Code, general prevailing wage rates shall be posted by the Contractor at a prominent place at the site of the work.
- Changes in general prevailing wage determinations which conform to Labor Code Section 1773.6 and Title 8 California Code of Regulations Section 16204 shall apply to the project when issued by the Director of Industrial Relations at least 10 days prior to the date of the Notice to Contractors for the project.
- The State will not recognize any claim for additional compensation because of the payment by the Contractor of any wage rate in excess of the prevailing wage rate set forth in the contract. The possibility of wage increases is one of the elements to be considered by the Contractor in determining the bid, and will not under any circumstances be considered as the basis of a claim against the State on the contract.

#### 5-1.01D Travel And Subsistence Payments

Attention is directed to the requirements in Section 1773.8 of the Labor Code. The Contractor shall make travel and subsistence payments to each workman, needed to execute the work, in conformance with the requirements in Labor Code Section 1773.8.

#### 5-1.01E Payroll Records

- Attention is directed to the provisions of Labor Code Section 1776, a portion of which is quoted below. Regulations implementing Labor Code Section 1776 are located in Sections 16016 through 16019 and Sections 16207.10 through 16207.19 of Title 8, California Code of Regulations.
  - "1776. (a) Each contractor and subcontractor shall keep accurate payroll records, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by him or her in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:
    - (1) The information contained in the payroll record is true and correct.
    - (2) The employer has complied with the requirements of Sections 1771, 1811, and 1815 for any work performed by his or her employees on the public works project.
  - "(b) The payroll records enumerated under subdivision (a) shall be certified and shall be available for inspection at all reasonable hours at the principal office of the contractor on the following basis:
    - (1) A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or his or her authorized representative on request.
    - (2) A certified copy of all payroll records enumerated in subdivision (a) shall be made available for inspection or furnished upon request to a representative of the body awarding the contract, the Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards of the Department of Industrial Relations.
    - (3) A certified copy of all payroll records enumerated in subdivision (a) shall be made available upon request by the public for inspection or for copies thereof. However, a request by the public shall be made through either the body awarding the contract, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement. If the requested payroll records have not been provided pursuant to paragraph (2), the requesting party shall, prior to being provided the records, reimburse the costs of preparation by the contractor, subcontractors, and the entity through which the request was made. The public shall not be given access to the records at the principal office of the contractor.
  - "(c) The certified payroll records shall be on forms provided by the Division of Labor Standards Enforcement or shall contain the same information as the forms provided by the division.
  - "(d) A contractor or subcontractor shall file a certified copy of the records enumerated in subdivision (a) with the entity that requested the records within 10 days after receipt of a written request.
  - "(e) Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by the awarding body, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement shall be marked or obliterated in a manner so as to prevent disclosure of an individual's name, address, and social security number. The name and address of the contractor awarded the contract or the subcontractor performing the contract shall not be marked or obliterated.
  - "(f) The contractor shall inform the body awarding the contract of the location of the records enumerated under subdivision (a), including the street address, city and county, and shall, within five working days, provide a notice of a change of location and address.
  - "(g) The contractor or subcontractor shall have 10 days in which to comply subsequent to receipt of a written notice requesting the records enumerated in subdivision (a). In the event that the contractor or subcontractor fails to comply within the 10-day period, he or she shall, as a penalty to the state or political subdivision on whose behalf the contract is made or awarded, forfeit twenty-five dollars (\$25) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the Division of Apprenticeship Standards or the Division of Labor Standards Enforcement, these penalties shall be withheld from progress payments then due. A contractor is not subject to a penalty assessment pursuant to this section due to the failure of a subcontractor to comply with this section."
- The penalties specified in subdivision (g) of Labor Code Section 1776 for noncompliance with the provisions of Section 1776 may be deducted from any moneys due or which may become due to the Contractor.
- A copy of all payrolls shall be submitted weekly to the Engineer. Payrolls shall contain the full name, address and social security number of each employee, the employee's correct classification, rate of pay, daily and weekly number of hours worked, itemized deductions made and actual wages paid. They shall also indicate apprentices and ratio of apprentices to journeymen. The employee's address and social security number need only appear on the first payroll on which that name

appears. The payroll shall be accompanied by a "Statement of Compliance" signed by the employer or the employer's agent indicating that the payrolls are correct and complete and that the wage rates contained therein are not less than those required by the contract. The "Statement of Compliance" shall be on forms furnished by the Department or on any form with identical wording. The Contractor shall be responsible for the submission of copies of payrolls of all subcontractors.

- If by the 15th of the month, the Contractor has not submitted satisfactory payrolls for all work performed during the monthly period ending on or before the 1st of that month, the Department will retain an amount equal to 10 percent of the estimated value of the work performed during the month from the next monthly estimate, except that this retention shall not exceed \$10,000 nor be less than \$1,000. Retentions for failure to submit satisfactory payrolls shall be additional to all other retentions provided for in the contract. The retention for failure to submit payrolls for any monthly period will be released for payment on the monthly estimate for partial payments next following the date that all the satisfactory payrolls for which the retention was made are submitted.
- The Contractor and each subcontractor shall preserve their payroll records for a period of 3 years from the date of completion of the contract.

#### 5-1.01F Trench Safety

- Attention is directed to the provisions of Section 6705 of the Labor Code concerning trench excavation safety plans.
- The Construction Safety Orders of the Division of Occupational Safety and Health shall apply to all excavations. For all excavations 1.5 m or more in depth, the Contractor shall submit to the Engineer a detailed plan showing the design and details of the protective systems to be provided for worker protection from the hazard of caving ground during excavation. The detailed plan shall include any tabulated data and any design calculations used in the preparation of the plan. Excavation shall not begin until the detailed plan has been reviewed and approved by the Engineer.
- Detailed plans of protective systems for which the Construction Safety Orders require design by a registered professional engineer shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California, and shall include the soil classification, soil properties, soil design calculations that demonstrate adequate stability of the protective system, and any other design calculations used in the preparation of the plan.
- No plan shall allow the use of a protective system less effective than that required by the Construction Safety Orders.
- If the detailed plan includes designs of protective systems developed only from the allowable configurations and slopes, or Appendices, contained in the Construction Safety Orders, the plan shall be submitted at least 5 days before the Contractor intends to begin excavation. If the detailed plan includes designs of protective systems developed from tabulated data, or designs for which design by a registered professional engineer is required, the plan shall be submitted at least 3 weeks before the Contractor intends to begin excavation.
- In addition to these provisions detailed plans of the protective systems for excavations on or affecting railroad property will be reviewed for adequacy of protection provided for railroad facilities, property, and traffic. These plans for excavations on or affecting railroad property shall be submitted at least 9 weeks before the Contractor intends to begin excavation requiring the protective systems. Approval by the Engineer of the detailed plans for the protective systems will be contingent upon the plans being satisfactory to the railroad company involved.

#### 5-1.01G Apprentices

- Attention is directed to Sections 1777.5, 1777.6 and 1777.7 of the California Labor Code and Title 8, California Code of Regulations Section 200 et seq. To ensure compliance and complete understanding of the law regarding apprentices, and specifically the required ratio thereunder, each contractor or subcontractor should, where some question exists, contact the Division of Apprenticeship Standards, 455 Golden Gate Avenue, San Francisco, CA 94102, or one of its branch offices prior to commencement of work on the public works contract. Responsibility for compliance with this section lies with the prime Contractor.
- It is State policy to encourage the employment and training of apprentices on public works contracts as may be permitted under local apprenticeship standards.

#### 5-1.01H Fair Labor Standards Act

• The attention of bidders is invited to the fact that the State of California, Department of Transportation, has been advised by the Wage and Hour Division, U.S. Department of Labor, that contractors engaged in construction work are required to meet the provisions of the Fair Labor Standards Act of 1938 and as amended (52 Stat. 1060).

#### 5-1.01I Workers' Compensation

- Pursuant to the requirements in Section 1860 of the Labor Code, the Contractor will be required to secure the payment of workers' compensation to the Contractor's employees in conformance with the requirements in Section 3700 of the Labor Code
- Prior to the commencement of work, the Contractor shall sign and file with the Engineer a certification in the following form:

"I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with the provisions of Section 3700 before commencing the performance of the work of this contract."

• This certification is included in the contract, and signature and return of the contract as provided in Section 2-1.04, "Execution of Contract," of the Instructions to Bidders shall constitute signing and filing of the certificate.

#### 5-1.01J Air Pollution Control

- The Contractor shall comply with all air pollution control rules, regulations, ordinances and statutes which apply to any work performed pursuant to the contract, including any air pollution control rules, regulations, ordinances and statutes, specified in Section 11017 of the Government Code.
- Unless otherwise provided in the special provisions, material to be disposed of shall not be burned, either inside or outside the premises.

#### 5-1.01K Use Of Pesticides

- The Contractor shall comply with all rules and regulations of the Department of Food and Agriculture, the Department of Health, the Department of Industrial Relations and all other agencies which govern the use of pesticides required in the performance of the work on the contract.
- Pesticides shall include but shall not be limited to herbicides, insecticides, fungicides, rodenticides, germicides, nematocides, bactericides, inhibitors, fumigants, defoliants, desiccants, soil sterilants, and repellents.
- Any substance or mixture of substances intended for preventing, repelling, mitigating, or destroying weeds, insects, diseases, rodents, or nematodes and any substance or mixture of substances intended for use as a plant regulator, defoliant or desiccant shall be considered a pesticide.

#### 5-1.01L Sound Control Requirements

- The Contractor shall comply with all local sound control and noise level rules, regulations and ordinances which apply to any work performed pursuant to the contract.
- Each internal combustion engine, used for any purpose on the job or related to the job, shall be equipped with a muffler of a type recommended by the manufacturer. No internal combustion engine shall be operated on the project without the muffler.

#### 5-1.01M Environmental Clearances

• The Department will obtain all environmental clearances and authorizations necessary for the project as set forth in the plans and specifications. The Contractor shall comply with the provisions, including giving notices during construction when required, of these authorizations. In the event the obtaining of these authorizations delays completion of all or any portion of the work, an extension of time determined pursuant to the provisions in Section 6-1.08, "Liquidated Damages," of these General Conditions will be granted and the Contractor shall not be entitled to any additional compensation because of the delays.

#### 5-1.01N Permits And Licenses

- The Contractor shall procure all permits and licenses, pay all charges and fees, and give all notices necessary and incident to the due and lawful prosecution of the work.
- The Environmental Quality Act (Public Resources Code, Sections 21000 to 21176, inclusive) may be applicable to permits, licenses and other authorizations which the Contractor must obtain from local agencies in connection with performing the work of the contract. The Contractor shall comply with the provisions of those statutes in obtaining the permits, licenses and other authorizations and they shall be obtained in sufficient time to prevent delays to the work.
- In the event that the Department has obtained permits, licenses or other authorizations, applicable to the work, in conformance with the requirements in the Environmental Quality Act, the Contractor shall comply with the provisions of those permits, licenses and other authorizations.

#### 5-1.010 Assignment Of Antitrust Actions

• The Contractor's attention is directed to the following requirements in Public Contract Code 7103.5 and Government Code Sections 4553 and 4554, which shall be applicable to the Contractor and the Contractor's subcontractors:

"In entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, the contractor or subcontractor offers and agrees to assign to the awarding body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the awarding body tenders final payment to the contractor, without further acknowledgement by the parties."

"If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery.

"Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action."

#### 5-1.01P Safety And Health Provisions

- The Contractor shall conform to all applicable occupational safety and health standards, rules, regulations and orders established by the State of California.
- Working areas utilized by the Contractor to perform work during the hours of darkness, shall be lighted to conform to the minimum illumination intensities established by California Division of Occupational Safety and Health Construction Safety Orders.
- · All lighting fixtures shall be mounted and directed in a manner precluding glare to approaching traffic.

#### 5-1.01Q Suits To Recover Penalties And Forfeitures

- Attention is directed to Sections 1730 to 1733, inclusive, of the Labor Code concerning suits to recover amounts withheld from payment for failure to comply with requirements of the Labor Code or contract provisions based on those laws.
- Those sections provide that a suit on the contract for alleged breach thereof in not making the payment is the exclusive remedy of the Contractor or the Contractor's assignees with reference to amounts withheld for those penalties or forfeitures; and that the suit must be commenced and actual notice thereof received by the awarding authority prior to 90 days after completion of the contract and the formal acceptance of the job.
- Submission of a claim under Section 7-1.07, "Final Payment and Claims," of these General Conditions for the amounts withheld from payment for those penalties and forfeitures is not a prerequisite for those suits and these claims will not be considered.

#### 5-1.01R Water Pollution

- The Contractor shall exercise every reasonable precaution to protect streams, lakes, reservoirs, bays, and coastal waters from pollution with fuels, oils, bitumens, calcium chloride and other harmful materials and shall conduct and schedule operations so as to avoid or minimize muddying and silting of streams, lakes, reservoirs, bays and coastal waters. Care shall be exercised to preserve roadside vegetation beyond the limits of construction.
- Water pollution control work is intended to provide prevention, control, and abatement of water pollution to streams, waterways, and other bodies of water, and shall consist of constructing those facilities which may be shown on the plans, specified herein or in the special provisions, or directed by the Engineer.
- In order to provide effective and continuous control of water pollution it may be necessary for the Contractor to perform the contract work in small or multiple units, on an out of phase schedule, and with modified construction procedures. The Contractor shall provide temporary water pollution control measures, including but not limited to, dikes, basins, ditches, and applying straw and seed, which become necessary as a result of the Contractor's operations. The Contractor shall coordinate water pollution control work with all other work done on the contract.
- Before starting any work on the project, the Contractor shall submit, for acceptance by the Engineer, a program to control water pollution effectively during construction of the project. The program shall show the schedule for the erosion control work included in the contract and for all water pollution control measures which the Contractor proposes to take in connection with construction of the project to minimize the effects of the operations upon adjacent streams and other bodies of water. The Contractor shall not perform any clearing and grubbing or earthwork on the project, other than that specifically authorized in writing by the Engineer, until the program has been accepted.
- If the measures being taken by the Contractor are inadequate to control water pollution effectively, the Engineer may direct the Contractor to revise the operations and the water pollution control program. The directions will be in writing and will specify the items of work for which the Contractor's water pollution control measures are inadequate. No further work shall be performed on those items until the water pollution control measures are adequate and, if also required, a revised water pollution control program has been accepted.
- The Engineer will notify the Contractor of the acceptance or rejection of any submitted or revised water pollution control program in not more than 5 working days.
- The State will not be liable to the Contractor for failure to accept all or any portion of an originally submitted or revised water pollution control program, nor for any delays to the work due to the Contractor's failure to submit an acceptable water pollution control program.

- The Contractor may request the Engineer to waive the requirement for submission of a written program for control of water pollution when the nature of the Contractor's operation is such that erosion is not likely to occur. Waiver of this requirement will not relieve the Contractor from responsibility for compliance with the other provisions of this section. Waiver of the requirement for a written program for control of water pollution will not preclude requiring submittal of a written program at a later time if the Engineer deems it necessary because of the effect of the Contractor's operations.
- Unless otherwise approved by the Engineer in writing, the Contractor shall not expose a total area of erosible earth material, which may cause water pollution, exceeding 70 000 m<sup>2</sup> for each separate location, operation, or spread of equipment before either temporary or permanent erosion control measures are accomplished.
- Where erosion which will cause water pollution is probable due to the nature of the material or the season of the year, the Contractor's operations shall be so scheduled that permanent erosion control features will be installed concurrently with or immediately following grading operations.
- Nothing in the terms of the contract nor in the provisions in this Section 5-1.01R shall relieve the Contractor of the responsibility for compliance with Sections 5650 and 12015 of the Fish and Game Code, or other applicable statutes relating to prevention or abatement of water pollution.
- When borrow material is obtained from other than commercially operated sources, erosion of the borrow site during and after completion of the work shall not result in water pollution. The material source shall be finished, where practicable, so that water will not collect or stand therein.
- The requirements of this section shall apply to all work performed under the contract and to all non-commercially operated borrow or disposal sites used for the project.
- The Contractor shall also conform to the following provisions:
  - 1. Where working areas encroach on live streams, barriers adequate to prevent the flow of muddy water into streams shall be constructed and maintained between working areas and streams, and during construction of the barriers, muddying of streams shall be held to a minimum.
  - 2. Removal of material from beneath a flowing stream shall not be commenced until adequate means, such as a bypass channel, are provided to carry the stream free from mud or silt around the removal operations.
  - 3. Should the Contractor's operations require transportation of materials across live streams, the operations shall be conducted without muddying the stream. Mechanized equipment shall not be operated in the stream channels of the live streams except as may be necessary to construct crossings or barriers and fills at channel changes.
  - 4. Water containing mud or silt from aggregate washing or other operations shall be treated by filtration, or retention in a settling pond, or ponds, adequate to prevent muddy water from entering live streams.
  - 5. Oily or greasy substances originating from the Contractor's operations shall not be allowed to enter or be placed where they will later enter a live stream.
  - 6. Portland cement or fresh portland cement concrete shall not be allowed to enter flowing water of streams.
  - 7. When operations are completed, the flow of streams shall be returned as nearly as possible to a meandering thread without creating possible future bank erosion, and settling pond sites shall be graded so they will drain and will blend in with the surrounding terrain.
  - 8. Material derived from roadway work shall not be deposited in a live stream channel where it could be washed away by high stream flows.
  - 9. Where there is possible migration of anadromous fish in streams affected by construction on the project, the Contractor shall conduct work operations so as to allow free passage of the migratory fish.
- Compliance with the requirements of this section shall in no way relieve the Contractor from the responsibility to comply with the other provisions of the contract, in particular the responsibility for damage and for preservation of property.

#### 5-1.02 PROTECTION AND USE OF PROPERTY

- The Contractor shall be responsible for and provide and maintain all proper temporary walks, roads, guards, railings, lights, warning signs, and take precaution at all times to avoid injury or damage to any person or any property, and upon completion of the work, or at other times as directed, restore premises and adjacent property to a proper condition.
- The Contractor shall protect adjoining property and nearby buildings, including State buildings, State roads, and public streets or roads, from dust, dirt, debris, or other nuisance arising out of the Contractor's operations or storage practices, and, if

ordered by the Engineer, the Contractor shall provide and install suitable safeguards, approved by the Engineer, to protect objects from damage. If any objects are injured or damaged by reason of the Contractor's operations, they shall be replaced or restored at the Contractor's expense. The facilities shall be replaced or restored to a condition as good as when the Contractor entered upon the work, or as good as required by the specifications accompanying the contract, if any of the objects are a part of the work being performed under the contract.

- If the Contractor damages any buildings, roads or other property which belong to the State, or any department or agency thereof, then the Engineer, at his option, may retain from the money due under the contract an amount sufficient to insure repair of the damage.
- The Engineer may make or cause to be made those temporary repairs that are necessary to restore to service any damaged highway facility. The cost of the repairs shall be borne by the Contractor and may be deducted from any moneys due or to become due to the Contractor under the contract.
- The fact that any underground facility is not shown upon the plans shall not relieve the Contractor of the responsibility of protecting underground improvements or facilities. It shall be the Contractor's responsibility, pursuant thereto, to ascertain the location of those underground improvements or facilities, which may be subject to damage by reason of the Contractor's operations.

# 5-1.03 (BLANK)

# 5-1.031 INDEMNIFICATION

- With the exception that this section shall in no event be construed to require indemnification by the Contractor to a greater extent than permitted by law, the Contractor shall defend, indemnify and save harmless the State, including its officers, directors, agents (excluding agents who are design professionals), and employees, and each of them (Indemnitees), from any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, losses or liabilities, in law or in equity, of every kind and nature whatsoever (Claims), arising out of or in connection with the Contractor's performance of this contract for:
  - A. Bodily injury including, but not limited to, bodily injury, sickness or disease, emotional injury or death to persons, including, but not limited to, the public, any employees or agents of the Contractor, State, Department, or any other contractor and;
  - B. Damage to property of anyone including loss of use thereof;

caused or alleged to be caused in whole or in part by any negligent or otherwise legally actionable act or omission of the Contractor or anyone directly or indirectly employed by the Contractor or anyone for whose acts the Contractor may be liable.

- Except as otherwise provided by law, the indemnification provisions above shall apply regardless of the existence or degree of fault of Indemnitees. The Contractor, however, shall not be obligated to indemnify Indemnitees for Claims arising from conduct delineated in Civil Code section 2782. Further, the Contractor's indemnity obligation shall not extend to Claims to the extent they arise from any defective or substandard condition of the roadway which existed at or prior to the time the Contractor commenced work, unless this condition has been changed by the work or the scope of the work requires the Contractor to maintain existing Roadway facilities and the claim arises from the Contractor's failure to maintain. The Contractor's indemnity obligation shall extend to Claims arising after the work is completed and accepted only if these Claims are directly related to alleged acts or omissions of the Contractor which occurred during the course of the work. No inspection by the Department, its employees or agents shall be deemed a waiver by the Department of full compliance with the requirements of this section.
- The Contractor's obligation to defend and indemnify shall not be excused because of the Contractor's inability to evaluate liability or because the Contractor evaluates liability and determines that the Contractor is not liable to the claimant. The Contractor will respond within 30 days to the tender of any claim for defense and indemnity by the State, unless this time has been extended by the State. If the Contractor fails to accept or reject a tender of defense and indemnity within 30 days, in addition to any other remedy authorized by law, so much of the money due the Contractor under and by virtue of the contract as shall reasonably be considered necessary by the Department, may be retained by the State until disposition has been made of the claim or suit for damages, or until the Contractor accepts or rejects the tender of defense, whichever occurs first.
- With respect to third party claims against the Contractor, the Contractor waives any and all rights of any type to express or implied indemnity against the State, its directors, officers, employees, or agents (excluding agents who are design professionals).

# 5-1.032 INSURANCE

• Insurance shall conform to the following requirements:

# 5-1.032A Casualty Insurance

• The Contractor shall, at the Contractor's expense, procure and maintain insurance on all of its operations with companies acceptable to the Department as follows. All insurance shall be kept in full force and effect from the beginning of the work through final acceptance by the State. In addition, the Contractor shall maintain completed operations coverage with a carrier acceptable to the Department through the expiration of the patent deficiency in construction statute of repose set forth in Section 337.1 of the Code of Civil Procedure.

# 5-1.032A(1) Workers' Compensation and Employer's Liability Insurance

- Workers' Compensation insurance shall be provided as specified in Section 7-1.01A(6), "Workers' Compensation." Employer's Liability Insurance shall be provided in amounts not less than:
  - (a) \$1,000,000 for each accident for bodily injury by accident.
  - (b) \$1,000,000 policy limit for bodily injury by disease.
  - (c) \$1,000,000 for each employee for bodily injury by disease.
- If there is an exposure of injury to the Contractors' employees under the U.S. Longshoremen's and Harbor Workers' Compensation Act, the Jones Act or under laws, regulations or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

# 5-1.032A(2) Liability Insurance

- The Contractor shall carry General Liability and Umbrella or Excess Liability Insurance covering all operations by or on behalf of the Contractor providing insurance for bodily injury liability, and property damage liability for the limits of liability indicated below and including coverage for:
  - (a) premises, operations and mobile equipment
  - (b) products and completed operations
  - (c) broad form property damage (including completed operations)
  - (d) explosion, collapse and underground hazards
  - (e) personal injury
  - (f) contractual liability

# 5-1.032A(3) Liability Limits/Additional Insureds

- The limits of liability shall be at least:
  - (a) \$1,000,000 for each occurrence (combined single limit for bodily injury and property damage).
    - (b) \$2,000,000 aggregate for products-completed operations.
  - (c) \$2,000,000 general aggregate. This general aggregate limit shall apply separately to the Contractor's work under this Agreement.
  - (d) \$5,000,000 umbrella or excess liability. For projects over \$25,000,000 only, an additional \$10,000,000 umbrella or excess liability (for a total of \$15,000,000). Umbrella or excess policy shall include products liability completed operations coverage and may be subject to \$5,000,000 or \$15,000,000 aggregate limits. Further, the umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted.
- The State and the Department, including their officers, directors, agents (excluding agents who are design professionals), and State employees, shall be named as additional insureds under the General Liability and Umbrella Liability Policies with respect to liability arising out of or connected with work or operations performed by or on behalf of the Contractor under this contract. Coverage for those additional insureds shall not extend to liability:
  - (1) arising from any defective or substandard condition of the Roadway which existed at or prior to the time the Contractor commenced work, unless that condition has been changed by the work or the scope of the work requires the Contractor to maintain existing Roadway facilities and the claim arises from the Contractor's failure to maintain; or
  - (2) for claims occurring after the work is completed and accepted unless these claims are directly related to alleged acts or omissions of the Contractor which occurred during the course of the work; or
  - (3) to the extent prohibited by Section 11580.04 of the Insurance Code.
- The policy shall stipulate that the insurance afforded the additional insureds shall apply as primary insurance. Any other insurance or self insurance maintained by the Department or State will be excess only and shall not be called upon to contribute with this insurance. Those additional insured coverage shall be provided by a policy provision or by an

endorsement providing coverage at least as broad as Additional Insured (Form B) endorsement form CG 2010, as published by the Insurance Services Office (ISO).

# 5-1.032B Automobile Liability Insurance

• The Contractor shall carry automobile liability insurance, including coverage for all owned, hired and non-owned automobiles. The primary limits of liability shall be not less than \$1,000,000 combined single limit each accident for bodily injury and property damage. The umbrella or excess liability coverage required under Section 5-1.032A(3), "Liability Limits/Additional Insureds," shall also apply to automobile liability.

# 5-1.032C Policy Forms, Endorsements and Certificates

- The Contractor's General Liability Insurance shall be provided under Commercial General Liability policy form no. CG0001 as published by the Insurance Services Office (ISO) or under a policy form at least as broad as policy form no. CG0001.
- Evidence of insurance in a form acceptable to the Department, including the required "additional insured" endorsements, shall be furnished by the Contractor to the Department at or prior to the pre-construction conference. The evidence of insurance shall provide that there will be no cancellation, lapse, or reduction of coverage without thirty (30) days' prior written notice to the Department. Certificates of Insurance, as evidence of required insurance, for the General Liability, Auto Liability and Umbrella-Excess Liability policies shall set forth deductible amounts applicable to each policy and all exclusions which are added by endorsement to each policy. The Department may expressly allow deductible clauses, which it does not consider excessive, overly broad, or harmful to the interests of the State. Standard ISO form CG 0001 or similar exclusions will be allowed provided they are not inconsistent with the requirements of this section. Allowance of any additional exclusions is at the discretion of the Department. Regardless of the allowance of exclusions or deductions by the Department, the Contractor shall be responsible for any deductible amount and shall warrant that the coverage provided to the Department is consistent with the requirements of this section.

#### 5-1.032D Enforcement

• The Department may take any steps as are necessary to assure Contractor's compliance with its obligations. Should any insurance policy lapse or be canceled during the contract period the Contractor shall, within thirty (30) days prior to the effective expiration or cancellation date, furnish the Department with evidence of renewal or replacement of the policy. Failure to continuously maintain insurance coverage as herein provided is a material breach of contract. In the event the Contractor fails to maintain any insurance coverage required, the Department may, but is not required to, maintain this coverage and charge the expense to the Contractor or terminate this Agreement. The required insurance shall be subject to the approval of Department, but any acceptance of insurance certificates by the Department shall in no way limit or relieve the Contractor of the Contractor's duties and responsibilities under the Contract to indemnify, defend and hold harmless the State, its officers, agents, and employees. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve the Contractor for liability in excess of that coverage, nor shall it preclude the State from taking other actions as is available to it under any other provision of the contract or law. Failure of the Department to enforce in a timely manner any of the provisions of this section shall not act as a waiver to enforcement of any of these provisions at a later date.

# 5-1.032E Self-Insurance

• Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the State of evidence of the Contractor's financial capacity to respond. Additionally, self-insurance programs or retentions must provide the State with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance.

## 5-1.032F Miscellaneous

• Nothing contained in the Contract is intended to make the public or any member thereof a third party beneficiary of the Insurance or Indemnity provisions of these General Conditions, nor is any term, condition or other provision of the Contract intended to establish a standard of care owed to the public or any member thereof.

# 5-1.04 OCCUPANCY BY THE DEPARTMENT PRIOR TO ACCEPTANCE

- The Department reserves the right to occupy all or any part of the project prior to completion of the entire contract, upon written order therefor. In that event, the Contractor will be relieved of responsibility for any injury or damage to that part as results from the Department's occupancy and use by the Department. If the Contractor carries insurance against damage to the premises or against liability to third persons covering the premises so used and occupied by the Department, and if the occupancy results in increased premiums for insurance, the Department will pay to the Contractor the added cost for insurance during the period of occupancy.
- This occupancy does not constitute acceptance by the Director either of the complete work or of any portion thereof, nor will it relieve the Contractor of full responsibility for correcting defective work or materials found at any time before the formal written acceptance of the entire contract by the Director or during the full guarantee period after project acceptance, as provided in Section 7-1.09, "Guarantee," of these General Conditions.

# 5-1.05 CONTRACTOR'S RESPONSIBILITY FOR THE WORK

- Except as otherwise provided herein, the Contractor shall have the charge and care of the work and shall bear the risk of injury or damage to any part of the work by the action of the elements or from any other cause whether arising from the execution or from the nonexecution of the work until the acceptance of the contract by the Director. The Contractor shall rebuild, repair, restore, and make good all injuries or damages to any portion of the work occasioned by any cause before its completion and acceptance, and shall bear the expense thereof. In case of suspension of work from any cause whatever, the Contractor shall be responsible for the work and shall also be responsible for all materials, and shall properly store them if necessary, and shall provide suitable drainage and erect temporary structures where necessary.
- The Contractor will be relieved of responsibility for any injury or damage to the work caused by the following:
  - (1) An earthquake in excess of a magnitude of 3.5 on the Richter Scale or a tidal wave, when the effect of that event has been proclaimed a disaster or state of emergency by the Governor of the State of California or by the President of the United States, or was of such magnitude at the site of the work as to have been sufficient to have caused a proclamation of disaster or state of emergency, had it occurred in a populated area.
  - (2) Occupancy and use by the Department or the public prior to the completion of the entire project.
  - (3) Acts of the Federal Government or the public enemy.

#### 5-1.06 RESPONSIBILITY FOR UTILITIES

- The Contractor shall be responsible for the cost for any and all work, expense or special precautions caused or required by the existence or proximity of utilities encountered in performing the work, including without limitation thereon, repair of any or all damage and all hand or exploratory excavation required. The Contractor is cautioned that the utilities may include communication cables or electrical cables which may be high voltage, and when working or excavating in the vicinity of any cables, or the ducts enclosing cables, the Contractor shall observe any special precautions required and the cost of these special precautions. Suitable warning signs, barricades, and safety devices shall be erected as necessary or required.
- However, if during the course of the work the Contractor encounters utility installations which are not shown or indicated on the plans or in the special provisions, or which are found in a location substantially different from that shown, and the utilities are not reasonably apparent from visual examination, then the Contractor shall promptly notify the Engineer in writing. Where necessary for the work of the contract, the Engineer shall issue a written order to the Contractor to make adjustment, rearrangement, repair, removal, alteration, or special handling of the utility, including repair of utility if damaged. The Contractor shall perform the work described in the written order, and compensation therefor will be made in conformance with the provisions in Section 3, "Changes in the Work," of these General Conditions, relating to changes in the work. Except for the items of cost specified in Section 3, "Changes in the Work," of these General Conditions, the Contractor shall receive no compensation for any other cost, damage, delay, interference, or hindrance to him due to the presence of these utilities. If the Contractor fails to give the notice specified above and thereafter acts without instructions from the Engineer, then the Contractor shall be liable for any or all damage to these utilities or other work of the contract which arises from the Contractor's operations subsequent to discovery thereof, and the Contractor shall repair and make good any damage at the Contractor's expense.

# 5-1.07 PROPERTY RIGHTS IN MATERIALS

• Nothing in the contract shall be construed as vesting in the Contractor any right of property in the materials used after they have been attached or affixed to the work or soil or after partial payment has been made as provided in Section 7-1.05, "Partial Payment," of these General Conditions for material delivered on the ground or stored subject to or under the control of the State and unused. These material shall become the property of the State of California upon being so attached or affixed or upon payment for materials delivered on the ground or stored subject to or under the control of the State and unused, as provided in Section 7-1.05, "Partial Payment," of these General Conditions.

# 5-1.08 LEGAL ACTIONS AGAINST THE DEPARTMENT

• If, pursuant to court order, the Department temporarily suspends performance of all or any portion of the work, an extension of time determined pursuant to the provisions in Section 6-1.08, "Liquidated Damages," of these General Conditions will be granted, and the Contractor shall not be entitled to any additional compensation because of the suspension.

#### 5-1.09 NO PERSONAL LIABILITY

• Neither the Director, the Engineer, nor any other officer or authorized employee of the Department of Transportation shall be personally responsible for any liability arising under the contract.

# **5-1.10 PATENTS**

• The Contractor shall assume all costs arising from the use of patented materials, equipment, devices, or processes used on or incorporated in the work, and agrees to indemnify and save harmless the State of California, the Director, the Engineer, and their duly authorized representatives, from all suits at law, or actions of every nature for, or on account of the use of any patented materials, equipment, devices, or processes.

#### 5-1.11 PAYMENT OF TAXES

• The contract price paid for the work shall include full compensation for all taxes which the Contractor is required to pay, whether imposed by Federal, State or local government, including, without being limited to, Federal excise tax. No tax exemption certificate nor any document designed to exempt the Contractor from payment of any tax will be furnished to the Contractor by the Department, as to any tax on labor, services, materials, transportation, or any other items furnished pursuant to the contract.

#### 5-1.12 COOPERATION

• Should construction be under way by State forces or other forces or by other contractors within or adjacent to the limits of the work or should work of any other nature be under way by other forces within or adjacent to those limits, the Contractor shall cooperate with all the other contractors or other forces to the end that any delay, interference or hindrance to their work will be avoided. The right is reserved to perform other or additional work at or near the site at any time, by the use of other forces.

#### **SECTION 6**

#### PROSECUTION AND PROGRESS

# 6-1.01 SUBLETTING AND SUBCONTRACTING

- The Contractor shall be responsible for all work performed under the contract. All persons engaged in the work will be considered as employees of the Contractor. The Contractor shall give personal attention to the fulfillment of the contract and shall keep the work under the Contractor's control. When any subcontractor fails to prosecute a portion of the work in a manner satisfactory to the Engineer, the Contractor shall remove that subcontractor immediately upon written request of the Engineer, and the subcontractor shall not again be employed on the work. Although the sections of the contract may be arranged according to various trades, or general grouping of the work, the Contractor is not obligated to sublet the work in the same manner. The State will not arbitrate disputes among subcontractors or between the Contractor and one or more subcontractors concerning responsibility for performing any part of the work.
- Subcontracts shall include provisions that the contract between the State and the Contractor is part of the subcontract, and that all terms and provisions of the contract are incorporated in the subcontract. Subcontracts shall also contain certification by the subcontractor that the subcontractor is experienced in and qualified to do, and knowledgeable about, the subcontracted work. Copies of subcontracts shall be available to the Engineer upon written request, and shall be provided to the Engineer at the time any litigation against the State concerning the project is filed.
- Pursuant to the provisions of Section 6109 of the Public Contract Code, the Contractor shall not perform work on a public works project with a subcontractor who is ineligible to perform work on the public works project pursuant to Section 1777.1 or 1777.7 of the Labor Code.
- The Contractor shall not substitute any person as subcontractor in place of a subcontractor listed on the Contractor's bid proposal without the written approval of the Engineer. Substitutions must be in conformance with the provisions of the "Subletting and Subcontracting Fair Practices Act" beginning with Section 4100 of the Public Contract Code. Violations of this Act by the Contractor may subject him to penalties which may include cancellation of contract, assessment of 10 percent of the subcontractor's bid, and disciplinary action by the Contractors' State License Board.

# 6-1.02 ASSIGNMENT

- The performance of the contract may not be assigned, except upon the written consent of the Director. Consent will not be given to any proposed assignment which would relieve the original Contractor or the Contractor's surety of their responsibilities under the contract nor will the Director consent to any assignment of a part of the work under the contract.
- The Contractor may assign moneys due or to become due the Contractor under the contract and the assignment will be recognized by the Department, if given proper notice thereof, to the extent permitted by law, but any assignment of moneys shall be subject to all proper set-offs in favor of the Department and to all deductions provided for in the contract and particularly all money withheld, whether assigned or not, shall be subject to being used by the Department for the completion of the work in the event that the Contractor should be in default therein.

# 6-1.03 BEGINNING OF WORK

- The Contractor shall begin work within 15 calendar days after receiving notice that the contract has been approved by the Attorney General or the attorney appointed and authorized to represent the Department, and shall diligently prosecute the same to completion within the time limit provided in the special provisions.
- The Contractor shall notify the Engineer, in writing, of the Contractor's intent to begin work at least 72 hours before work is begun. The notice shall be delivered to the Office of the District Director of Transportation in the district in which the work is situated and shall specify the date the Contractor intends to start. If the project has more than one location of work, a separate notice shall be given for each location.
- Should the Contractor begin work in advance of receiving notice that the contract has been approved as above provided, any work performed by the Contractor in advance of the date of approval shall be considered as having been done by the Contractor at the Contractor's own risk and as a volunteer unless the contract is approved.
- The delivery to the State for execution and approval of the contract properly executed on behalf of the Contractor and surety and the minimum 72 hours advance written notice as required above shall constitute the Contractor's authority to enter upon the site of the work and to begin operations, subject to the Contractor's assumption of the risk of the disapproval of the contract, as above provided, and subject also to the following:
  - (1) The Contractor shall, on commencing operations, take all precautions required for public safety and shall observe all the provisions in these General Conditions and the special provisions.
  - (2) In the event of disapproval, the Contractor shall at the Contractor's expense do that work that is necessary to leave the site in a neat condition to the satisfaction of the Engineer.
  - (3) All work done according to the contract prior to its approval, will, when the contract is approved, be considered authorized work and will be paid for as provided in the contract.

(4) The Contractor shall not be entitled to any additional compensation or an extension of time for any delay, hindrance or interference caused by or attributable to commencement of work prior to the date on which the contract was approved by the Attorney General or the attorney appointed and authorized to represent the Department, except to the extent the delay, hindrance or interference would have been compensable hereunder had work been commenced on the date of the approval and the progress thereof been the same as that actually made.

#### 6-1.04 PROGRESS SCHEDULE

- The Contractor shall submit to the Engineer a practicable progress schedule within 15 days of approval of the contract, and within 7 days of the Engineer's written request at any other time.
- The Contractor may furnish the schedule on a form of the Contractor's choice or, if requested, the Engineer will furnish a form for the Contractor's use. If the Engineer furnishes a form, the Engineer will also furnish to the Contractor, on request, on or before the last day of each month a copy of the form showing the status of work actually completed during the preceding estimate period.
- The schedule shall show the order in which the Contractor proposes to carry out the work, the dates on which the Contractor will start the several salient features of the work, and the contemplated dates for completing those salient features.
- The progress schedules submitted shall be consistent in all respects with the time and order of work requirements of the contract.
- Subsequent to the time that submittal of a progress schedule is required in conformance with these General Conditions, no progress payment will be made for any work until a satisfactory schedule has been submitted to the Engineer.

# 6-1.05 SCHEDULE OF VALUES

• The Contractor shall submit to the Engineer a schedule of values for each lump sum item. The sum of the items listed in the schedule of values shall equal the contract lump sum prices. Overhead and profit shall not be listed as separate items. The schedule of values shall be approved by the Engineer before any partial payment estimate is prepared.

#### 6-1.06 TEMPORARY SUSPENSION OF WORK

- The Engineer shall have the authority to suspend the work wholly or in part, for any time period as the Engineer deems necessary, due to unsuitable weather, or to such other conditions as are considered unfavorable for the suitable prosecution of the work, or for any time period as the Engineer deems necessary due to the failure on the part of the Contractor to carry out orders given, or to perform any provision of the contract.
- The Contractor shall immediately comply with the written order of the Engineer to suspend the work wholly or in part. The suspended work shall be resumed when conditions are favorable and methods are corrected, as ordered or approved in writing by the Engineer.
- If the Engineer orders a suspension of all of the work or a portion of the work which is the current controlling operation or operations, due to unsuitable weather or to such other conditions as are considered unfavorable to the suitable prosecution of the work, the days on which the suspension is in effect shall not be considered working days as defined in Section 6-1.07," Time of Completion," of these General Conditions. If a portion of work at the time of the suspension is not a current controlling operation or operations, but subsequently does become the current controlling operation or operations, the determination of working days will be made on the basis of the then current controlling operation or operations.
- If a suspension of work is ordered by the Engineer, due to the failure on the part of the Contractor to carry out orders given or to perform any provision of the contract, the days on which the suspension order is in effect shall be considered working days if those days are working days within the meaning of the definition set forth in Section 6-1.07," Time of Completion," of these General Conditions.
- In the event of a suspension of work under any of the conditions set forth in this Section 6-1.06, the suspension of work shall not relieve the Contractor of the Contractor's legal responsibilities as set forth in these General Conditions.
- The Contractor shall have no claim for damage or compensation for any delay, interference or hindrance resulting from an ordered temporary suspension of the work.
- In addition to the requirements specified above, the following shall apply:

If the performance of all or any portion of the work is suspended or delayed by the Engineer in writing for an unreasonable period of time (not originally anticipated, customary, or inherent to the construction industry) and the Contractor believes that additional compensation or contract time or additional compensation and contract time is due as a result of the suspension or delay, the Contractor shall submit to the Engineer in writing a request for adjustment within 7 calendar days of receipt of the notice to resume work. The request shall set forth the reasons and support for the adjustment.

Upon receipt, the Engineer will evaluate the Contractor's request. If the Engineer agrees that the cost or time or cost and time required for the performance of the contract has increased as a result of the suspension and the suspension was caused by conditions beyond the control of and not the fault of the Contractor, the Contractor's suppliers, or subcontractors at any approved tier, and not caused by weather, the Engineer will make an adjustment (excluding profit)

and modify the contract in writing accordingly. The Engineer will notify the Contractor of the Engineer's determination whether or not an adjustment of the contract is warranted.

No contract adjustment will be allowed unless the Contractor has submitted the request for adjustment within the time prescribed.

No contract adjustment will be allowed under the provisions specified in this section to the extent that performance would have been suspended or delayed by any other cause, or for which an adjustment is provided for or excluded under any term or condition of this contract.

#### 6-1.07 TIME OF COMPLETION

- The Contractor shall complete all or any designated portion of the work called for under the contract in all parts and requirements within the time set forth in the special provisions.
- A working day is defined as any day, except Saturdays, Sundays and legal holidays and days on which the Contractor is specifically required by the special provisions to suspend construction operations, and except days on which the Contractor is prevented by inclement weather or conditions resulting immediately therefrom adverse to the current controlling operation or operations, as determined by the Engineer, from proceeding with at least 75 percent of the normal labor and equipment force engaged on the controlling operation or operations for at least 60 percent of the total daily time being currently spent on the controlling operation or operations.
- Should the Contractor prepare to begin work at the regular starting time in the morning of any day on which inclement weather, or the conditions resulting from the weather, or the condition of the work, prevents the work from beginning at the usual starting time and the crew is dismissed as a result thereof and the Contractor does not proceed with at least 75 percent of the normal labor and equipment force engaged in the current controlling operation or operations for at least 60 percent of the total daily time being currently spent on the controlling operation or operations, the Contractor will not be charged for a working day whether or not conditions should change thereafter during that day and the major portion of the day could be considered to be suitable for those construction operations.
- The current controlling operation or operations is to be construed to include any feature of the work which, if delayed, will delay the time of completion of the contract.
- Determination that a day is a nonworking day by reason of inclement weather or conditions resulting immediately therefrom shall be made and agreed upon during that day by conference between the Engineer and the Contractor. In the event of failure to agree, the Contractor will be allowed 15 days from the issuance of the weekly statement of working days in which to file a written protest setting forth in what respects the Contractor differs from the Engineer, otherwise the decision of the Engineer shall be deemed to have been accepted by the Contractor as correct. The Engineer will furnish the Contractor a weekly statement showing the number of working days charged to the contract for the preceding week, the number of working days of time extensions being considered or approved, the number of working days originally specified for the completion of the contract and the number of working days remaining to complete the contract and the extended date for completion thereof, except when working days are not being charged in conformance with the provisions in Section 6-1.06, "Temporary Suspension of Work," of these General Conditions.

## 6-1.08 LIQUIDATED DAMAGES

- It is agreed by the parties to the contract that in case all the work called for under the contract in all parts and requirements is not finished or completed within the number of working days as set forth in the special provisions, damage will be sustained by the State of California, and that it is and will be impracticable and extremely difficult to ascertain and determine the actual damage which the State will sustain in the event of and by reason of the delay; and it is therefore agreed that the Contractor will pay to the State of California, the sum set forth in the special provisions per day for each and every calendar day's delay in finishing the work in excess of the number of working days prescribed; and the Contractor agrees to pay the liquidated damages herein provided for, and further agrees that the Department may deduct the amount thereof from any moneys due or that may become due the Contractor under the contract.
- It is further agreed that in case the work called for under the contract is not finished and completed in all parts and requirements within the number of working days specified, the Director shall have the right to increase the number of working days or not, as the Director may deem best to serve the interest of the State, and if the Director decides to increase the number of working days, the Director shall further have the right to charge to the Contractor, the Contractor's heirs, assigns or sureties and to deduct from the final payment for the work all or any part, as the Director may deem proper, of the actual cost of engineering, inspection, superintendence, and other overhead expenses which are directly chargeable to the contract, and which accrue during the period of the extension, except that cost of final surveys and preparation of final statement shall not be included in the charges.
- The Contractor will be granted an extension of time and will not be assessed with liquidated damages or the cost of engineering and inspection for any portion of the delay in completion of the work beyond the time named in the special provisions for the completion of the work caused by acts of God or of the public enemy, fire, floods, tsunamis, earthquakes, epidemics, quarantine restrictions, strikes, labor disputes, shortage of materials and freight embargoes, provided, that the Contractor shall notify the Engineer in writing of the causes of delay within 15 days from the beginning of that delay. The

Engineer shall ascertain the facts and the extent of the delay, and the Engineer's findings thereon shall be final and conclusive.

- No extension of time will be granted for a delay caused by a shortage of materials unless the Contractor furnishes to the Engineer documentary proof that the Contractor has made every effort to obtain the materials from all known sources within reasonable reach of the work in a diligent and timely manner, and further proof in the form of supplementary progress schedules, as required in Section 6-1.04, "Progress Schedule," of these General Conditions that the inability to obtain the materials when originally planned, did in fact cause a delay in final completion of the entire work which could not be compensated for by revising the sequence of the Contractor's operations. The term "shortage of materials," as used in this section, shall apply only to materials, articles, parts or equipment which are standard items and are to be incorporated in the work. The term "shortage of materials," shall not apply to materials, parts, articles, or equipment which are processed, made, constructed, fabricated or manufactured to meet the specific requirements of the contract. Only the physical shortage of material will be considered under these provisions as a cause for extension of time. Delays in obtaining materials due to priority in filling orders will not constitute a shortage of materials.
- If the Contractor is delayed in completion of the work by reason of changes made under Section 3, "Changes in the Work," of these General Conditions or by any act of the Engineer or of the Department, not contemplated by the contract, an extension of time commensurate with the delay in completion of the work thus caused will be granted and the Contractor shall be relieved from any claim for liquidated damages, or engineering and inspection charges or other penalties for the period covered by that extension of time; provided that the Contractor shall notify the Engineer in writing of the causes of delay within 15 days from the beginning of the delay. The Engineer shall ascertain the facts and the extent of the delay, and the Engineer's findings thereon shall be final and conclusive.
- Except as provided in Public Contract Code Section 7102, the Contractor shall have no claim for damage or compensation for any delay or hindrance whether or not contemplated by the contract.
- It is the intention of the above provisions that the Contractor shall not be relieved of liability for liquidated damages or engineering and inspection charges for any period of delay in completion of the work in excess of that expressly provided for in this Section 6-1.08.

# 6-1.09 TERMINATION

#### 6-1.09A Termination Of Contract - "Convenience Of State"

- The Department reserves the right to terminate the contract at any time if the Director determines that to do so would be in the best interest of the State.
- Termination of the contract and the total compensation payable to the Contractor in the event of termination shall be governed by the following:
  - (1) The Engineer will issue the Contractor a written notice signed by the Director, specifying that the contract is to be terminated. Upon receipt of that written notice and, except as otherwise directed in writing by the Engineer, the Contractor shall:
    - (a) Stop all work under the contract except that specifically directed to be completed prior to acceptance.
    - (b) Perform work the Engineer deems necessary to secure the project for termination.
    - (c) Remove equipment from the site of the work.
    - (d) Take the required action as is necessary to protect materials from damage.
    - (e) Notify all subcontractors and suppliers that the contract is being terminated and that their contracts or orders are not to be further performed unless otherwise authorized in writing by the Engineer.
    - (f) Provide the Engineer with an inventory list of all materials previously produced, purchased or ordered from suppliers for use in the work and not yet used in the work, including its storage location, and any other information as the Engineer may request.
    - (g) Dispose of materials not yet used in the work as directed by the Engineer. It shall be the Contractor's responsibility to provide the State with good title to all materials purchased by the State hereunder, including materials for which partial payment has been made as provided in Section 7-1.05, "Partial Payments," of these General Conditions and with bills of sale or other documents of title for the materials.
    - (h) Subject to the prior written approval of the Engineer, settle all outstanding liabilities and all claims arising out of subcontracts or orders for materials terminated hereunder. To the extent directed by the Engineer, the Contractor shall assign to the Department all the right, title and interest of the Contractor under subcontracts or orders for materials terminated hereunder.
    - (i) Furnish the Engineer with the documentation required to be furnished by the Contractor under the provisions of the contract including, on projects as to which Federal funds are involved, all documentation required under the Federal requirements included in the contract.
    - (j) Take other actions as the Engineer may direct.

(2) Acceptance of the contract as hereinafter specified shall not relieve the Contractor of responsibility for damage to materials except as follows:

The Contractor's responsibility for damage to materials for which partial payment has been made as provided in Section 7-1.05, "Partial Payments," of these General Conditions and for materials furnished by the State for use in the work and unused shall terminate when the Engineer certifies that the materials have been stored in the manner and at the locations the Engineer has directed.

The Contractor's responsibility for damage to materials purchased by the State subsequent to the issuance of the notice that the contract is to be terminated shall terminate when title and delivery of those materials has been taken by the State.

When the Engineer determines that the Contractor has completed the work under the contract directed to be completed prior to termination and all other work as may have been ordered to secure the project for termination, the Engineer will recommend that the Director formally accept the contract, and immediately upon and after the acceptance by the Director, the Contractor will not be required to perform any further work thereon and shall be relieved of contractual responsibilities for injury to persons or damage to property which occurs after the formal acceptance of the project by the Director.

- (3) The total compensation to be paid to the Contractor shall be determined by the Engineer on the basis of the following:
  - (a) The reasonable cost to the Contractor, without profit, for all work performed under the contract, including mobilization, demobilization and work done to secure the project for termination. When in the opinion of the Engineer the cost of the work is excessively high due to costs incurred to remedy or replace defective or rejected work, the reasonable cost to be allowed will be the estimated reasonable cost of performing that work in compliance with the requirements of the plans and special provisions and the excessive actual cost shall be disallowed.
  - (b) A reasonable allowance for profit on the cost of work performed as determined under Subsection (a), provided the Contractor establishes to the satisfaction of the Engineer that it is reasonably probable that the Contractor would have made a profit had the contract been completed and provided further, that the profit allowed shall in no event exceed 4 percent of the cost.
  - (c) The reasonable cost to the Contractor of handling material returned to the vendor, delivered to the Department or otherwise disposed of as directed by the Engineer.
  - (d) A reasonable allowance for the Contractor's administrative costs in determining the amount payable due to termination of the contract.

All records of the Contractor and subcontractors, necessary to determine compensation in conformance with the provisions of this Section shall be open to inspection or audit by representatives of the Department at all times after issuance of the notice that the contract is to be terminated and for a period of 3 years, and these records shall be retained for that period.

After acceptance of the work by the Director, the Engineer may make payments on the basis of interim estimates pending issuance of the Final Statement, when in the Engineer's opinion the amount thus paid, together with all amounts previously paid or allowed, will not result in total compensation in excess of that to which the Contractor will be entitled. All payments, including payment upon the Final Statement, shall be subject to deduction for prior payments and amounts, if any, to be kept or retained under the provisions of the contract.

• The provisions of this Section shall be included in all subcontracts.

# 6-1.09B Termination Of Control - "Default Of Contractor"

- Failure to supply an adequate working force, or material of proper quality, or failure to comply with Section 10262 of the State Contract Act, or in any other respect to prosecute the work with the diligence and force specified by the contract, is grounds for termination of the Contractor's control over the work and for taking over the work by the State. The procedures for termination, completion of the work, and the rights and obligations of the parties are provided for in the State Contract Act (Public Contract Code Sections 10253-10260).
- If the Contractor's control of the work is terminated or the Contractor abandons the work and the contract work is completed in conformance with the provisions in Section 10255 of the State Contract Act, any dispute concerning the amount to be paid by the State to the Contractor or the Contractor's surety or to be paid to the State by the Contractor or the Contractor's surety, under the provisions in Section 10258 of the State Contract Act, shall be subject to arbitration in conformance with the provisions in Section 7-1.10, "Arbitration," of these General Conditions. The surety shall be bound by the arbitration award and is entitled to participate in the arbitration proceedings.

#### **SECTION 7**

#### ACCEPTANCE AND PAYMENT

#### 7-1.01 ACCEPTANCE

• The contract will be accepted in writing by the Director when the whole shall have been completed in all respects in conformance with the provisions of the contract to the full satisfaction of the Department.

#### 7-1.02 SCOPE OF PAYMENT

- The Contractor shall accept the compensation provided in the contract as full payment for furnishing all labor, materials, tools, equipment, and incidentals necessary to the completed work and for performing all work contemplated and embraced under the contract; also for loss or damage arising from the nature of the work, or from the action of the elements, or from any unforeseen difficulties which may be encountered during the prosecution of the work until the acceptance by the Director and for all risks of every description connected with the prosecution of the work, also for all expenses incurred in consequence of the suspension or discontinuance of the work as provided in the contract; and for completing the work according to the contract. Neither the payment of any estimate nor of any retained percentage shall relieve the Contractor of any obligation to make good any defective work or material.
- No compensation will be made in any case for loss of anticipated profits.

#### 7-1.03 NOTICE OF POTENTIAL CLAIM

- The Contractor shall not be entitled to the payment of any additional compensation for any act, or failure to act, by the Engineer, including failure or refusal to issue a change order, or for the happening of any event, thing, occurrence, or other cause, unless the Contractor shall have given the Engineer due written notice of potential claim as hereinafter specified. Compliance with this Section 7-1.03 shall not be a prerequisite as to matters within the scope of the protest provisions in Section 3, "Changes in the Work," or Section 6-1.07, "Time of Completion," or the notice provisions in Section 2-1.045, "Differing Site Conditions," or Section 6-1.08, "Liquidated Damages," or Section 5-1.06, "Responsibility for Utilities," of these General Conditions.
- The written notice of potential claim shall be submitted to the Engineer prior to the time that the Contractor performs the work giving rise to the potential claim for additional compensation, if based on an act or failure to act by the Engineer, or in all other cases within 15 days after the happening of the event, thing, occurrence, or other cause, giving rise to the potential claim.
- The written notice of potential claim shall be submitted on Form CEM-6201 furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650 12655. The notice shall set forth the reasons for which the Contractor believes additional compensation will or may be due and the nature of the costs involved. Unless the amount of the potential claim has been stated in the written notice, the Contractor shall, within 15 days of submitting the notice, furnish an estimate of the cost of the affected work and impacts, if any, on project completion. The estimate of costs may be changed or updated by the Contractor when conditions have changed. When the affected work is completed, the Contractor shall submit substantiation of the Contractor's actual costs. Failure to do so shall be sufficient cause for denial of any claim subsequently filed on the basis of that notice of potential claim.
- It is the intention of this Section 7-1.03 that differences between the parties arising under and by virtue of the contract be brought to the attention of the Engineer at the earliest possible time in order that those matters may be settled, if possible, or other appropriate action promptly taken. The Contractor hereby agrees that the Contractor shall have no right to additional compensation for any claim that may be based on any act, failure to act, event, thing or occurrence for which no written notice of potential claim as herein required was filed.
- Should the Contractor, in connection with or subsequent to the assertion of a potential claim, request inspection and copying of documents or records in the possession of the Department that pertain to the potential claim, the Contractor's records of the project, as deemed by the Department to be pertinent to the potential claim, shall be made available to the Department for inspection and copying.

# 7-1.04 STOP NOTICES

- The State of California, by and through the Department or other appropriate State office or officers, may at its option and at any time retain out of any amounts due the Contractor, sums sufficient to cover claims, filed pursuant to Section 3179 et seq of the Civil Code.
- Stop notice information may be obtained from the Departmental Disbursing Office at 1801 30th Street, East Building, Sacramento, California.

## 7-1.05 PARTIAL PAYMENTS

• The Department, once in each month upon request of the Contractor for partial payments, shall cause an estimate in writing to be made by the Engineer. The estimate shall include the total amount of work done and acceptable materials furnished to the time of the estimate, and the value thereof. The acceptable materials shall include materials that are furnished and delivered to the work site and are not incorporated in the work.

- The Department shall retain 10 percent of the estimated value of the work done and 10 percent of the value of materials so estimated to have been furnished and delivered and not incorporated in the work as aforesaid as part security for the fulfillment of the contract by the Contractor, except that at any time after 20 percent of the work has been completed, if the Engineer finds that satisfactory progress is being made, the Department may reduce the total amount being retained from payment pursuant to the above requirements to 5 percent of the total estimated value of the work and materials and may also reduce the amount retained from any of the remaining partial payments to 5 percent of the estimated value of the work and materials. In addition, on any partial payment made after 95 percent of the work has been completed, the Department may reduce the amount withheld from payment pursuant to the requirements of this Section 7-1.05, to such lesser amount as the Department determines is adequate security for the fulfillment of the balance of the work and other requirements of the contract, but in no event will that amount be reduced to less than 125 percent of the estimated value of the work yet to be completed as determined by the Engineer. The reduction will only be made upon the written request of the Contractor and shall be approved in writing by the surety on the Performance Bond and by the surety on the Payment Bond. The approval of the surety shall be properly acknowledged and the power of attorney authorizing the person to give that consent must either accompany the document or be on file with the Department.
- The Department shall pay monthly to the Contractor, while carrying on the work, the balance not retained, as aforesaid, after deducting therefrom all previous payments and all sums to be kept or retained under the provisions of the contract. No monthly estimate or payment shall be required to be made when, in the judgment of the Engineer, the work is not proceeding in conformance with the provisions of the contract.
- No monthly estimate or payment shall be construed to be an acceptance of any defective work or improper materials.
- Attention is directed to the prohibitions and penalties pertaining to unlicensed contractors as provided in Business and Professions Code Sections 7028.15(a) and 7031.

# 7-1.06 PAYMENT OF WITHHELD FUNDS

- Attention is directed to Section 7-1.05, "Partial Payments," of these General Conditions and in particular to the retention provisions of Section 7-1.05, of these General Conditions.
- Upon the Contractor's request, pursuant to Public Contract Code Section 10263, the Department will make payment of funds withheld from progress payments to ensure performance of the contract if the Contractor deposits in escrow with the State Treasurer, or with a bank acceptable to the Department, securities equivalent to the amount withheld. The Contractor shall be beneficial owner of any securities substituted for moneys withheld and shall receive any interest thereon. Upon satisfactory completion of the contract, the securities shall be returned to the Contractor.
- Alternatively, upon the Contractor's request, the Department will make payment of retentions earned directly to the escrow agent. The Contractor may direct the investment of the payments into securities and the Contractor shall receive the interest earned on the investments upon the same terms provided for securities deposited by the Contractor. Upon satisfactory completion of the contract, the Contractor shall receive from the escrow agent all securities, interest, and payments received by the escrow agent from the Department, pursuant to the terms in Section 10263 of the Public Contract Code.
- Alternatively, and subject to the approval of the Department, the payment of retentions earned may be deposited directly with a person licensed under Division 6 (commencing with Section 17000) of the Financial Code as the escrow agent. Upon written request of an escrow agent that has not been approved by the Department under subdivision (c) of Section 10263 of the Public Contract Code, the Department will provide written notice to that escrow agent within 10 business days of receipt of the request indicating the reason or reasons for not approving that escrow agent. The payments will be deposited in a trust account with a Federally chartered bank or savings association within 24 hours of receipt by the escrow agent. The Contractor shall not place any retentions with the escrow agent in excess of the coverage provided to that escrow agent pursuant to subdivision (b) of Section 17314 of the Financial Code. In all respects not inconsistent with subdivision (c) of Section 10263 of the Public Contract Code shall apply to escrow agents acting pursuant to subdivision (c) of Section 10263 of the Public Contract Code.
- Securities eligible for investment shall include those listed in Section 16430 of the Government Code, bank or savings and loan certificates of deposit, interest-bearing demand deposit accounts, standby letters of credit, or any other security mutually agreed to by the Contractor and the Department.
- The escrow agreement used pursuant to this Section 7-1.06 shall be substantially similar to the "Escrow Agreement for Security Deposits In Lieu of Retention" in Section 10263 of the Public Contract Code, deemed as incorporated herein by reference.
- The Contractor shall obtain the written consent of the surety to the agreement.

#### 7-1.07 FINAL PAYMENT AND CLAIMS

- After acceptance of the work by the Director, the Department will make a final monthly payment pending approval of the final statement. The final monthly payment will be the balance found to be due after deduction of all previous payments, all amounts to be kept or retained under the provisions of the contract, and such further amounts as the Engineer determined to be necessary pending approval of the final statement. The Engineer will promptly submit to the Contractor a final statement of the sum due the Contractor under the contract. The statement shall take into account the contract price, as adjusted by any change order; amounts already paid; and sums to be withheld for incomplete work, liquidated damages, and for any other cause under the contract. The Contractor shall submit written approval of the final statement or submit a written statement of all claims arising under or by virtue of the contract so that the Engineer receives the written approval or statement of claims no later than close of business of the thirtieth day after receiving the final statement of the sum due the Contractor. If the thirtieth day falls on a Saturday, Sunday or legal holiday, then receipt of the written approval or statement of claims by the Engineer shall not be later than the close of business of the next business day. The approval of that statement or the failure to file a claim within the specified 30 day period shall constitute a waiver by the Contractor of any additional right to compensation under or by reason of the contract and the payment so made by the State shall thereupon become a complete statement between the State and the Contractor.
- To constitute the filing of a claim, the Contractor shall set forth in writing the basis for the claim and the amount of money for which demand is made and shall submit the same to the Engineer. No demand by the Contractor shall be recognized as a claim by the State unless it is filed in conformance with this paragraph.
- Claims filed by the Contractor shall be in sufficient detail to enable the Engineer to ascertain the basis and amount of those claims. If additional information or details are required by the Engineer to determine the basis and amount of the claims, the Contractor shall furnish additional information or details so that the information or details are received by the Engineer no later than the fifteenth day after receipt of the written request from the Engineer. If the fifteenth day falls on a Saturday, Sunday or legal holiday, then receipt of the information or details by the Engineer shall not be later than close of business of the next business day. Failure to submit the information and details to the Engineer within the time specified will be sufficient cause for denying the claim.
- The Contractor shall keep full and complete records of the costs and additional time incurred for any work for which a claim for additional compensation is made. The Engineer or any designated claim investigator or auditor shall have access to those records and any other records as may be required by the Engineer to determine the facts or contentions involved in the claims. Failure to permit access to those records shall be sufficient cause for denying the claims.
- Claims submitted by the Contractor shall be accompanied by a notarized certificate containing the following language:

Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code Section 12650 et. seq., the undersigned,

(name)	,
(title)	of
(company)	

hereby certifies that the claim for the additional compensation and time, if any, made herein for the work on this contract is a true statement of the actual costs incurred and time sought, and is fully documented and supported under the contract between parties.

day
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Failure to submit the notarized certificate will be sufficient cause for denying the claim.

- Any claim for overhead type expenses or costs, in addition to being certified as stated above, shall be supported by an audit report of an independent Certified Public Accountant. Any claim for overhead shall also be subject to audit by the State at its discretion.
- Any costs or expenses incurred by the State in reviewing or auditing any claims that are not supported by the Contractor's cost accounting or other records shall be deemed to be damages incurred by the State within the meaning of the California False Claims Act.
- The District Director of the District which administers the contract will make the final determination of any claims which remain in dispute after completion of claim review by the Engineer. A board or person designated by the District Director will review those claims and make a written recommendation thereon to the District Director. The Contractor may meet with the review board or person to make a presentation in support of those claims.
- Upon final determination of the claims, the Engineer will then make and issue the Engineer's final statement in writing and within 30 days thereafter the State will pay the entire sum, if any, found due thereon. That final statement shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Section 7-1.08, "Clerical Errors," of these General Conditions.

#### 7-1.08 CLERICAL ERRORS

• Notwithstanding the provisions in Section 7-1.07, "Final Payment And Claims," of these General Conditions, for a period of 3 years after acceptance of the work, all estimates and payments made pursuant to Section 7-1.07, including the final statement and payment, shall be subject to correction and adjustment for clerical errors in the calculations involved in the determination of quantities and payments. The Contractor and the Department agree to pay to the other any sum due under the provisions of this Section 7-1.08, provided, however, if the total sum to be paid is less than \$200, no payment shall be made.

# 7-1.09 GUARANTEE

- The Contractor hereby unconditionally guarantees that the mechanical and electrical equipment and related components in the building work will be done in conformance with the requirements of the contract, and further guarantees the same to be and remain free of defects in workmanship and materials for a period of 6 months from the date of acceptance of the contract. The Contractor hereby agrees to repair or replace any and all mechanical and electrical equipment and related components in the building work that may prove to be not in conformance with the requirements of the contract or that may be defective in its workmanship or material within the guarantee period specified, without any expense whatsoever to the Department, ordinary wear and tear and unusual abuse or neglect excepted.
- A portion of the performance bond for the contract in a sum equal to one half the value of the mechanical and electrical equipment and related components in the building work, shall remain in full force and effect during the guarantee period. The value of those mechanical and electrical equipment and related components shall be the value determined in conformance with the requirements specified in Section 6-1.05, "Schedule of Values" of the General Conditions.
- The Contractor further agrees that, within 10 calendar days after being notified in writing by the Department of any mechanical and electrical equipment and related components in the building work not in conformance with the requirements of the contract or any defects in the mechanical and electrical equipment and related components in the building work, he shall commence and prosecute with due diligence all work necessary to fulfill the terms of this guarantee, and shall complete the work within a reasonable period of time, and, in the event the Contractor fails to comply, he does hereby authorize the Department to proceed to have such work done at the Contractor's expense and he shall honor and pay the cost and charges therefor upon demand. The Department shall be entitled to all costs and expenses, including reasonable attorney's fees, necessarily incurred upon the Contractor's refusal to honor and pay the above costs and charges.

# 7-1.10 ARBITRATION

- Sections 10240-10240.13, inclusive of the Public Contract Code provides for the resolution of contract claims by arbitration.
- Claims (demands for monetary compensation or damages) arising under or related to performance of the contract shall be resolved by arbitration unless the Department and the Contractor agree in writing, after the claim has arisen, to waive arbitration and to have the claim litigated in a court of competent jurisdiction. Arbitration shall be pursuant to Public Contract Code Sections 10240-10240.13, inclusive, and applicable regulations (see Subchapter 3 [Sections 301-382, inclusive] of Chapter 2 of Title 1 of the California Code of Regulations). The arbitration decision shall be decided under and in conformance with the law of this State, supported by substantial evidence and, in writing, contain the basis for the decision, findings of fact, and conclusions of law.
- Arbitration shall be initiated by a Complaint in Arbitration made in compliance with the requirements of those regulations. A Complaint in Arbitration by the Contractor shall be made not later than 90 days after the date of service in person or by mail on the Contractor of the final written decision by the Department on the claim.

# STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

# SPECIAL PROVISIONS

Annexed to Contract No. 07-215804

# DIVISION 0 BIDDING AND CONTRACT REQUIREMENTS

#### 0.01 INSTRUCTIONS TO BIDDERS AND GENERAL CONDITIONS

The work embraced herein shall conform to the provisions in the Instructions to Bidders and General Conditions for Building Construction of the Department of Transportation, dated January, 2002, a single publication attached hereto and referred to herein as "Instructions to Bidders" and "General Conditions", and the following special provisions.

In case of conflict between the Instructions to Bidders or the General Conditions and these special provisions, the special provisions shall take precedence over and be used in lieu of the conflicting portions.

# 0.02 PROPOSAL REQUIREMENTS AND CONDITIONS

The bidder's attention is directed to the provisions in Section 1, "Proposal Requirements and Conditions," of the Instructions to Bidders, and these special provisions for the requirements and conditions which the bidder must observe in the preparation of the proposal form and the submission of the bid.

In addition to the subcontractors required to be listed in conformance with Section 1-1.05, "Required Listing of Proposed Subcontractors," of the Instructions to Bidders, each proposal shall have listed therein the name and address of each DVBE subcontractor to be used for credit in meeting the goal, and to whom the bidder proposes to directly subcontract portions of the work. The list of subcontractors shall also set forth the portion of work that will be performed by each subcontractor listed. A sheet for listing the subcontractors is included in the Proposal.

The Bidder's Bond form mentioned in the last paragraph in Section 1-1.07, "Proposal Guaranty," of the Instructions to Bidders will be found following the signature page of the Proposal.

In conformance with Public Contract Code Section 7106, a Noncollusion Affidavit is included in the Proposal. Signing the Proposal shall also constitute signature of the Noncollusion Affidavit.

# 0.024 DISABLED VETERAN BUSINESS ENTERPRISE (DVBE)

Section 10115 of the Public Contract Code requires the Department to implement provisions to establish a goal for Disabled Veteran Business Enterprise (DVBE) in contracts.

It is the policy of the Department that Disabled Veteran Business Enterprise (DVBE) shall have the maximum opportunity to participate in the performance of contracts financed solely with state funds. The Contractor shall ensure that DVBEs have the maximum opportunity to participate in the performance of this contract and shall take all necessary and reasonable steps for this assurance. The Contractor shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of subcontracts. Failure to carry out the requirements of this paragraph shall constitute a breach of contract and may result in termination of this contract or other remedy the Department may deem appropriate.

Bidder's attention is directed to the following:

- A. "Disabled Veteran Business Enterprise" (DVBE) means a business concern certified as a DVBE by the Office of Small Business Certification and Resources, Department of General Services.
- B. A DVBE may participate as a prime contractor, subcontractor, joint venture partner with a prime or subcontractor, or vendor of material or supplies.
- C. Credit for DVBE prime contractors will be 100 percent.
- D. A DVBE joint venture partner must be responsible for a clearly defined portion of the work to be performed. Responsibility means actually performing, managing and supervising that portion of the work with its own forces. The DVBE joint venture partner must share in the ownership, control, management responsibilities, risks and profits of the joint venture. The DVBE joint venturer must submit the joint venture agreement with the Caltrans Bidder DVBE Information form required in Division 0.026, "Submission of DVBE Information," elsewhere in these special provisions.

- E. A DVBE must perform a commercially useful function, i.e., must be responsible for the execution of a distinct element of the work and must carry out its responsibility by actually performing, managing and supervising the work
- F. Credit for DVBE vendors of materials or supplies is limited to 60 percent of the amount to be paid to the vendor for the material unless the vendor manufactures or substantially alters the goods.
- G. Credit for trucking by DVBEs will be as follows:
  - 1. One hundred percent of the amount to be paid when a DVBE trucker will perform the trucking with his/her own trucks, tractors and employees.
  - 2. Twenty percent of the amount to be paid to DVBE trucking brokers who do not have a "certified roster."
  - 3. One hundred percent of the amount to be paid to DVBE trucking brokers who have signed agreements that all trucking will be performed by DVBE truckers if credit is toward the DVBE goal, a "certified roster" showing that all trucks are owned by DVBEs, and a signed statement on the "certified roster" that indicates that 100 percent of revenue paid by the broker will be paid to the DVBEs listed on the "certified roster."
  - 4. Twenty percent of the amount to be paid to trucking brokers who are not a DVBE but who have signed agreements with DVBE truckers assuring that at least 20 percent of the trucking will be performed by DVBE truckers if credit is toward the DVBE goal, a "certified roster" showing that at least 20 percent of the number of trucks are owned by DVBE truckers, and a signed statement on the "certified roster" that indicates that at least 20 percent of the revenue paid by the broker will be paid to the DVBEs listed on the "certified roster."

The "certified roster" referred to herein shall conform to the requirements in Division 0.026, "Submission Of DVBE Information," elsewhere in these special provisions.

- H. DVBEs and DVBE joint venture partners must be certified DVBEs as determined by the Department of General Services, Office of Small Business Certification and Resources, 1531 "I" Street, Second Floor, Sacramento, CA 95814, on the date bids for the project are opened before credit may be allowed toward the DVBE goal. It is the Contractor's responsibility to verify that DVBEs are certified.
- I. Noncompliance by the Contractor with these requirements constitutes a breach of this contract and may result in termination of the contract or other appropriate remedy for a breach of this contract.

#### 0.025 DVBE GOAL FOR THIS PROJECT

The Department has established the following goal for Disabled Veteran Business Enterprise (DVBE) participation for this project:

Disabled Veteran Business Enterprise (DVBE): 3 percent.

It is the bidder's responsibility to make a sufficient portion of the work available to subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DVBE subcontractors and suppliers, so as to assure meeting the goal for DVBE participation.

The Office of Small Business Certification and Resources, Department of General Services, may be contacted at (916) 322-5060 or visit their internet web site at http://www.osmb.dgs.ca.gov/ for program information and certification status. The Department's Business Enterprise Program may also be contacted at (916) 227-9599 or the internet web site at http://www.dot.ca.gov/hq/bep/.

#### 0.026 SUBMISSION OF DVBE INFORMATION

The required DVBE information shall be submitted on the "CALTRANS BIDDER - DVBE INFORMATION" form included in the Proposal. If this information is not submitted with the bid, the DVBE information forms shall be removed from the documents prior to submitting the bid.

It is the bidder's responsibility to make enough work available to DVBEs and to select those portions of the work or material needs consistent with the available DVBEs to meet the goal for DVBE participation or to provide information to establish that, prior to bidding, the bidder made adequate good faith efforts to do so.

If the DVBE information is not submitted with the bid, the apparent successful bidder (low bidder), the second low bidder and the third low bidder shall submit the DVBE information to the Department of Transportation, 1120 N Street, Room 0200, MS #26, Sacramento, California 95814 so the information is received by the Department no later than 4:00 p.m. on the fourth day, not including Saturdays, Sundays and legal holidays, following bid opening. DVBE information sent by U.S. Postal Service certified mail with return receipt and certificate of mailing and mailed on or before the third day, not including Saturdays, Sundays and legal holidays, following bid opening will be accepted even if it is received after the fourth day following bid opening. Failure to submit the required DVBE information by the time specified will be grounds for

finding the bid or proposal nonresponsive. Other bidders need not submit DVBE information unless requested to do so by the Department.

The bidder's DVBE information shall establish that good faith efforts to meet the DVBE goal have been made. To establish good faith efforts, the bidder shall demonstrate that the goal will be met or that, prior to bidding, adequate good faith efforts to meet the goal were made.

Bidders are cautioned that even though their submittal indicates they will meet the stated DVBE goal, their submittal should also include their adequate good faith efforts information along with their DVBE goal information to protect their eligibility for award of the contract in the event the Department, in its review, finds that the goal has not been met.

The bidder's DVBE information shall include the names of DVBE firms that will participate, with a complete description of work or supplies to be provided by each, the dollar value of each DVBE transaction, and a written confirmation from the DVBE that it is participating in the contract. A copy of the DVBE's quote will serve as written confirmation that the DVBE is participating in the contract. When 100 percent of a portion of the work is not to be performed or furnished by a DVBE, a description of the exact portion of that work to be performed or furnished by that DVBE shall be included in the DVBE information, including the planned location of that work. The work that a DVBE prime contractor has committed to performing with its own forces as well as the work that it has committed to be performed by DVBE subcontractors, suppliers and trucking companies will count toward the goal.

If credit for trucking by a DVBE trucking broker is shown on the bidder's information as 100 percent of the revenue to be paid by the broker is to be paid to DVBE truckers, a "certified roster" of the broker's trucks to be used must be included. The "certified roster" must indicate that all the trucks are owned by certified DVBEs and must show the DVBE truck numbers, owner's name, Public Utilities Commission Cal-T numbers, and the DVBE certification numbers. The roster must indicate that all revenue paid by the broker will be paid to DVBEs listed on the "certified roster".

If credit for trucking by a trucking broker who is not a DVBE is shown in the bidder's information, a "certified roster" of the broker's trucks to be used must be included. The "certified roster" must indicate that at least 20 percent of the broker's trucks are owned by certified DVBEs and must show the DVBE truck numbers, owner's name, Public Utilities Commission Cal-T numbers, and the DVBE certification number. The roster must indicate that at least 20 percent of the revenue paid by the broker will be paid to DVBEs listed on the "certified roster".

A bidder shall be deemed to have made good faith efforts upon submittal, within time limits specified by the Department, of documentary evidence that all of the following actions were taken:

- A. Contact was made with the Office of Small Business Certification and Resources (OSBCR), Department of General Services or their web site at http://www.osmb.dgs.ca.gov/ to identify Disabled Veteran Business Enterprises.
- B. Advertising was published in trade media and media focusing on Disabled Veteran Business Enterprises, unless time limits imposed by the Department do not permit that advertising.
- C. Invitations to bid were submitted to potential Disabled Veteran Business Enterprise contractors.
- D. Available Disabled Veteran Business Enterprises were considered.

# 0.027 SMALL BUSINESS PREFERENCE

Attention is directed to "Award and Execution of Contract" of these special provisions.

Attention is also directed to the Small Business Procurement and Contract Act, Government Code Section 14835, et seq and Title 2, California Code of Regulations, Section 1896, et seq.

Bidders who wish to be classified as a Small Business under the provisions of those laws and regulations, shall be certified as Small Business by the Department of General Services, Office of Small Business Certification and Resources, 1531 "I" Street, Second Floor, Sacramento, CA 95814.

To request Small Business Preference, bidders shall fill out and sign the Request for Small Business Preference form in the Proposal and shall attach a copy of their Office of Small Business Certification and Resources (OSBCR) small business certification letter to the form. The bidder's signature on the Request for Small Business Preference certifies, under penalty of perjury, that the bidder is certified as Small Business at the time of bid opening and further certifies, under penalty of perjury, that under the following conditions, at least 50 percent of the subcontractors to be utilized on the project are either certified Small Business or have applied for Small Business certification by bid opening date and are subsequently granted Small Business certification.

The conditions requiring the aforementioned 50 percent level of subcontracting by Small Business subcontractors apply if:

- A. The lowest responsible bid for the project exceeds \$100,000; and
- B. The project work to be performed requires a Class A or a Class B contractor's license; and
- C. Two or more subcontractors will be used.

If the above conditions apply and Small Business Preference is granted in the award of the contract, the 50 percent Small Business subcontractor utilization level shall be maintained throughout the life of the contract.

# 0.028 CALIFORNIA COMPANY PREFERENCE

Attention is directed to "Award and Execution of Contract" of these special provisions.

In conformance with the requirements of Section 6107 of the Public Contract Code, a "California company" will be granted a reciprocal preference for bid comparison purposes as against a nonresident contractor from any state that gives or requires a preference to be given contractors from that state on its public entity construction contracts.

A "California company" means a sole proprietorship, partnership, joint venture, corporation, or other business entity that was a licensed California contractor on the date when bids for the public contract were opened and meets one of the following:

- A. Has its principal place of business in California.
- B. Has its principal place of business in a state in which there is no local contractor preference on construction contracts
- C. Has its principal place of business in a state in which there is a local contractor construction preference and the contractor has paid not less than \$5000 in sales or use taxes to California for construction related activity for each of the five years immediately preceding the submission of the bid.

To carry out the "California company" reciprocal preference requirements of Section 6107 of the Public Contract Code, all bidders shall fill out and sign the California Company Preference form in the Proposal. The bidder's signature on the California Company Preference form certifies, under penalty of perjury, that the bidder is or is not a "California company" and if not, the amount of the preference applied by the state of the nonresident Contractor.

A nonresident Contractor shall disclose any and all bid preferences provided to the nonresident Contractor by the state or country in which the nonresident Contractor has its principal place of business.

Proposals without the California Company Preference form filled out and signed may be rejected.

#### 0.03 AWARD AND EXECUTION OF CONTRACT

The bidder's attention is directed to the provisions in Section 2, "Award and Execution of Contract," of the Instructions to Bidders and these special provisions for the requirements and conditions concerning award and execution of contract.

The award of the contract, if it be awarded, will be to the lowest responsible bidder whose proposal complies with all the requirements prescribed and who has met the goal for DVBE participation or has demonstrated, to the satisfaction of the Department, adequate good faith efforts to do so. Meeting the goal for DVBE participation or demonstrating, to the satisfaction of the Department, adequate good faith efforts to do so is a condition for being eligible for award of contract.

A "Payee Data Record" form will be included in the contract documents to be executed by the successful bidder. The purpose of the form is to facilitate the collection of taxpayer identification data. The form shall be completed and returned to the Department by the successful bidder with the executed contract and contract bonds. For the purposes of the form, payee shall be deemed to mean the successful bidder. The form is not to be completed for subcontractors or suppliers. Failure to complete and return the "Payee Data Record" form to the Department as provided herein will result in the retention of 20 percent of payments due the contractor and penalties of up to \$20,000. This retention of payments for failure to complete the "Payee Data Record" form is in addition to any other retention of payments due the Contractor.

Attention is also directed to "Small Business Preference" of these special provisions. Any bidder who is certified as a Small Business by the Department of General Services, Office of Small Business Certification and Resources will be allowed a preference in the award of this contract, if it be awarded, under the following conditions:

- A. The apparent low bidder is not certified as a Small Business, or has not filled out and signed the Request for Small Business Preference included with the bid documents and attached a copy of their Office of Small Business Certification and Resources (OSBCR) small business certification letter to the form; and
- B. The bidder filled out and signed the Request for Small Business Preference form included with the bid documents and attached a copy of their Office of Small Business Certification and Resources (OSBCR) small business certification letter to the form.

The small business preference will be a reduction in the bid submitted by the small business contractor, for bid comparison purposes, by an amount equal to 5 percent of the amount bid by the apparent low bidder, the amount not to exceed \$50,000. If this reduction results in the small business contractor becoming the low bidder, then the contract will be awarded to the small business contractor on the basis of the actual bid of the small business contractor notwithstanding the reduced bid price used for bid comparison purposes.

Attention is also directed to "California Company Preference" of these special provisions.

The amount of the California company reciprocal preference shall be equal to the amount of the preference applied by the state of the nonresident contractor with the lowest responsive bid, except where the "California company" is eligible for a California Small Business Preference, in which case the preference applied shall be the greater of the two, but not both.

If the bidder submitting the lowest responsive bid is not a "California company" and with the benefit of the reciprocal preference, a "California company's" responsive bid is equal to or less than the original lowest responsive bid, the "California company" will be awarded the contract at its submitted bid price except as provided below.

Small business bidders shall have precedence over nonsmall business bidders in that the application of the "California company" preference for which nonsmall business bidders may be eligible shall not result in the denial of the award to a small business bidder.

# 0.04 BEGINNING OF WORK, TIME OF COMPLETION AND LIQUIDATED DAMAGES

Attention is directed to the provisions in Section 6-1.03, "Beginning of Work," Section 6-1.07, "Time of Completion," and Section 6-1.08, "Liquidated Damages," of the General Conditions and these special provisions.

The Contractor shall begin work within 15 calendar days after the contract has been approved by the Attorney General or the attorney appointed and authorized to represent the Department of Transportation.

This work shall be diligently prosecuted to completion before the expiration of **180 WORKING DAYS** beginning on the fifteenth calendar day after approval of the contract.

The Contractor shall pay to the State of California the sum of \$1500 per day, for each and every calendar day's delay in finishing the work in excess of the number of working days prescribed above.

# 0.05 (BLANK)

# 0.052 DIFFERING SITE CONDITIONS

Attention is directed to Section 2-1.045, "Differing Site Conditions," of the General Conditions.

During the progress of the work, if subsurface or latent conditions are encountered at the site differing materially from those indicated in the "Materials Information," log of test borings, other geotechnical data obtained by the Department's investigation of subsurface conditions, or an examination of the conditions above ground at the site, the party discovering those conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.

The Contractor will be allowed 15 days from the notification of the Engineer's determination of whether or not an adjustment of the contract is warranted, in which to file a notice of potential claim in conformance with the provisions of Section 7-1.03, "Notice of Potential Claim," of the General Conditions and as specified herein; otherwise the decision of the Engineer shall be deemed to have been accepted by the Contractor as correct. The notice of potential claim shall set forth in what respects the Contractor's position differs from the Engineer's determination and provide any additional information obtained by the Contractor, including but not limited to additional geotechnical data. The notice of potential claim shall be accompanied by the Contractor's certification that the following were made in preparation of the bid: a review of the contract, a review of the "Materials Information," a review of the log of test borings and other records of geotechnical data to the extent they were made available to bidders prior to the opening of bids, and an examination of the conditions above ground at the site. Supplementary information, obtained by the Contractor subsequent to the filing of the notice of potential claim, shall be submitted to the Engineer in an expeditious manner.

# 0.053 INTEREST ON PAYMENTS

Interest shall be payable on progress payments, payments after acceptance, final statement, ordered changes in the work payments, and claim payments as follows:

- A. Unpaid progress payments, payment after acceptance, and final statements shall begin to accrue interest 30 days after the Engineer prepares the payment estimate.
- B. Unpaid ordered changes in work bills shall begin to accrue interest 30 days after preparation of the first pay estimate following receipt of a properly submitted and undisputed bill for ordered changes in the work. To be properly submitted, the bill must be submitted within 7 days of the performance of the ordered change in the work and in conformance with the provisions in Section 3, "Changes in the Work," and Section 7-1.05, "Partial Payments," of the General Conditions. An undisputed ordered change in the work bill not submitted within 7 days of performance of the ordered change in the work will begin to accrue interest 30 days after the preparation of the second pay estimate following submittal of the bill.
- C. The rate of interest payable for unpaid progress payments, payments after acceptance, final payments, and ordered change in the work payments shall be 10 percent per annum.

D. The rate of interest payable on a claim, protest or dispute ultimately allowed under this contract shall be 6 percent per annum. Interest shall begin to accrue 61 days after the Contractor submits to the Engineer information in sufficient detail to enable the Engineer to ascertain the basis and amount of that claim, protest or dispute.

The rate of interest payable on any award in arbitration shall be 6 percent per annum if allowed under the provisions of Civil Code Section 3289.

#### 0.054 FINAL PAYMENT AND CLAIMS

Attention is directed to Section 7-1.07, "Final Payment and Claims," of the General Conditions.

If the Contractor files a timely written statement of claims in response to the proposed final estimate, the District that administers the contract will submit a claim position letter to the Contractor by hand delivery or deposit in the U.S. mail within 135 days of acceptance of the contract. The claim position letter will delineate the District's position on the Contractor's claims. If the Contractor disagrees with the claim position letter, the Contractor shall submit a written notification of its disagreement to be received by the District not later than 15 days after the Contractor's receipt of the claim position letter. The written notification of disagreement shall set forth the basis for the Contractor's disagreement and be submitted to the office designated in the claim position letter. The Contractor's failure to provide a timely, written notification of disagreement shall constitute the Contractor's acceptance and agreement with the determinations provided in the claim position letter and with final payment pursuant to the claim position letter.

If the Contractor files a timely notification of disagreement with the District claim position letter, the board of review designated by the District Director to review claims that remain in dispute will meet with the Contractor within 45 days after receipt by the District of the notification of disagreement. Attendance by the Contractor at the board of review meeting shall be mandatory.

If the District fails to submit a claim position letter to the Contractor within 135 days after the acceptance of the contract and the Contractor has claims that remain in dispute, the Contractor may request a meeting with the board of review designated by the District Director to review claims that remain in dispute. The Contractor's request for a meeting shall identify the claims that remain in dispute. If the Contractor files a request for a meeting, the board of review will meet with the Contractor within 45 days after the District receives the request for the meeting. Attendance by the Contractor at the District Director's board of review meeting shall be mandatory.

Failure of the Contractor to file a timely written statement of claims in response to the proposed final estimate, or to file a timely notification of disagreement with the District claim position letter, or to attend the District Director's board of review meeting shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract and shall be a bar to arbitration in conformance with the requirements in Section 10240.2 of the California Public Contract Code.

# 0.055 REMOVAL OF ASBESTOS AND HAZARDOUS SUBSTANCES

When the presence of asbestos or hazardous substances are not shown on the plans or indicated in the specifications and the Contractor encounters materials which the Contractor reasonably believes to be asbestos or a hazardous substance as defined in Section 25914.1 of the Health and Safety Code, and the asbestos or hazardous substance has not been rendered harmless, the Contractor may continue work in unaffected areas reasonably believed to be safe. The Contractor shall immediately cease work in the affected area and report the condition to the Engineer in writing.

In conformance with Section 25914.1 of the Health and Safety Code, removal of asbestos or hazardous substances including exploratory work to identify and determine the extent of the asbestos or hazardous substance will be performed by separate contract.

If performance of the Contractor's current controlling operation is delayed in the area, and the delay could not be avoided by the judicious handling of forces, equipment, and plant, an extension of time determined in conformance with the provisions in Section 6-1.08, "Liquidated Damages," of the General Conditions will be granted. Compensation for the delay will be made only for the Contractor's actual losses due to idle time of equipment, necessary payments for idle time of workers, and cost of extra moving of equipment, in conformance with the provisions in Section 3-1.01E, "Allowable Costs for Changes," of the General Conditions, except that no markups will be added.

# 0.06 (BLANK)

# 0.067 YEAR 2000 COMPLIANCE

This contract is subject to Year 2000 Compliance for automated devices in the State of California.

Year 2000 compliance for automated devices in the State of California is achieved when embedded functions have or create no logical or mathematical inconsistencies when dealing with dates prior to and beyond 1999. The year 2000 is recognized and processed as a leap year. The product must also operate accurately in the manner in which it was intended for date operation without requiring manual intervention.

The Contractor shall provide the Engineer a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 4-1.04, "Certificates of Compliance," of the General Conditions for all automated devices furnished for the project.

# 0.07 SUBCONTRACTOR AND DVBE RECORDS

The Contractor shall maintain records of all subcontracts entered into with certified DVBE subcontractors and records of materials purchased from certified DVBE suppliers. The records shall show the name and business address of each DVBE subcontractor or vendor and the total dollar amount actually paid each DVBE subcontractor or vendor.

Upon completion of the contract, a summary of these records shall be prepared on Form CEM-2402 (S) and certified correct by the Contractor or the Contractor's authorized representative, and shall be furnished to the Engineer.

#### 0.075 PERFORMANCE OF DVBE SUBCONTRACTORS AND SUPPLIERS

The DVBEs listed by the Contractor in response to the provisions in Division 0.026, "Submission of DVBE Information," and Division 3, "Award and Execution of Contract," of these special provisions, which are determined by the Department to be certified DVBEs, shall perform the work and supply the materials for which they are listed, unless the Contractor has received prior written authorization to perform the work with other forces or to obtain the materials from other sources.

Authorization to utilize other forces or sources of materials may be requested for the following reasons:

- A. The listed DVBE, after having had a reasonable opportunity to do so, fails or refuses to execute a written contract, when the written contract, based upon the general terms, conditions, plans and specifications for the project, or on the terms of the subcontractor's or supplier's written bid, is presented by the Contractor.
- B. The listed DVBE becomes bankrupt or insolvent.
- C. The listed DVBE fails or refuses to perform the subcontract or furnish the listed materials.
- D. The Contractor stipulated that a bond was a condition of executing a subcontract and the listed DVBE subcontractor fails or refuses to meet the bond requirements of the Contractor.
- E. The work performed by the listed subcontractor is substantially unsatisfactory and is not in substantial conformance with the plans and specifications or the subcontractor is substantially delaying or disrupting the progress of the work.
- F. The listed DVBE subcontractor is not licensed pursuant to the Contractor's License Law.
- G. It would be in the best interest of the State.

The Contractor shall not be entitled to payment for the work or material unless it is performed or supplied by the listed DVBE or by other forces (including those of the Contractor) pursuant to prior written authorization of the Engineer.

# 0.077 SUBCONTRACTING

Attention is directed to the provisions in Section 6-1.01, "Subletting and Subcontracting," of the General Conditions, and Division 0.02, "Proposal Requirements and Conditions," Division 0.026, "Submission of DVBE Information," and Division 0.030, "Award and Execution of Contract," of these special provisions.

Pursuant to the provisions in Section 1777.1 of the Labor Code, the Labor Commissioner publishes and distributes a list of contractors ineligible to perform work as a subcontractor on a public works project. This list of debarred contractors is available from the Department of Industrial Relations web site at:

http://www.dir.ca.gov/DLSE/Debar.html.

The DVBE information furnished under Division 0.026, "Submission of DVBE Information," of these special provisions is in addition to the subcontractor information required to be furnished in Section 1-1.05, "Required Listing of Proposed Subcontractors," of the Instructions to Bidders and Section 6-1.01, "Subletting and Subcontracting," of the General Conditions.

Section 10115 of the Public Contract Code requires the Department to implement provisions to establish a goal for Disabled Veteran Business Enterprise (DVBE) participation in highway contracts that are State funded. As a part of this requirement:

- A. No substitution of a DVBE subcontractor shall be made at any time without the written consent of the Department, and
- B. If a DVBE subcontractor is unable to perform successfully and is to be replaced, the Contractor shall make good faith efforts to replace the original DVBE subcontractor with another DVBE subcontractor.

The provisions in Division 0.024, "Disabled Veteran Business Enterprise (DVBE)," of these special provisions that DVBEs shall be certified on the date bids are opened does not apply to DVBE substitutions after award of the contract.

# 0.082 PROMPT PROGRESS PAYMENT TO SUBCONTRACTORS

Attention is directed to the provisions in Sections 10262 and 10262.5 of the Public Contract Code and Section 7108.5 of the Business and Professions Code concerning prompt payment to subcontractors.

# 0.11 PARTNERING

The State will promote the formation of a "Partnering" relationship with the Contractor in order to effectively complete the contract to the benefit of both parties. The purpose of this relationship is to maintain a cooperative communication and to mutually resolve conflicts at the lowest responsible management level.

The Contractor may request the formation of a "Partnering" relationship by submitting a request in writing to the Engineer after approval of the contract. If the Contractor's request for "Partnering" is approved by the Engineer, scheduling of a "Partnering Workshop," selecting the "Partnering" facilitator and workshop site, and other administrative details shall be as agreed to by both parties. If agreed to by the parties, additional "Partnering Workshops" will be conducted as needed throughout the life of the contract.

The costs involved in providing the "Partnering Workshop" facilitator and workshop site will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost in providing the "Partnering Workshop" facilitator and workshop site in conformance with the provisions in Section 3-1.01E(4), "Markups," of the General Conditions, and paying to the Contractor the sum of that cost, except no markups will be allowed. Itemization of labor, material and equipment rental costs is not required.

All other costs associated with "Partnering Workshops" will be borne separately by the party incurring the costs, such as wages and travel expenses, and no additional compensation will be allowed therefor.

The establishment of a "Partnering" relationship will not change or modify the terms and conditions of the contract and will not relieve either party of the legal requirements of the contract.

# **DIVISION 1. GENERAL REQUIREMENTS**

# **1.01 SCOPE**

The building work described herein and as shown on the plans shall conform to the requirements of the General Conditions and these special provisions.

The building work to be done consists, in general, of constructing new wash rack and mud rinse slabs, metal canopies, and wood framed 8.9 m<sup>2</sup> storage buildings, including related mechanical, electrical, and sanitary work, and such other items or details, not mentioned above, that are required by the plans, General Conditions, or these special provisions shall be performed, placed, constructed or installed at the Moorpark, Ojai, Camarillo, Ventura, and Hollywood Maintenance Stations.

**Order of work.**—At the Ojai Maintenance Station, the Contractor shall first connect to the local utility's trunk line, and install and test the sewer pipe under the roadway and across the yard to the existing sewer pipe at the Equipment Storage Building. When this is accepted by the Engineer, the Contractor shall then connect the existing sewer pipe at the Equipment Storage Building to the local utility. The Equipment Storage Building may be closed for a maximum of 8 hours while this connection is made and tested.

# 1.02 AREAS FOR CONTRACTOR'S USE

No area is available within the contract limits for the exclusive use of the Contractor. The Contractor shall arrange with the Engineer for areas to store equipment and materials within the work area.

# 1.03 COOPERATION

Attention is directed to Sections 5-1.06, "Responsibility for Utilities," and 5-1.12, "Cooperation," of the General Conditions and these special provisions.

Work by State forces will be in progress within the contract limits during the working period for this contract.

The Contractor shall comply with all security policies and normal working hours of the State concerning the Moorpark, Ojai, Camarillo, Ventura, and Hollywood Maintenance Stations.

The Contractor shall plan his work to minimize interference with State forces and the public. Interruptions to any services for the purpose of making or breaking a connection shall be made only after consultation with and for such time periods as directed by the Engineer.

#### 1.04 MEASUREMENT AND PAYMENT

The contract lump sum price paid for building work shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the building work, complete in place, as shown on the plans, as specified in the General Conditions and these special provisions, and as directed by the Engineer

Full compensation for any incidental materials and labor, not shown on the plans or specified, which are necessary to complete the building work shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

# 1.05 SUBMITTALS

Shop drawings, material lists, descriptive data, samples and other submittals specified in these special provisions shall be submitted for approval in accordance with the provisions in Section 2-1.04, "Shop Drawings, Descriptive Data, Samples, and Alternatives," of the General Conditions and these special provisions

Unless otherwise permitted in writing by the Engineer and except submittals for "Alternatives" in conformance with the provisions of said Section 2-1.04 of the General Conditions, all submittals required by these special provisions shall be submitted within 35 days after the contract has been approved.

Attention is directed to the provisions in Section 2-1.01, "Authority of Engineer," of the General Conditions. The Engineer may request submittals for materials or products where submittals have not been specified in these special provisions, or may request that additional information be included in specified submittals, as necessary to determine the quality or acceptability of such materials or products.

Submittals shall be delivered to the locations indicated in these special provisions. If a specific location is not indicated, the submittal shall be delivered to the Division of Structure Design, Documents Unit, Fourth Floor, Mail Station 9-4/4I, 1801 30th Street, Sacramento, California 95816, telephone (916) 227-8252, or the submittals shall be mailed to the Division of Structure Design, Documents Unit, Mail Station 9-4/4I, P. O. Box 942874, Sacramento, California 94274-0001.

# 1.06 SCHEDULE OF VALUES

The Contractor shall prepare and submit to the Engineer for approval 2 copies of a Schedule of Values within 15 working days of approval of the contract. The Engineer shall be allowed 15 working days for approval or return for correction of each submittal or resubmittal. Should the Engineer fail to complete the review within the time specified and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in review, an extension of time commensurate with the delay in completion of the work thus caused will be granted as provided in Section 6-1.08, "Liquidated Damages," of the General Conditions.

The Schedule of Values shall cover each lump sum item for building work and shall be accurately divided into sections representing the cost of each separate building or structure. Any site work that is not part of a separate building or structure shall be included under a specific section as General Work and not included in the building or structure cost. Indirect costs and general condition items are to be listed as a separate line item of work. The sections representing each building or structure must be identified as to the building or structure they represent and be broken down to show the corresponding value of each craft, trade or other significant portion of the work. A sub-total for each section shall be provided.

The Schedule of Values shall be approved by the Engineer before any partial payment estimate is prepared.

The sum of the items listed in the Schedule of Values shall equal the contract lump sum price for building work. Overhead and profit shall not be listed as separate items, but shall be appropriately distributed across all line items of cost.

# 1.07 OBSTRUCTIONS

Attention is directed to Sections 5-1.02, "Protection and Use of Property," 5-1.03, "Responsibility for Damage," and 5-1.06, "Responsibility for Utilities," of the General Conditions and these special provisions.

The Contractor shall notify the Engineer and the appropriate regional notification center for operators of subsurface installations at least 5 working days prior to performing any excavation or other work close to any underground pipeline, conduit, duct, wire or other structure. Regional notification centers include but are not limited to the following:

Underground Service Alert Northern California (USA) Telephone: 1(800)642-2444

Underground Service Alert Southern California (USA) Telephone: 1(800)422-4133 South Shore Utility Coordinating Council (DIGS) Telephone: 1(800)541-3447

Western Utilities Underground Alert, Inc. Telephone: 1(800)424-3447

#### 1.08 PRESERVATION OF PROPERTY

Attention is directed to Sections 5-1.02, "Protection and Use of Property," 5-1.03, "Responsibility for Damage," 5-1.05, "Contractor's Responsibility for the Work," and 5-1.06, "Responsibility for Utilities," of the General Conditions.

Operations shall be conducted in such a manner that existing facilities, surfacing, installations, and utilities which are to remain in place will not be damaged. Temporary surfacing, facilities, utilities and installations shall also be protected until they are no longer required. The Contractor, at his expense shall furnish and install piling, sheet piling, cribbing, bulkheads, shores, or whatever means may be necessary to adequately support material carrying such facilities, or to support the facilities themselves and shall maintain such support until they are no longer needed.

## 1.09 WATER POLLUTION CONTROL

Water pollution control work shall conform to the requirements in Section 5-1.101R, "Water Pollution," of the General Conditions, and these special provisions.

Water pollution control work shall conform to the requirements in the "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" and the "Construction Site Best Management Practices (BMPs) Manual," and addenda thereto issued up to, and including, the date of advertisement of the project, hereafter referred to respectively as the "Preparation Manual" and the "Construction Site BMP Manual" and collectively as the "Manuals." Copies of the Manuals may be obtained from the Department of Transportation, Material Operations Branch, Publication Distribution Unit, 1900 Royal Oaks Drive, Sacramento, California 95815, Telephone: (916) 445-3520. Copies of the Manuals may also be obtained from Department's Internet Web http://www.dot.ca.gov/hq/construc/stormwater.html.

Copies of the Manuals are also available for review at Department of Transportation, Construction Office, Change Order Desk, located in a secure building at 801 South Grand Avenue, Los Angeles, California. The Contractor shall telephone (213) 897-0054 in advance to make arrangements for entering the building.

The Contractor shall know and fully comply with the applicable provisions of the Manuals and Federal, State, and local regulations that govern the Contractor's operations and storm water discharges from both the project site and areas of disturbance outside the project limits during construction.

Unless arrangements for disturbance of areas outside the project limits are made by the Department and made part of the contract, it is expressly agreed that the Department assumes no responsibility whatsoever to the Contractor or property owner with respect to any arrangements made between the Contractor and property owner to allow disturbance of areas outside the project limits.

The Contractor shall be responsible for the costs and for liabilities imposed by law as a result of the Contractor's failure to comply with the requirements set forth in this section "Water Pollution Control" including, but not limited to, compliance with the applicable provisions of the Manuals and Federal, State, and local regulations. For the purposes of this paragraph, costs and liabilities include, but are not limited to, fines, penalties, and damages whether assessed against the State or the Contractor, including those levied under the Federal Clean Water Act and the State Porter Cologne Water Quality Act.

In addition to the remedies authorized by law, an amount of the money due the Contractor under the contract, as determined by the Department, may be retained by the State of California until disposition has been made of the costs and liabilities.

The retention of money due the Contractor shall be subject to the following:

- A. The Department will give the Contractor 30 days notice of the Department's intention to retain funds from partial payments which may become due to the Contractor prior to acceptance of the contract. Retention of funds from payments made after acceptance of the contract may be made without prior notice to the Contractor.
- B. No retention of additional amounts out of partial payments will be made if the amount to be retained does not exceed the amount being withheld from partial payments pursuant to Section 7-1.05, "Partial Payments," of the General Conditions.
- C. If the Department has retained funds and it is subsequently determined that the State is not subject to the costs and liabilities in connection with the matter for which the retention was made, the Department shall be liable for interest on the amount retained at the legal rate of interest for the period of the retention.

Conformance with the requirements of this section "Water Pollution Control," shall not relieve the Contractor from the Contractor's responsibilities, as provided in Section 5-1.02, "Protection and Use of Property," and Section 5-1.03, "Responsibility for Damage," of the General Conditions.

WATER POLLUTION CONTROL PROGRAM PREPARATION, APPROVAL AND UPDATES.—As part of the water pollution control work, a Water Pollution Control Program, hereafter referred to as the "WPCP," is required for this contract. The WPCP shall conform to the requirements in Section 5-1.101R, "Water Pollution," of the General Conditions, the requirements in the Manuals, and these special provisions.

No work having potential to cause water pollution, as determined by the Engineer, shall be performed until the WPCP has been approved by the Engineer.

Within 15 days after the approval of the contract, the Contractor shall submit 3 copies of the WPCP to the Engineer. The Engineer will have 5 days to review the WPCP. If revisions are required, as determined by the Engineer, the Contractor shall revise and resubmit the WPCP within 5 days of receipt of the Engineer's comments. The Engineer will have 5 days to review the revisions. Upon the Engineer's approval of the WPCP, 3 additional copies of the WPCP incorporating the required changes shall be submitted to the Engineer. Minor changes or clarifications to the initial submittal may be made and attached as amendments to the WPCP. In order to allow construction activities to proceed, the Engineer may conditionally approve the WPCP while minor revisions or amendments are being completed.

The WPCP shall identify pollution sources that may adversely affect the quality of storm water discharges associated with the project and shall identify water pollution control measures, hereafter referred to as control measures, to be constructed, implemented, and maintained in order to reduce to the extent feasible pollutants in storm water discharges from the construction site during construction under this contract.

The WPCP shall incorporate control measures in the following categories:

- A. Soil stabilization;
- B. Sediment control;
- C. Tracking control;
- D. Wind erosion control;
- E. Non-storm water control; and
- F. Waste management and material pollution control.

Specific objectives and minimum requirements for each category of control measures are contained in the Manuals.

The Contractor shall consider the objectives and minimum requirements presented in the Manuals for each of the above categories. When minimum requirements are listed for any category, the Contractor shall incorporate into the WPCP and implement on the project, one or more of the listed minimum controls required in order to meet the pollution control objectives for the category. In addition, the Contractor shall consider other control measures presented in the Manuals and shall incorporate into the WPCP and implement on the project the control measures necessary to meet the objectives of the WPCP. The Contractor shall document the selection process in conformance with the procedure specified in the Manuals.

The WPCP shall include, but not be limited to, the following items as described in the Preparation Manual:

- A. Project description and Contractor's certification;
- B. Project information;
- C. Pollution sources, control measures, and water pollution control drawings; and
- D. Amendments, if any.

The Contractor shall amend the WPCP, graphically and in narrative form, whenever there is a change in construction activities or operations which may affect the discharge of significant quantities of pollutants to surface waters, ground waters, municipal storm drain systems or when deemed necessary by the Engineer. The WPCP shall be amended if the WPCP has not achieved the objective of reducing pollutants in storm water discharges. Amendments shall show additional control measures or revised operations, including those in areas not shown in the initially approved WPCP, which are required on the project to control water pollution effectively. Amendments to the WPCP shall be submitted for review and approval by the Engineer in the same manner specified for the initially approved WPCP. Amendments shall be dated and attached to the onsite WPCP document.

The Contractor shall keep a copy of the WPCP, together with updates, revisions and amendments at the project site.

WPCP IMPLEMENTATION.--Upon approval of the WPCP, the Contractor shall be responsible throughout the duration of the project for installing, constructing, inspecting and maintaining the control measures included in the WPCP and any amendments thereto and for removing and disposing of temporary control measures. Unless otherwise directed by the Engineer or specified in these special provisions, the Contractor's responsibility for WPCP implementation shall continue

throughout any temporary suspension of work ordered in accordance with Section 6-1.06, "Temporary Suspension of Work," of the General Conditions. Requirements for installation, construction, inspection, maintenance, removal and disposal of control measures are specified in the Manual and these special provisions.

Soil stabilization practices and sediment control measures, including minimum requirements, shall be provided throughout the winter season, defined as between October 1 and May 1.

Implementation of soil stabilization practices and sediment control measures for soil-disturbed areas of the project site shall be completed, except as provided for below, no later than 20 days prior to the beginning of the winter season or upon start of applicable construction activities for projects which begin either during or within 20 days of the winter season.

Throughout the rainy season, the active, soil-disturbed area of the project site shall be not more than 1.9 hectares. The Engineer may approve, on a case-by-case basis, expansions of the active, soil-disturbed area limit. The Contractor shall demonstrate the ability and preparedness to fully deploy soil stabilization practices and sediment control measures to protect soil-disturbed areas on the project site before the onset of precipitation. A quantity of soil stabilization and sediment control materials shall be maintained on site equal to 100 percent of that sufficient to protect unprotected, soil-disturbed areas on the project site. A detailed plan for the mobilization of sufficient labor and equipment shall be maintained to fully deploy control measures required to protect unprotected, soil-disturbed areas on the project site prior to the onset of precipitation. A current inventory of control measure materials and the detailed mobilization plan shall be included as part of the WPCP.

Throughout the rainy season, soil-disturbed areas on the project site shall be considered to be nonactive whenever soil disturbing activities are expected to be discontinued for a period of 20 or more days and the areas are fully protected. Areas that will become nonactive either during the rainy season or within 20 days thereof shall be fully protected with soil stabilization practices and sediment control measures within 10 days of the discontinuance of soil disturbing activities or prior to the onset of precipitation, whichever is first to occur.

Throughout the rainy season, active soil-disturbed areas of the project site shall be fully protected at the end of each day with soil stabilization practices and sediment control measures unless fair weather is predicted through the following work day. The weather forecast shall be monitored by the Contractor on a daily basis. The National Weather Service forecast shall be used. An alternative weather forecast proposed by the Contractor may be used if approved by the Engineer. If precipitation is predicted prior to the end of the following work day, construction scheduling shall be modified, as required, and functioning control measures shall be deployed prior to the onset of the precipitation.

The Contractor shall implement, year-round and throughout the duration of the project, control measures included in the WPCP for tracking control, wind erosion control, non-storm water control, and waste management and material pollution control.

The Engineer may order the suspension of construction operations which create water pollution if the Contractor fails to conform to the provisions in this section "Water Pollution Control" as determined by the Engineer.

MAINTENANCE.-- To ensure the proper implementation and functioning of control measures, the Contractor shall regularly inspect and maintain the construction site for the control measures identified in the WPCP. The Contractor shall identify corrective actions and time needed to address any deficient measures or reinitiate any measures that have been discontinued.

The construction site inspection checklist provided in the Preparation Manual shall be used to ensure that the necessary measures are being properly implemented, and to ensure that the control measures are functioning adequately. One copy of each site inspection record shall be submitted to the Engineer.

During the rainy season, inspections of the construction site shall be conducted by the Contractor to identify deficient measures, as follows:

- A. Prior to a forecast storm;
- B. After all precipitation which causes runoff capable of carrying sediment from the construction site;
- C. At 24-hour intervals during extended precipitation events; and
- D. Routinely, at a minimum of once every 2 weeks.

If the Contractor or the Engineer identifies a deficiency in the deployment or functioning of an identified control measure, the deficiency shall be corrected immediately. The deficiency may be corrected at a later date and time if requested by the Contractor and approved by the Engineer in writing, but not later than the onset of subsequent precipitation events. The correction of deficiencies shall be at no additional cost to the State.

The Engineer will retain an amount equal to 25 percent of the estimated value of the contract work performed during estimate periods in which the Contractor fails to conform to the requirements of this section "Water Pollution Control" as determined by the Engineer.

Retentions for failure to conform to the requirements of this section "Water Pollution Control" shall be in addition to the other retentions provided for in the contract. The amounts retained for failure of the Contractor to conform to the requirements of this section will be released for payment on the next monthly estimate for partial payment following the date

that a WPCP has been implemented and maintained, and water pollution is adequately controlled, as determined by the Engineer.

# 1.10 UTILITY CONNECTION

At the Hollywood and Ojai Maintenance Stations, the Contractor shall make all arrangements and obtain all permits and licenses required for the extension of and connection to each utility service applicable to this project, shall furnish all labor and materials necessary for such extensions which are not performed or provided by the utility, and shall furnish and install any intermediate equipment required by the serving utilities.

Upon written request by the Contractor, the State will pay all utility permits, licenses, connection charges, and excess length charges directly to the utility. Such request shall be submitted not less than 45 days before service connections are required.

The costs incurred by the Contractor for the extension of utilities beyond the limits shown on the plans, and in furnishing and installing any intermediate equipment required by the serving utilities, will be paid for as an ordered change as provided in Section 3, "Changes in the Work," of the General Conditions.

Full compensation for any costs incurred by the Contractor to obtain the permits and licenses shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

#### 1.11 TEMPORARY UTILITIES

The Contractor may obtain electrical power and water from existing State outlets within the contract limits free of charge for contract operations where such utilities exist, provided that such utility services are in service and are not required by the State for other purposes and subject to the provisions in "Cooperation" of these special provisions.

The Contractor, at his own expense, shall obtain any additional electrical power and water or other utilities required for his operations and shall make and maintain the necessary service connections.

The Contractor shall provide and pay for telephone service he may require. State telephone facilities shall not be used.

The Contractor shall provide adequate temporary lighting to perform the work and allow the Engineer to inspect the project as each portion is completed.

#### 1.12 SANITARY FACILITIES

When operational, State sanitary facilities will be available for use by the Contractor's employees, during normal State working hours. Tools shall not be cleaned nor shall cleaning liquids be disposed of in State sanitary facilities or sewers.

## 1.13 REFERENCES

Attention is directed to Section 1-1.26, "Abbreviations," of the General Conditions.

When reference is made to the Uniform Building Code (UBC) on the plans or in the special provisions, it shall be the 1997 Uniform Building Code as amended by the 1998 Title 24 California Building Standards Code.

#### 1.14 PROJECT RECORD DRAWINGS

One set of the project plans shall be kept on file by the Contractor for the sole purpose of recording as-built information and shall be so marked. Data to be recorded shall include, but not be limited to, all clarifications and change orders, location of underground utilities, and changes in size, manufacture or location of features shown on the plans. In addition, the locations of significant items such as main filters, controls, isolating valves, and similar items shall be highlighted on this set of project record drawings.

All corrections shall be made in red ink or red pencil. Superseded material shall be neatly lined out. Original figures shall not be eradicated nor written over. Each sheet shall be clearly marked as having "As-Built Changes" or "No As-Built Changes," as appropriate. The Contractor shall sign and date each sheet of the plans certifying that all information shown is correct

Additional drawings shall be submitted when as-built information cannot be clearly shown on existing drawings. Supplemental drawings for as-built information shall be not less than 279 mm x 432 mm in size and shall have the contract number on each drawing.

The Contractor shall periodically review the set of record drawings with the Engineer during the progress of the work to assure that all changes and other required information are being recorded.

Before completion of the work, the Contractor shall request a review of the record drawings to determine completeness and adequacy. If the record drawings are unacceptable, the Contractor shall inspect, measure and survey the project as necessary to record the required additional information.

The record set of plans shall be delivered to the Engineer prior to acceptance of the contract.

#### 1.15 FIELD ENGINEERING

This section specifies administrative and procedural requirements for field engineering services to be performed by the Contractor.

Lines and grades.--Such stakes or marks will be set by the Engineer as he determines to be necessary to establish the lines and grades required for the completion of the work shown on the plans and as specified in these special provisions. In general, these will consist of the primary vertical and horizontal control points.

Stakes and marks set by the Engineer shall be carefully preserved by the Contractor. In case such stakes and marks are destroyed or damaged they will be replaced at the Engineer's earliest convenience. The Contractor will be charged for the cost of necessary replacement or restoration of such stakes and marks which in the judgment of the Engineer were carelessly or willfully destroyed or damaged by the Contractor's operations. This charge will be deducted from any moneys due or to become due the Contractor.

All other stakes or marks required to establish the lines and grades required for the completion of the work shall be the responsibility of the Contractor.

**Existing utilities and equipment.-**The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, the Contractor shall investigate and verify the existence and location of underground utilities and other construction.

Prior to construction, the Contractor shall verify the location and invert elevation at points of connection of sanitary and septic sewers, storm sewer, and water or fire service piping. The Contractor shall pothole to verify flow line elevations where necessary.

**Surveys for layout and performance.**—The Contractor shall perform all surveys for layout and performance, reduce field notes, and make all necessary calculations and drawings necessary to carry out the work.

The Contractor shall locate and layout site improvements, and other work requiring field engineering services, including pavements, stakes for grading, fill and topsoil placement, utility slopes and invert elevations by instrumentation and similar appropriate means.

Batter boards shall be located and laid out for structures, building foundations, column grids and locations, floor levels and, control lines and levels required for mechanical and electrical work.

**Survey accuracy and tolerances.**—The tolerances generally applicable in setting survey stakes for foundations, slabs, and underground work shall not exceed the following:

Survey Stakes or Markers	Tolerance
Rough grading or excavation Trimming or preparation of subgrade for roadways Roadway surfacing, steel or concrete pipe Structures or building construction	30 mm 15 mm 6 mm 3 mm

Such tolerance shall not supersede stricter tolerances required by the plans or special provisions, and shall not otherwise relieve the Contractor of responsibility for measurements in compliance therein.

#### 1.16 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES

The provisions in "Public Convenience" and "Public Safety" of these special provisions set forth the Contractor's responsibilities for public convenience and public safety. This division sets forth requirements concerning traffic handling equipment and devices needed to carry out the provisions in "Public Convenience" and "Public Safety" of these special provisions.

Attention is directed to the provisions in the MANUAL OF TRAFFIC CONTROLS for Construction and Maintenance Work Zones, published by the Department, hereinafter referred to as MANUAL OF TRAFFIC CONTROLS. Nothing in these special provisions shall be construed as to reduce the minimum standards in the manual.

# **FLAGGERS**

Flaggers while on duty and assigned to traffic control or to give warning to the public that the highway is under construction and of any dangerous conditions to be encountered as a result thereof, shall perform their duties and shall be provided with the necessary equipment in conformance with the current "Instructions to Flaggers" of the Department of Transportation. The equipment shall be furnished and kept clean and in good repair by the Contractor at the Contractor's expense.

The cost of furnishing all flaggers, including transporting flaggers, to provide for passage of public traffic through the work under the provisions in "Public Convenience," and "Public Safety," of these special provisions, will be borne entirely by the Contractor. The cost of providing stands or towers for use of flaggers shall be considered as part of the cost of furnishing flaggers.

The provisions in these special provisions shall in nowise relieve the Contractor from the responsibility of providing for the safety of the public as provided in "Public Safety" of these special provisions, nor relieve the Contractor from the responsibility for damage as provided in Section 5, "Legal Relations and Responsibility," of the General Conditions.

# TRAFFIC-HANDLING EQUIPMENT AND DEVICES

# **GENERAL**

In addition to the provisions in the MANUAL OF TRAFFIC CONTROLS, all devices used by the Contractor in the performance of the work shall conform to the provisions in this division, "Construction Area Traffic Control Devices," and these special provisions.

All warning, regulatory, and guide signs required for the direction of public traffic through or around the work shall be furnished by the Contractor.

Traffic-handling equipment and devices damaged from any cause during the progress of the work shall be repaired, including painting if required, or shall be replaced by the Contractor at the Contractor's expense.

When traffic control devices furnished by the Contractor are no longer needed for controlling traffic, they shall become the property of the Contractor and shall be removed from the site of the work.

# FLASHING ARROW SIGNS

Flashing arrow signs shall be finished with commercial quality flat black enamel and shall be equipped with yellow or amber lamps that form arrows or arrowheads as required. Each lamp shall be provided with a visor and the lamps shall be controlled by an electronic circuit that will provide between 30 and 45 complete operating cycles per minute in each of the displays and modes specified. The control shall include provisions for dimming the lamps by reducing the voltage to 50 percent, ±5 percent, for nighttime use. Type I signs shall have both manual and automatic photoelectric dimming controls. Dimming in both modes shall be continuously variable over the entire dimming range.

Flashing arrow signs shall conform to the following legibility requirements. The minimum legibility distance is the distance at which flashing arrow signs shall be legible at noon on a cloudless day and at night by persons with vision of or corrected to 20/20.

		Min. Number of	Min. Legibility
Type	Min. Size	Panel Lights	Distance
I	1200 mm x 2400 mm	15	1.6 km
II	900 mm x 1800 mm	13	1.2 km

Flashing arrow signs shall be capable of being operated in 4 different display modes as follows. The display to be used shall be as directed by the Engineer:

- 1. Pass Left Display
- 2. Pass Right Display
- 3. Simultaneous Display
- 4. Caution Display

Flashing arrow signs shall also be capable of operating in one or both of the following modes, at the option of the Contractor:

- 1. Flashing Arrow Mode
- 2. Sequential Mode

In the flashing arrow mode, all lamps forming the arrowhead and shaft shall flash on and off simultaneously.

In the sequential mode, either arrowheads or arrows shall flash sequentially in the direction indicated.

In the simultaneous display mode, the lamps forming both right and left arrowheads and the lamps of the arrowhaft (center 3 on Type I signs) shall flash simultaneously. On Type II signs, the lamps forming the right and left arrowhead, except the center lamp, may be continuously illuminated while the lamps forming the shaft and the center lamp of the arrowheads flash on and off simultaneously.

In the caution display mode, a combination of lamps not resembling any other display or mode shall flash.

Each flashing arrow sign shall be mounted on a truck or on a trailer and shall be capable of operating while the vehicle is moving and shall be capable of being placed and maintained in operation at locations as shown on the plans, as specified in these special provisions or as directed by the Engineer.

Flashing arrow signs shall be mounted to provide a minimum of 2.1 m between the bottom of the sign and the roadway.

Trailers on which flashing arrow signs are mounted shall be equipped so that they can be leveled and plumbed.

Electrical energy to operate the sign shall be obtained from the vehicle on which the sign is mounted or from a generating plant mounted on the vehicle. Regardless of the source, the supply of electrical energy shall be capable of operating the sign in the manner specified.

#### PORTABLE DELINEATORS.

Portable delineators, including the base, shall be composed of a material that has sufficient rigidity to remain upright when unattended and shall be either flexible or collapsible upon impact by a vehicle. The base shall be of such shape as to preclude roll after impact. The base shall be of sufficient mass or shall be anchored in a manner such that the delineator shall remain in an upright position. Ballast, if used for the bases of portable delineators, shall be sand or water.

If the portable delineators are displaced or are not in an upright position, from any cause, the delineators shall immediately be replaced or restored to their original location, in an upright position, by the Contractor.

The vertical portion of the portable delineators shall be of a fluorescent orange or predominantly orange color. The posts shall be not less than 75 mm in width or diameter. The minimum height shall be 900 mm above the traveled way.

A minimum of 2 retroreflective bands, each not less than 75 mm wide, shall be mounted a minimum of 38 mm apart and at a height on the post so that one retroreflective band will be between 0.8-m and 0.9-m above the roadway surface.

Retroreflective bands shall be white and shall be fabricated from flexible reflective sheeting as specified in the special provisions. The retroreflective bands shall be visible at 300 m at night under illumination of legal high beam headlights, by persons with vision of or corrected to 20/20.

Only one type of portable delineator shall be used on the project. The type of portable delineator proposed for use on the project shall be submitted to the Engineer for approval prior to placement on the project.

#### CONSTRUCTION AREA SIGNS

The term "construction area signs" shall include all temporary signs required for the direction of public traffic through or around the work during construction. These signs are shown in or referred to in the current MANUAL OF TRAFFIC CONTROLS.

Construction area signs shall not be used until they are needed and when no longer needed they shall become the property of the Contractor and shall be removed from the site of the work.

Construction area signs shall be installed at the locations shown on the plans as directed by the Engineer.

Construction area signs designated as stationary mounted signs on the plans shall conform to the provisions in "Stationary Mounted Signs" of these special provisions and construction area signs designated as portable signs shall conform to the provisions in "Portable Signs" of these special provisions. Construction area signs not designated as stationary mounted signs nor as portable signs on the plans shall be, at the option of the Contractor, either stationary mounted signs or portable signs conforming to the provisions of these special provisions for the types of signs involved.

The Contractor shall furnish all sign panels, posts and hardware, and shall erect, maintain and remove all construction area signs shown on the plans in conformance with the provisions of these special provisions.

All construction area signs shall conform to the dimensions, color and legend requirements of the plans, the current MANUAL OF TRAFFIC CONTROLS and these special provisions. All sign panels shall be the product of a commercial sign manufacturer, and shall conform to the provisions of these special provisions.

Sign panels for all construction area signs shall be visible at 150 m and legible at 90 m, at noon on a cloudless day and at night under illumination of legal low beam headlights, by persons with vision of or corrected to 20/20, except that the nighttime requirement shall not apply to fabric sign panels for portable signs.

The Contractor may be required to cover certain signs during the progress of the work. Covers for construction area signs shall be of sufficient size and density to completely block out the message so that it is not visible either during the day or at night. Covers shall be fastened securely to prevent movement caused by wind action.

The Contractor shall clean all construction area sign panels at the time of installation and as often thereafter as the Engineer determines to be necessary, but at least once every 4 months.

Used signs with the specified sheeting material will be considered satisfactory if they conform to the requirements for visibility and legibility and the colors conform to the requirements of the current Manual of Traffic Controls. A significant difference between day and nighttime retroreflective color will be grounds for rejecting signs.

Signs damaged by any cause shall be repaired or, if determined by the Engineer to be irreparable, replaced by the Contractor at his expense.

To properly provide for changing traffic conditions and damage caused by public traffic or otherwise, the Contractor shall be prepared to furnish on short notice additional construction area sign panels, posts and mounting hardware or portable sign mounts. The Contractor shall maintain an inventory of the commonly required items at the jobsite or shall make arrangements with a supplier who is able, on a daily basis, to furnish such items on short notice.

The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least 2 working days, but not more than 14 calendar days, prior to commencing excavation for construction area sign posts. The regional notification centers include, but are not limited to, the following:

Notification Center	Telephone Number
Underground Service Alert-Northern California (USA)	1-800-642-2444
	1-800-227-2600
Underground Service Alert-Southern California (USA)	1-800-422-4133
	1-800-227-2600

Excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the proposed post holes.

Sign substrates for stationary mounted construction area signs may be fabricated from fiberglass reinforced plastic as specified under "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

#### **Stationary Mounted Signs**

Stationary signs shall be installed on wood posts in the same manner shown on the plans for roadside signs, except as follows:

- 1. Back braces and blocks for sign panels will not be required.
- 2. The height to the bottom of the sign panel above the edge of traveled way shall be at least 2.1 m.
- 3. Construction area sign posts may be installed on above ground temporary platform sign supports as approved by the Engineer, or the signs may be installed on existing lighting standards or other supports as approved by the Engineer. When construction area signs are installed on existing lighting standards, holes shall not be made in the standards to support the sign.
- 4. The post embedment shall be 0.8-m if post holes are backfilled around the posts with portland cement concrete produced from commercial quality aggregates and cement with not less than 175 kg of cement per cubic meter.

Post size and number of posts will be determined by the Engineer. Posts shall be good sound wood posts, suitable for the purpose intended.

Sign panels for stationary mounted signs shall consist of Type III or Type IV retroreflective sheeting applied to an aluminum substrate conforming to the requirements in the Department's "Specifications for Reflective Sheeting Aluminum Signs." The type of retroreflective sheeting, Type III or Type IV shall be at the Contractor's option and sign substrates fabricated from materials other than aluminum may be used when provided for in these special provisions.

Legend and border may be applied by a screening process or by use of pressure sensitive cut-out sheeting. Size and spacing of letters and symbols shall be as depicted on the sign specification sheets published by the Department.

Rectangular signs over 1375 mm measured along the horizontal axis, and diamond-shaped signs 1500 mm and larger shall be framed unless otherwise specified. Frames shall be constructed in conformance with the requirements in the Department's "Framing Details for Sheet Aluminum Signs," Sheets 1 through 4 and Table 1 on Sheet 5.

Copies of the Department's "Specifications for Reflective Sheeting Aluminum Signs," "Framing Details for Sheet Aluminum Signs," and sign specification sheets may be obtained from the Department's Office of Business Management, Materiel Operations Branch, 1900 Royal Oaks Drive, Sacramento, CA 95815.

Sign panel fastening hardware shall be commercial quality.

#### **Portable Signs**

Each portable sign shall consist of a base, standard or framework and a sign panel. The units shall be capable of being delivered to the site of use and placed in immediate operation.

Sign panels for portable signs shall conform to the provisions for sign panels for "Stationary Mounted Signs" of these special provisions, or shall be Type VI retroreflective sheeting, or shall be cotton drill fabric, flexible industrial nylon fabric or other approved fabric. Fabric signs shall not be used during the hours of darkness. Size, color and legend requirements for portable signs shall conform to the provisions for sign panels for "Stationary Mounted Signs" of these special provisions. The height to the bottom of the sign panel above the edge of traveled way shall be at least 0.3-m.

If portable signs are damaged, displaced or overturned, from any cause, during the progress of the work, the Contractor shall immediately repair and repaint or replace the signs in their original locations.

# TELESCOPING FLAG TREES

Telescoping flag trees shall be of good commercial quality material, suitable for the purpose intended and shall be capable of maintaining an upright position at all times while in use.

# TRAFFIC CONES

Traffic cones shall be fluorescent and of good commercial quality, flexible material suitable for the purpose intended. The outer section of the portion above the base of the cone shall be translucent and be of a highly pigmented fluorescent orange polyvinyl compound. The overall height of the cone shall be at least 700 mm and the bottom inside diameter shall be not less than 265 mm. The base shall be of sufficient mass and size or shall be anchored in a manner that the traffic cone will remain in an upright position.

During the hours of darkness traffic cones shall be affixed with retroreflective cone sleeves. The retroreflective sheeting of sleeves on the traffic cones shall be visible at 300 m at night under illumination of legal high beam headlights, by persons with vision of or corrected to 20/20.

Retroreflective cone sleeves shall conform to one of the following:

- Removable flexible retroreflective cone sleeves shall be fabricated from the retroreflective sheeting specified in the special provisions, have a minimum height of 325 mm and shall be placed a maximum of 75 mm from the top of the cone. The sleeves shall not be in place during daylight hours;
- 2. Permanently affixed semitransparent retroreflective cone sleeves shall be fabricated from the semitransparent retroreflective sheeting specified in the special provisions, have a minimum height of 325 mm, and shall be placed a maximum of 75 mm from the top of the cone. Traffic cones with semitransparent retroreflective cone sleeves may be used during daylight hours; or
- 3. Permanently affixed double band retroreflective cone sleeves shall have 2 white retroreflective bands. The top band shall be 150 mm in height, placed a maximum of 100 mm from the top of the cone. The lower band shall be 100 mm in height, placed 50 mm below the bottom of the top band. Traffic cones with double band retroreflective cone sleeves may be used during daylight hours.

Attention is directed to "Prequalified and Tested Signing and Delineation Materials" of these special provisions regarding retroreflective sheeting for cone sleeves.

The type of retroreflective cone sleeve used shall be at the option of the Contractor. Only one type of retroreflective cone sleeve shall be used on the project.

#### PORTABLE CHANGEABLE MESSAGE SIGNS

Each portable changeable message sign unit shall consist of a controller unit, a power supply and a structural support system, all mounted on a trailer. The unit shall be assembled to form a complete self-contained portable changeable message sign which can be delivered to the site of the work and placed in immediate operation. The complete message sign unit shall be capable of operating in an ambient air temperature range of -20°C to +70°C and shall not be affected by unauthorized mobile radio transmissions. The trailer shall be equipped so that it can be leveled and plumbed.

The message displayed on the sign shall be visible from a distance of 460 m and shall be legible from a distance of 230 m, at noon on a cloudless day, by persons with vision of or corrected to 20/20. The sign panel shall be 3-line matrix and shall display not less than 7 characters per line. Sign messages to be displayed shall be as approved by the Engineer.

The sign face shall be flat black and shall be protected from glare of the sun by a method which does not interfere with the clarity of the sign message. The sign shall be raised and lowered by means of a power driven lifting mechanism.

The matrix sign shall be capable of complete alphanumeric selection.

Lamp matrix type signs shall be equipped with an automatic dimming operational mode that automatically compensates for the influence of a temporary light source or other abnormal lighting conditions. The sign shall have manual dimming operation modes of 3 or more different lamp intensities.

Matrix signs not utilizing lamps shall be either internally or externally illuminated at night.

The controller shall be an all solid-state unit containing all the necessary circuitry for the storage of at least 5 preprogrammed messages. The controller shall be installed in a location allowing the operator to perform all functions from one position. A keyboard entry system shall be provided to allow an operator to generate an infinite number of additional messages over the preprogrammed stored messages. The keyboard shall be equipped with a security lockout feature to prevent unauthorized use of the controller.

The controller shall contain a nonvolatile memory to hold the keyboard created messages in memory during periods when the power is not activated. The controller shall provide for a variable message display rate which allows the operator to match the information display to the speed of the approaching traffic. The flashing off time shall be operator adjustable within the control cabinet.

Full operation height shall be with the bottom of the sign at least 2.1 m above the ground and the top no more than 4.4 m above the ground.

After initial placement, portable changeable message signs shall be moved from location to location as directed by the Engineer.

Portable changeable message signs shall be furnished, placed, operated, and maintained at locations shown on the plans, specified in these special provisions or designated by the Engineer.

# **PAYMENT**

Except as provided in these special provisions, full compensation for furnishing flaggers, furnishing, installing, maintaining, removing, and disposing of construction area traffic control devices and appurtenances, shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

# 1.17 PUBLIC CONVENIENCE

Public convenience defines the Contractor's responsibility with regard to convenience of the public and public traffic in connection with the Contractor's operations.

Attention is directed to "Public Safety," of these special provisions, for provisions relating to the Contractor's responsibility for the safety of the public. The provisions in "Public Safety" are in addition to these provisions and the Contractor will not be relieved of the responsibilities as set forth in "Public Safety" by reason of conformance with any of these provisions.

Attention is directed to "Construction Area Traffic Control Devices" of these special provisions, for provisions concerning flagging and traffic-handling equipment and devices used in carrying out these provisions and the provisions in "Public Safety" of these special provisions.

In the event of a suspension of the work, attention is directed to Section 6-1.06, "Temporary Suspension of Work," of the General Conditions.

The Contractor shall so conduct operations as to offer the least possible obstruction and inconvenience to the public, and shall have under construction no greater length or amount of work than can be prosecuted properly with due regard to the rights of the public.

Spillage resulting from hauling operations along or across any public traveled way shall be removed immediately by the Contractor at the Contractor's expense.

Existing traffic signals and highway lighting shall be kept in operation for the benefit of the traveling public during progress of the work, and other forces will continue routine maintenance of existing systems.

Construction operations shall be conducted in such a manner as to cause as little inconvenience as possible to abutting property owners.

Convenient access to driveways, houses and buildings along the line of the work shall be maintained and temporary approaches to crossings or intersecting highways and streets shall be provided and kept in good condition. When the abutting property owner's access across the right of way line is to be eliminated, or to be replaced under the contract by other access facilities, the existing access shall not be closed until the replacement access facilities are usable.

The Contractor may be required to cover certain signs which regulate or direct public traffic to roadways that are not open to traffic. The Engineer will determine which signs shall be covered. Except as otherwise provided in "Construction Area Signs" of these special provisions, furnishing, installing and removing covers will be paid for as an ordered change in conformance with the provisions in Section 3, "Changes in the Work," of the General Conditions.

Water or dust palliative shall be applied if ordered by the Engineer for the alleviation or prevention of dust nuisance. Water and dust palliative ordered by the Engineer will be paid for as an ordered change in conformance with the provisions in Section 3, "Changes in the Work," of the General Conditions.

#### **PAYMENT**

Except as provided in these special provisions, full compensation for public convenience shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

#### 1.18 PUBLIC SAFETY

It is the Contractor's responsibility to provide for the safety of traffic and the public during construction. Attention is directed to Section 5-1.03, "Responsibility for Damage," of the General Conditions.

Attention is directed to "Public Convenience," of these special provisions, for provisions relating to the Contractor's responsibility for providing for the convenience of the public in connection with his operations.

Attention is directed to "Construction Area Traffic Control Devices," of these special provisions, for provisions concerning flagging and traffic-handling equipment and devices used in carrying out the provisions in "Public Convenience" and these provisions.

Whenever the Contractor's operations create a condition hazardous to traffic or to the public, the Contractor shall, at the Contractor's expense and without cost to the State, furnish, erect and maintain such fences, temporary railing (Type K), barricades, lights, signs and other devices and take such other protective measures as are necessary to prevent accidents or damage or injury to the public.

Fences, temporary railing (Type K), barricades, lights, signs, and other devices furnished, erected and maintained by the Contractor, at the Contractor's expense, are in addition to any construction area traffic control devices which are specified elsewhere in these special provisions.

The Contractor shall also furnish such flaggers as are necessary to give adequate warning to traffic or to the public of any dangerous conditions to be encountered. Full compensation for flaggers for public safety shall be considered as included in the contract lump sum price paid for building work and no separate payment will be made therefor.

Signs, lights, flags, and other warning and safety devices and their use shall conform to the requirements set forth in the current MANUAL OF TRAFFIC CONTROLS, published by the Department. Signs or other protective devices furnished and erected by the Contractor at the Contractor's expense, as above provided, shall not obscure the visibility of, nor conflict in intent, meaning and function of either existing signs, lights and traffic control devices or any construction area signs and traffic control devices for which furnishing of, or payment for, is provided elsewhere in these special provisions. Signs furnished and erected by the Contractor at the Contractor's expense shall be approved by the Engineer as to size, wording and location.

The installation of general roadway illumination shall not relieve the Contractor of his responsibility for furnishing and maintaining any of the protective facilities herein before specified.

Construction equipment shall enter and leave the highway via existing ramps and crossovers and shall move in the direction of public traffic. All movements of workmen and construction equipment on or across lanes open to public traffic shall be performed in a manner that will not endanger public traffic.

The Contractor's trucks and other mobile equipment which leave a traffic lane, that is open to public traffic, to enter the construction area, shall slow down gradually in advance of the location of the turnoff to give following public traffic an opportunity to slow down.

When leaving a work area and entering a roadway carrying public traffic, the Contractor's equipment, whether empty or loaded, shall in all cases yield to public traffic.

In addition to any other measures taken by the Contractor pursuant to the provisions of this division, "Public Safety," the Contractor shall install temporary railing (Type K) between any lane carrying public traffic and any excavation, obstacle, or storage area when the following conditions exist:

- A. Excavations.—The near edge of the excavation is 3.6 m or less from the edge of the lane, except:
  - 1. Excavations covered with sheet steel or concrete covers of adequate thickness to prevent accidental entry by traffic or the public.
  - 2. Excavations less than 0.3-m deep.
  - 3. Trenches less than 0.3-m wide for irrigation pipe or electrical conduit, or excavations less than 0.3-m in diameter
  - 4. Excavations parallel to the lane for the purpose of pavement widening or reconstruction.
  - 5. Excavations in side slopes, where the slope is steeper than 1:4 (vertical:horizontal).
  - 6. Excavations protected by existing barrier or railing.
- B. Temporarily Unprotected Permanent Obstacles.—The work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and the Contractor elects to install the obstacle prior to installing the protective system; or the Contractor, for the Contractor's convenience and with permission of the Engineer, removes a portion of an existing protective railing at an obstacle and does not replace such railing complete in place during the same day.
- C. Storage Areas.--Material or equipment is stored within 3.6 m of the lane and the storage is not otherwise prohibited by the provisions of these special provisions.

The approach end of temporary railing (Type K), installed in conformance with the provisions in this Division "Public Safety" and in the Division entitled, "Public Safety," of these special provisions, shall be offset a minimum of 4.6 m from the edge of the traffic lane open to public traffic. The temporary railing shall be installed on a skew toward the edge of the traffic lane of not more than 0.3-m transversely to 3 m longitudinally with respect to the edge of the traffic lane. If the 4.6-m minimum offset cannot be achieved, the temporary railing shall be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules shall be installed at the approach end of the temporary railing.

Temporary railing (Type K) shall conform to the provisions in, "Temporary Railing (Type K)," of these special provisions. Temporary railing (Type K), conforming to the details shown on the Department's 1999 Standard Plan T3, may be used. Temporary railing (Type K) fabricated prior to January 1, 1993, and conforming to the Department's 1988 Standard Plan B11-30 may be used, provided the fabrication date is printed on the required Certificate of Compliance.

Temporary crash cushion modules shall conform to the provisions in "Temporary Crash Cushion Module" of these special provisions.

Except for installing, maintaining and removing traffic control devices, whenever work is performed or equipment is operated in the following work areas, the Contractor shall close the adjacent traffic lane unless otherwise provided in these special provisions:

Approach Speed of Public Traffic (Posted Limit) (Kilometers Per Hour)	Work Areas
Over 72 (45 Miles Per Hour)	Within 1.8 m of a traffic lane but not on a traffic lane
56 to 72 (35 to 45 Miles Per Hour)	Within 0.9-m of a traffic lane but not on a traffic lane

The lane closure provisions of this division shall not apply if the work area is protected by permanent or temporary railing or barrier.

When traffic cones or delineators are used to delineate a temporary edge of a traffic lane, the line of cones or delineators shall be considered to be the edge of the traffic lane, however, the Contractor shall not reduce the width of an existing lane to less than 3 m without written approval from the Engineer.

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure shall be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators shall be not more than the spacing used for the lane closure.

Suspended loads or equipment shall not be moved nor positioned over public traffic or pedestrians.

No material or equipment shall be stored where it will interfere with the free and safe passage of public traffic, and at the end of each day's work and at other times when construction operations are suspended for any reason, the Contractor shall remove all equipment and other obstructions from that portion of the roadway open for use by public traffic.

Temporary facilities which the Contractor uses to perform the work shall not be installed or placed where they will interfere with the free and safe passage of public traffic.

Temporary facilities which could be a hazard to public safety if improperly designed shall comply with design requirements specified in the contract for such facilities or, if none are specified, with standard design criteria or codes appropriate for the facility involved. Working drawings for such temporary facilities shall be prepared and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California, and shall be submitted to the Engineer for approval. The submittals shall designate thereon the standard design criteria or codes used. Installation of such temporary facilities shall not start until the Engineer has reviewed and approved the drawings.

Should the Contractor appear to be neglectful or negligent in furnishing warning devices and taking protective measures as specified herein, the Engineer may direct attention to the existence of a hazard and the necessary warning devices shall be furnished and installed and protective measures taken by the Contractor at the Contractor's expense. Should the Engineer point out the inadequacy of warning devices and protective measures, such action on the part of the Engineer shall not relieve the Contractor from responsibility for public safety or abrogate his obligation to furnish and pay for these devices and measures.

# **PAYMENT**

Except as provided in these special provisions, full compensation for public safety shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

# 1.19 MAINTAINING TRAFFIC

Attention is directed to "Public Convenience," "Public Safety," and "Construction Area Traffic Control Devices," of these special provisions, and these special provisions. Nothing in these special provisions shall be construed as relieving the Contractor from his responsibility as to conform to the provisions in "Public Safety" of these special provisions.

Personal vehicles of the Contractor's employees shall not be parked on the traveled way or shoulders, including any section closed to public traffic.

The Contractor shall notify local authorities of intent to begin work at least 5 days before work is begun. The Contractor shall cooperate and coordinate the construction operations with local authorities relative to handling traffic through the area and shall make arrangements with the local authorities relative to keeping the work area clear of parked vehicles.

Whenever vehicles or equipment are parked on the shoulder within 1.8 m of a traffic lane, the shoulder area shall be closed with fluorescent traffic cones or portable delineators placed on a taper in advance of the parked vehicles or equipment and along the edge of the pavement at 7.5 m intervals to a point not less than 7.5 m past the last vehicle or piece of equipment. A minimum of 9 cones or portable delineators shall be used for the taper. A C23 (Road Work Ahead) or C24 (Shoulder Work Ahead) sign shall be mounted on a portable sign stand with flags. The sign shall be placed where designated by the Engineer.

A minimum of one (paved) traffic lane, not less than 3.6 m wide, shall be open for use by public traffic in each direction of travel. When construction operations are not actively in progress, not less than two such lanes shall be open to public traffic

No work that interferes with public traffic shall be performed between 6:00 a.m. and 9:00 a.m. nor between 3:00 p.m. and 6:00 p.m. except work required in conformance with the provisions in "Public Convenience" and "Public Safety" of these special provisions.

The full width of the traveled way shall be open for use by public traffic on Saturdays, Sundays and designated legal holidays, after 3:00 p.m. on Fridays and the day preceding designated legal holidays, and when construction operations are not actively in progress.

Designated legal holidays are: January 1st, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, November 11th, Thanksgiving Day, and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday. When November 11th falls on a Saturday, the preceding Friday shall be a designated legal holiday.

Minor deviations from the requirements of this division concerning hours of work which do not significantly change the cost of the work may be permitted upon the written request of the Contractor, if in the opinion of the Engineer, public traffic will be better served and the work expedited. These deviations shall not be adopted by the Contractor until the Engineer has approved the deviations in writing. All other modifications will be made by an ordered change in accordance with the provisions in Section 3, "Changes in the Work," of the General Conditions.

### **PAYMENT**

Except as provided in these special provisions, full compensation for maintaining traffic shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

### 1.20 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES

Flagging, signs, and all other traffic control devices furnished, installed, maintained, and removed when no longer required shall conform to the provisions of these special provisions.

Category 1 traffic control devices are defined as those devices that are small and lightweight (less than 45 kg), and have been in common use for many years. The devices shall be known to be crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.

If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 traffic control devices. Self-certification shall be provided by the manufacturer or Contractor and shall include the following: date, Federal Aid number (if applicable), expenditure authorization, district, county, route and kilometer post of project limits; company name of certifying vendor, street address, city, state and zip code; printed name, signature and title of certifying person; and an indication of which Category 1 traffic control devices will be used on the project. The Contractor may obtain a standard form for self-certification from the Engineer.

Category 2 traffic control devices are defined as those items that are small and lightweight (less than 45 kg), that are not expected to produce significant vehicular velocity change, but may otherwise be potentially hazardous. Category 2 traffic control devices include: barricades and portable sign supports.

Category 2 devices purchased on or after October 1, 2000 shall be on the Federal Highway Administration (FHWA) Acceptable Crashworthy Category 2 Hardware for Work Zones list. This list is maintained by FHWA and can be located at the following internet address: http://safety.fhwa.dot.gov/fourthlevel/hardware/listing.cfm?code=workzone. The Department maintains a secondary list at the following internet address: http://www.dot.ca.gov/hq/traffops/signtech/signdel/pdffiles.htm.

Category 2 devices that have not received FHWA acceptance, and were purchased before October 1, 2000, may continue to be used until they complete their useful service life or until January 1, 2003, whichever comes first. Category 2 devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the

manufacturer by the start of the project. The label shall be readable. After January 1, 2003, all Category 2 devices without a label shall not be used on the project.

### **PAYMENT**

Full compensation for providing self-certification for crashworthiness of Category 1 traffic control devices and labeling Category 2 devices as specified shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

### 1.21 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE

A traffic control system shall consist of closing traffic lanes in conformance with these special provisions.

The provisions in this section will not relieve the Contractor of responsibility for providing additional devices or taking measures as may be necessary to comply with the provisions in "Public Safety," in these special provisions.

Whenever the term "hours of darkness" is used in these special provisions it shall be deemed to mean the hours of darkness as defined in Division 1, Section 280, of the California Vehicle Code.

Each vehicle used to place, maintain and remove components of a traffic control system on multilane highways shall be equipped with a Type II flashing arrow sign which shall be in operation when the vehicle is being used for placing, maintaining or removing the components. Vehicles equipped with Type II flashing arrow sign not involved in placing, maintaining or removing the components when operated within a stationary type lane closure shall only display the caution display mode. The sign shall be controllable by the operator of the vehicle while the vehicle is in motion. The flashing arrow sign shown on the plans shall not be used on the vehicles which are doing the placing, maintaining and removing of components of a traffic control system and shall be in place before a lane closure requiring the sign's use is completed.

If any component in the traffic control system is displaced, or ceases to operate or function as specified, from any cause, during the progress of the work, the Contractor shall immediately repair said component to its original condition or replace said component and shall restore the component to its original location.

When lane closures are made for work periods only, at the end of each work period, all components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, shall be removed from the traveled way and shoulder. If the Contractor so elects, said components may be stored at selected central locations, approved by the Engineer, within the limits of the highway right of way.

#### **PAYMENT**

Except as provided in these special provisions, full compensation for furnishing, maintaining and disposing of traffic control system for lane closures shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

# 1.22 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 4-1.04, "Certificates of Compliance," of the General Conditions for each type of traffic product supplied.

For those categories of materials included in the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included in the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to these special provisions.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

### PAVEMENT MARKERS, PERMANENT TYPE

### Retroreflective With Abrasion Resistant Surface (ARS)

- A. Apex, Model 921AR (100 mm x 100 mm)
- B. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm)
- C. Stimsonite, Models 911 (100 mm x 100 mm) and 953 (70 mm x 114 mm)
- D. 3M Series 290 (89 mm x 100 mm)

### Retroreflective With Abrasion Resistant Surface (ARS)

(Used for recessed applications)

- A. Stimsonite, Model 948 (58 mm x 119 mm)
- B. Ray-O-Lite, Model 2002 (58 mm x 117 mm)
- C. Stimsonite, Model 944SB (51 mm x 100 mm)
- Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)\*
   \*For use only in 114 mm wide (older) recessed slots

# Non-Reflective For Use With Epoxy Adhesive, 100 mm Round

- A. Apex Universal (Ceramic)
- B. Highway Ceramics, Inc. (Ceramic)

### Non-Reflective For Use With Bitumen Adhesive, 100 mm Round

- A. Alpine Products, "D-Dot" and "ANR" (ABS)
- B. Apex Universal (Ceramic)
- C. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
- D. Elgin Molded Plastics, "Empco-Lite" Model 900 (ABS)
- E. Highway Ceramics, Inc. (Ceramic)
- F. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
- G. Interstate Sales, "Diamond Back" (ABS) and (Polypropylene)
- H. Novabrite Models Adot-w (White) Adot-y (Yellow), (ABS)
- I. Road Creations, Model RCB4NR (Acrylic)
- J. Zumar Industries, "Titan TM40A" (ABS)

#### PAVEMENT MARKERS, TEMPORARY TYPE

### Temporary Markers For Long Term Day/Night Use (6 months or less)

- A. Apex Universal, Model 924 (100 mm x 100 mm)
- B. Elgin Molded Plastics, "Empco-Lite" Model 901 (100 mm x 100 mm)
- C. Road Creations, Model R41C (100 mm x 100 mm)
- D. Vega Molded Products "Temporary Road Marker" (75 mm x 100 mm)

### Temporary Markers For Short Term Day/Night Use (14 days or less)

(For seal coat or chip seal applications, clear protective covers are required)

- A. Apex Universal, Model 932
- B. Bunzl (formerly Davidson Plastics, Models) T.O.M., T.R.P.M., and "HH" (High Heat)
- C. Hi-Way Safety, Inc., Model 1280/1281

### STRIPING AND PAVEMENT MARKING MATERIAL

# Permanent Traffic Striping and Pavement Marking Tape

- A. Advanced Traffic Marking, Series 300 and 400
- B. Brite-Line, Series 1000
- C. Brite-Line, "DeltaLine XRP"
- D. Swarco Industries, "Director 35" (For transverse application only)
- E. Swarco Industries, "Director 60"
- F. 3M, "Stamark" Series 380 and 5730
- G. 3M, "Stamark" Series 420 (For transverse application only)

### Temporary (Removable) Striping and Pavement Marking Tape (6 months or less)

- A. Advanced Traffic Marking, Series 200
- B. Brite-Line, Series 100
- C. P.B. Laminations, Aztec, Grade 102
- D. Swarco Industries, "Director-2"
- E. Trelleborg Industri, R140 Series
- F. 3M, Series 620 and Series A750
- G. 3M, Series A145, Removable Black Line Mask (Black Tape: for use only on Asphalt Concrete Surfaces)

- H. Advanced Traffic Marking Black "Hide-A-Line"
  (Black Tape: for use only on Asphalt Concrete Surfaces)
- I. Brite-Line "BTR" Black Removable Tape

(Black Tape: for use only on Asphalt Concrete Surfaces)

J. Trelleborg Industri, RB-140

(Black Tape: for use only on Asphalt Concrete Surfaces)

### **Preformed Thermoplastic (Heated in place)**

- A. Flint Trading, "Premark" and "Premark 20/20 Flex"
- B. Avery Dennison, "Hotape"

#### Removable Traffic Paint

A. Belpro, Series 250/252 and No. 93 Remover

### Ceramic Surfacing Laminate, 150 mm x 150 mm

A. Safeline Industries/Highway Ceramics, Inc.

### **CLASS 1 DELINEATORS**

### One Piece Driveable Flexible Type, 1700 mm

- A. Carsonite, Curve-Flex CFRM-400
- B. Carsonite, Roadmarker CRM-375
- C. Bunzl (Formerly Davidson Plastics), "Flexi-Guide Models 400 and 566"
- D. FlexStake, Model 654 TM
- E. GreenLine Models HWD1-66 and CGD1-66
- F. J. Miller Industries, Model JMI-375 (with soil anchor)

# Special Use Flexible Type, 1700 mm

- A. Carsonite, "Survivor" (with 450 mm U-Channel base)
- B. FlexStake, Model 604
- C. GreenLine Models HWD and CGD (with 450 mm U-Channel base)
- D. Safe-Hit with 200 mm pavement anchor (SH248-GP1)
- E. Safe-Hit with 380 mm soil anchor (SH248-GP2) and with 450 mm soil anchor (SH248-GP3)

## Surface Mount Flexible Type, 1200 mm

- A. Bent Manufacturing Company, Masterflex Model MF-180EX-48
- B. Carsonite, "Super Duck II"
- C. FlexStake, Surface Mount, Models 704 and 754 TM

### **CHANNELIZERS**

### Surface Mount Type, 900 mm

- A. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)
- B. Carsonite, "Super Duck" (Flat SDF-436, Round SDR-336)
- C. Carsonite, "Super Duck II" Model SDCF203601MB "The Channelizer"
- D. Bunzl (Formerly Davidson Plastics), Flex-Guide Models FG300LD and FG300UR
- E. FlexStake, Surface Mount, Models 703 and 753 TM
- F. GreenLine, Model SMD-36
- G. Hi-Way Safety, Inc. "Channel Guide Channelizer" Model CGC36
- H. The Line Connection, "Dura-Post" Model DP36-3 (Permanent)
- I. The Line Connection, "Dura-Post" Model DP36-3C (Temporary)
- J. Repo, Models 300 and 400
- K. Safe-Hit, Guide Post, Model SH236SMA

### CONICAL DELINEATORS, 1070 mm (For 700 mm Traffic Cones)

- A. Bent Manufacturing Company "T-Top"
- B. Plastic Safety Systems "Navigator-42"

- C. Radiator Specialty Company "Enforcer"
- D. Roadmaker Company "Stacker"
- E. TrafFix Devices "Grabber"

### **OBJECT MARKERS**

# Type "K", 450 mm

- A. Carsonite, Model SMD 615
- B. FlexStake, Model 701 KM
- C. Repo, Models 300 and 400
- D. Safe-Hit. Model SH718SMA
- E. The Line Connection, Model DP21-4K

# Type "K-4" / "Q" Object Markers, 600 mm

- A. Bent Manufacturing "Masterflex" Model MF-360-24
- B. Carsonite, Super Duck II
- C. FlexStake, Model 701KM
- D. Repo, Models 300 and 400
- E. Safe-Hit, Models SH8 24SMA\_WA and SH8 24GP3\_WA
- F. The Line Connection, Model DP21-4Q

# TEMPORARY RAILING (TYPE K) REFLECTORS AND

### **CONCRETE BARRIER MARKERS**

#### **Impactable Type**

- A. ARTUK, "FB"
- B. Bunzl (Formerly Davidson Plastics), Model PCBM-12
- C. Duraflex Corp., "Flexx 2020" and "Electriflexx"
- D. Hi-Way Safety, Inc., Model GMKRM100

#### Non-Impactable Type

- A. ARTUK, JD Series
- B. Stimsonite, Model 967 (with 83 mm Acrylic cube corner reflector)
- C. Stimsonite, Model 967LS
- D. Vega Molded Products, Models GBM and JD

#### THRIE BEAM BARRIER MARKERS

(For use to the left of traffic)

- A. Duraflex Corp., "Railrider"
- B. Bunzl (Formerly Davidson Plastics), "Mini" (75 mm x 254 mm)

#### CONCRETE BARRIER DELINEATORS, 400 mm

(For use to the right of traffic. When mounted on top of barrier, place top of reflective element at 1200 mm)

- A. Bunzl (Formerly Davidson Plastics), Model PCBM T-16
- B. Safe-Hit, Model SH216RBM
- C. Sun-Lab Technology, "Safety Guide Light, Model TM," 130 mm x 130 mm x 80 mm

### CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)

A. Stinson Equipment Company "SaddleMarker"

#### SOUND WALL DELINEATOR

(Applied vertically. Place top of 75 mm x 300 mm reflective element at 1200 mm above roadway)

- A. Bunzl (Formerly Davidson Plastics), PCBM S-36
- B. Sun-Lab Technology, "Safety Guide Light, Model SM12," 130 mm x 130 mm x 80 mm

#### **GUARD RAILING DELINEATOR**

(Place top of reflective element at 1200 mm above plane of roadway)

### Wood Post Type, 686 mm

- A. Carsonite, Model 427
- B. Bunzl (Formerly Davidson Plastics), FG 427 and FG 527
- C. FlexStake, Model 102 GR
- D. GreenLine GRD 27
- E. J. Miller Model JMI-375G
- F. Safe-Hit, Model SH227GRD

#### **Steel Post Type**

A. Carsonite, Model CFGR-327 with CFGRBK300 Mounting Bracket

### RETROREFLECTIVE SHEETING

### Channelizers, Barrier Markers, and Delineators

- A. 3M, High Intensity
- B. Reflexite, PC-1000 Metalized Polycarbonate
- C. Reflexite, AC-1000 Acrylic
- D. Reflexite, AP-1000 Metalized Polyester
- E. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
- F. Avery Dennison T-6500 Series (Formerly Stimsonite, Series 6200) (For rigid substrate devices only)
- G. Nippon Carbide, Flexible Ultralite Grade (ULG) II

# Traffic Cones, 330 mm Sleeves

A. Reflexite SB (Polyester), Vinyl or "TR" (Semi-transparent)

### Traffic Cones, 100 mm and 150 mm Sleeves

- A. 3M Series 3840
- B. Reflexite Vinyl, "TR" (Semi-transparent) or "Conformalight"
- C. Nippon Carbide, Flexible Ultralite Grade (ULG) II

### **Barrels and Drums**

- A. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
- B. 3M Series 3810
- C. Nippon Carbide, Flexible Ultralite Grade (ULG) II
- D. Avery Dennison W-6100

### Barricades: Type I, Engineer Grade

- A. American Decal, Adcolite
- B. Avery Dennison, T-1500 and T-1600 series
- C. 3M, Engineer Grade, Series 3170

### Barricades: Type II, Super Engineer Grade

- A. Avery Dennison, T-2500 Series
- B. Kiwalite Type II
- C. Nikkalite 1800 Series

### Signs: Type II, Super Engineer Grade

- A. Avery Dennison, T-2500 Series
- B. Kiwalite, Type II
- C. Nikkalite 1800 Series

### Signs: Type III, High-Intensity Grade

- A. 3M Series 3800
- B. Nippon Carbide, Nikkalite Brand Ultralite Grade II

### Signs: Type IV, High-Intensity Prismatic Grade

A. Avery Dennison T-6500 (Formerly Stimsonite Series 6200)

### Signs: Type VII, High-Intensity Prismatic Grade

A. 3M Series 3900

### Signs: Type VI, Roll-Up Signs

- A. Reflexite, Vinyl (Orange)
- B. Reflexite "SuperBright" (Fluorescent orange)
- C. Reflexite "Marathon" (Fluorescent orange)
- D. 3M Series RS34 (Orange) and RS20 (Fluorescent orange)

### **SPECIALTY SIGN (All Plastic)**

A. All Sign Products, STOP Sign, 750 mm

#### SIGN SUBSTRATE FOR CONSTRUCTION AREA SIGNS

Aluminum

Fiberglass Reinforced Plastic (FRP)

- A. Sequentia, "Polyplate"
- B. Fiber-Brite

#### 1.23 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS

Only materials and products conforming to the requirements of the specifications shall be incorporated in the work. When metric materials and products are not available, and when approved by the Engineer, and at no cost to the State, materials and products in the inch-pound (imperial) system which are of equal quality and of the required properties and characteristics for the purpose intended, may be substituted for the equivalent metric materials and products, subject to the following requirements:

Materials and products shown on the plans or in the special provisions as being equivalent may be substituted for the metric materials and products specified or detailed on the plans.

Before other non-metric materials and products will be considered for use the Contractor shall furnish, at the Contractor's expense, evidence satisfactory to the Engineer that the materials and products proposed for use are equal to or better than the materials and products specified or detailed on the plans. The burden of proof as to the quality and suitability of substitutions shall be upon the Contractor and the Contractor shall furnish all information necessary as required to the Engineer. The Engineer will be the sole judge as to the quality and suitability of the substituted materials and products and the Engineer's decision shall be final.

When the Contractor elects to substitute non-metric materials and products, including materials and products shown on the plans or in the special provisions as being equivalent, a list of substitutions to be made shall be submitted for approval.

The following substitutions of materials and products will be allowed:

# SUBSTITUTION TABLE FOR SIZES OF HIGH STRENGTH STEEL FASTENERS, ASTM Designation: A 325M

METRIC SIZE SHOWN	IMPERIAL SIZE TO BE
ON THE PLANS	SUBSTITUTED
mm x thread pitch	inch
M16 x 2	5/8
M20 x 2.5	3/4
M22 x 2.5	7/8
M24 x 3	1
M27 x 3	1-1/8
M30 x 3.5	1-1/4
M36 x 4	1-1/2

SUBSTITUTION TABLE FOR REINFORCEMENT		
METRIC BAR	IMPERIAL BAR	
DESIGNATION	DESIGNATION	
NUMBER AS SHOWN	NUMBER TO BE	
ON THE PLANS	SUBSTITUTED	
10	3	
13	4	
16	5	
19	6	
22	7	
25	8	
29	9	
32	10	
36	11	
43	14	
57	18	

SUBSTITUTION TABLE FOR WELDED PLAIN WIRE REINFORCEMENT, ASTM DESIGNATION: A 185		
	US CUSTOMARY UNITS SIZE TO BE SUBSTITUTED inch <sup>2</sup> x 100	
MW9	W1.4	
MW10	W1.6	
MW13	W2.0	
MW15	W2.3	
MW19	W2.9	
MW20	W3.1	
MW22	W3.5	
MW25	W3.9, except W3.5 in	
	piles only	
MW26	W4.0	
MW30	W4.7	
MW32	W5.0	
MW35	W5.4	
MW40	W6.2	
MW45	W6.5	
MW50	W7.8	
MW55	W8.5, except W8.0 in	
	piles only	
MW60	W9.3	
MW70	W10.9, except W11.0 in	
	piles only	
MW80	W12.4	
MW90	W14.0	
MW100	W15.5	

The sizes in the following tables of materials and products are exact conversions of metric sizes of materials and products and are listed as acceptable equivalents:

CONVERSION TABLE FOR SIZES OF:
(1) STEEL FASTENERS FOR GENERAL
APPLICATIONS, ASTM Designation: A 307 or
AASHTO Designation: M 314, Grade 36 or 55, and
(2) HIGH STRENGTH STEEL FASTENERS,
ΔSTM Designation: Δ 325 or Δ 449

ASTM Designation: A 325 or A 449		
DIAMETER		
METRIC SIZE SHOWN	EQUIVALENT	
ON THE PLANS	IMPERIAL SIZE	
mm	inch	
6, or 6.35	1/4	
8 or 7.94	5/16	
10, or 9.52	3/8	
11, or 11.11	7/16	
13 or 12.70	1/2	
14, or 14.29	9/16	
16, or 15.88	5/8	
19,or 19.05	3/4	
22, or 22.22	7/8	
24, 25, or 25.40	1	
29, or 28.58	1-1/8	
32, or 31.75	1-1/4	
35, or 34.93	1-3/8	
38 or 38.10	1-1/2	
44, or 44.45	1-3/4	
51, or 50.80	2	
57, or 57.15	2-1/4	
64, or 63.50	2-1/2	
70 or 69.85	2-3/4	
76, or 76.20	3	
83, or 82.55	3-1/4	
89 or 88.90	3-1/2	
95, or 95.25	3-3/4	
102, or 101.60	4	

CONVERSION TABLE FOR NOMINAL				
THICKNESS OF SHEET METAL				
UNCOATED HOT AND HOT-DIPPED ZINC				
UNCOATED HOT AND COLD ROLLED SHEETS				
COLD ROLL	ED SHEETS		TED ANIZED)	
			ETS	
METRIC	EQUIVA-	METRIC	EQUIVA-	
THICK-	LENT US	THICK-	LENT	
NESS	STAND-	NESS	GALVAN-	
SHOWN	ARD	SHOWN	IZED	
ON THE	GAGE	ON THE	SHEET	
PLANS	GHGE	PLANS	GAGE	
mm		mm	inch	
	inch		-	
7.94	0.3125			
6.07	0.2391			
5.69	0.2242			
5.31	0.2092			
4.94	0.1943			
4.55	0.1793			
4.18	0.1644	4.270	0.1681	
3.80	0.1495	3.891	0.1532	
3.42	0.1345	3.510	0.1382	
3.04	0.1196	3.132	0.1233	
2.66	0.1046	2.753	0.1084	
2.28	0.0897	2.372	0.0934	
1.90	0.0747	1.994	0.0785	
1.71	0.0673	1.803	0.0710	
1.52	0.0598	1.613	0.0635	
1.37	0.0538	1.461	0.0575	
1.21	0.0478	1.311	0.0516	
1.06	0.0418	1.158	0.0456	
0.91	0.0359	1.006 or	0.0396	
		1.016		
0.84	0.0329	0.930	0.0366	
0.76	0.0299	0.853	0.0336	
0.68	0.0269	0.777	0.0306	
0.61	0.0239	0.701	0.0276	
0.53	0.0209	0.627	0.0247	
0.45	0.0179	0.551	0.0217	
0.42	0.0164	0.513	0.0202	
0.38	0.0149	0.475	0.0187	

CONVERSION TABLE FOR WIRE		
CONVERSION TREEF FOR WIRE		
METRIC	EQUIVALENT	
THICKNESS	USA STEEL	
SHOWN ON	WIRE	GAGE NO.
THE PLANS	THICKNESS	
mm	inch	
6.20	0.244	3
5.72	0.225	4
5.26	0.207	5
4.88	0.192	6
4.50	0.177	7
4.11	0.162	8
3.76	0.148	9
3.43	0.135	10
3.05	0.120	11
2.69	0.106	12
2.34	0.092	13
2.03	0.080	14
1.83	0.072	15
1.57	0.062	16
1.37	0.054	17
1.22	0.048	18
1.04	0.041	19
0.89	0.035	20

CONVERSION TABLE FOR COMMON NAILS				
METRIC ENGLISH				
NAIL SIZE	mm		inch	
	Length	Diameter	Length	Diameter
8d	63.5	3.33	2 1/2	0.131
10d	76.2	3.76	3	0.148
16d	88.9	4.11	3 1/2	0.162

CONVERSION TABLE FOR LUMBER		
METRIC NOMINAL	EQUIVALENT	
SURFACE DRY SIZE	NOMINAL SURFACE	
	DRY U S SIZE	
mm	inch	
51	2	
102	4	
152	6	
203	8	
254	10	
305	12	

CONVERSION TABLE FOR PLYWOOD		
	<u>,                                      </u>	
METRIC	ENGLISH	
mm	inch	
6.4	1/4	
7.9	5/16	
9.5	3/8	
11.1	7/16	
11.9	15/32	
12.7	1/2	
15.1	19/32	
15.9	5/8	
18.3	23/32	
19.1	3/4	
22.2	7/8	
25.4	1	
28.6	1 1/8	

CONVERSION TABLE FOR INSULATION		
R-VALUE		
METRIC	ENGLISH	
(K m <sup>2</sup> /W)	(HR FT <sup>2</sup> F/BTU)	
0.5	3	
0.7	4	
1.4	8	
1.9	11	
2.3	13	
2.5	14	
3.3	19	
5.3	30	

CONVERSION TABLE FOR VAPOR TRANSMISSION RATING		
METRIC ENGLISH		
(Perm-m)	(perm-inch)	
0.29	0.02	

CONVERSION TABLE FOR LOW PRESSURE		
METRIC	ENGLISH	
(Pa)	(Inches of Water Column)	
30	0.125	
60	0.25	
90	0.375	
120	0.50	
150	0.60	
155	0.625	
175	0.70	
185	0.75	
200	0.80	
250	1.00	
310	1.25	

CONVERSION TABLE FOR PRESSURE		
METRIC	ENGLISH	
(kPa)	(psi)	
10	1.5	
210	30	
280	40	
350	50	
690	100	
860	125	
1040	150	
1100	160	
1210	175	
1380	200	
1730	250	
2070	300	
2170	315	
2410	350	
2590	375	
2760	400	
4830	700	
5170	750	
5520	800	
13800	2000	
17200	2500	
20700	3000	
27600	4000	
34500	5000	
137900	20000	

CONVERSION TABLE FOR MIL THICKNESS	
METRIC	ENGLISH
(mm)	(inch/1000)
0.10	4
0.13	5
0.15	6
0.50	20
0.75 30	
1.00	40

CONVERSION TABLE FOR HVAC DUCTING.	
METRIC	ENGLISH
(mm)	(inch)
100	4
125	5
150	6
175	7
200	8
225	9
250	10
300	12
360	14
410	16
460	18
510	20
560	22
610	24
660	26
710	28
760	30

CONVERSION TABLE FOR MECHANICAL PIPING			
METRIC	METRIC	ENGLISH	
(GSP, PVC, BSP,	(mm)	(inch)	
DUCTILE IRON)			
NPS 1/2	15	1/2	
NPS 3/4	20	3/4	
NPS 1	25	1	
NPS 1 1/4	32	1 1/4	
NPS 1 1/2	40	1 1/2	
NPS 2	50	2	
NPS 2 1/2	65	2 1/2	
NPS 3	75	3	
NPS 4	100	4	
NPS 6	150	6	

CONVERSION TABLE FOR LUBRICATION PIPING TUBING WALL THICKNESS		
METRIC ENGLISH		
(mm) (inch)		
2.1 0.083		
0.9 0.035		

CONVERSION TABLE FOR HOSE/TUBING SIZES O. D.	
METRIC	ENGLISH
(mm)	(inch)
6	1/4
10	3/8
13	1/2
16	5/8
19	3/4
22	7/8
25	1

CONVERSION TABLE FOR DRUM SIZES			
MET	METRIC ENGLISH		
L	kg	gallons	pounds
205	180	55	400
60	55	16	120
19	16	5	35

CONVERSION TABLE FOR POWER		
CONVERGION TIBBETON TO WEN		
METRIC	ENGLISH	
(kW)	(HP)	
0.037	1/20	
0.075	1/10	
0.18	1/4	
0.25	1/3	
0.37	1/2	
0.55	3/4	
0.75	1	
1.1	1 1/2	
1.5	2	
2.2	3	
3.7	5	
5.5	7 1/2	
7.5	10	
11	15	
15	20	
18.5	25	
22	30	
30	40	
37	50	
45	60	
55	75	
75	100	
90	120	
110	150	

CONVERSION TABLE FOR IMPELLER BALANCE		
SYNCHRONOUS	METRIC	ENGLISH
RPM	(g mm/kg)	(ounce-
		inch/pound)
720	94	0.059
900	73	0.046
1200	54	0.034
1800	41	0.026
3600	17	0.011

CONVERSION TABLE FOR ELECTRICAL CONDUIT		
METRIC SIZE SHOWN	EQUIVALENT	
ON THE PLANS	IMPERIAL SIZE	
mm	inch	
16	1/2	
21	3/4	
27	1	
35	1 1/4	
41 1 1/2		
53	2	
103	4	

### **DIVISION 2. SITEWORK**

#### 2.01 REMOVING PORTIONS OF EXISTING FACILITIES

#### PART 1.- GENERAL

**Scope.**—This work shall consist of removing portions of the existing facilities, including removal of existing work to gain access to or for new work, in accordance with the details shown on the plans and these special provisions.

### PART 2.- PRODUCTS (Not applicable)

#### **PART 3.- EXECUTION**

#### PREPARATION .--

**General.-**The limits of removal shall be located and identified. Items to be removed and the interface of items to be removed and items to remain intact shall be identified and marked.

Prior to removing concrete, a saw cut approximately 25 mm deep shall be made along the limits of removal on all faces that will be visible in the completed work.

**Pumping.--**Septic tank and distribution box to be removed at the Ojai Maintenance Station shall be pumped out and the sewage and sediment removed from such facilities shall be disposed of away from the premises. Disposal shall conform to the laws, rules and regulations of the agency having jurisdiction of the disposal site.

### **REMOVAL.--**

**General.**—Removal shall be to the limits shown on the plans. Removal shall be done carefully to minimize damage to the portions to remain. Remaining portions that are damaged by the Contractor's operation shall be restored to original condition at the Contractor's expense.

Assemblies to be salvaged which require dismantling for removal shall be matchmarked before dismantling.

Piping and conduits to be abandoned shall be capped or plugged with concrete not less than 150 mm thick.

### DISPOSAL .--

**General.--**Materials that are to be removed, shall become the property of the Contractor and shall be disposed of away from the premises. Such disposal shall conform to the laws, rules, and regulations of all agencies having jurisdiction at the disposal site.

#### SALVAGE.--

**General.**--Materials or equipment shown on the plans to be salvaged shall remain the property of the State and shall be removed, cleaned and stockpiled at a location at the project site designated by the Engineer.

# 2.02 EARTHWORK FOR BUILDING WORK

### **PART 1.- GENERAL**

#### SUMMARY.--

**Scope.**—This work shall consist of performing earthwork for building work in accordance with the details shown on the plans and these special provisions.

Earthwork for building work shall consist of structure excavation and structure backfill. Structure excavation shall include excavation for footings, foundations, slabs, clarifiers, and trenches. Structure backfill shall include backfilling under slabs; backfilling under and around footings; backfilling for pipes and conduits; backfilling holes resulting from removal of

existing facilities. In addition to structure excavation and structure backfill, earthwork for building work shall include any other earthwork, not mentioned, but necessary to complete the building work.

Attention is directed to the requirements of "Field Engineering" in Division 1, "General Requirements," of these special provisions.

#### **QUALITY ASSURANCE.--**

**Samples.-**-Samples of sand, pea gravel, or crushed stone, weighing not less than 11 kg, shall be submitted to the Engineer at the jobsite for approval.

#### SITE CONDITIONS.--

**Existing underground piping and conduit.-**-The location of existing underground piping and conduit is based on the best records available. Before beginning work, the Contractor shall accurately locate the piping and conduit involved in the work. If the location of the existing piping or conduit deviates from the location shown on the plans by more than 1.5 meters, or, if no elevations are indicated and the piping or conduit is more than 0.9 meter below grade, the cost of the additional excavation, backfill, piping or conduit, and removal and replacement of concrete, if any, will be paid for as an ordered change in accordance with the requirements specified in Section 3, "Changes in the Work," of the General Conditions.

**Existing surfaced or planted areas.**—Existing surfaced or planted areas that are removed, broken or damaged by the Contractor's operations shall be restored to their original condition except as otherwise shown on the plans or specified herein

Restoration materials shall be equal to or better than the original materials. Surfacing shall be replaced to match the material thickness, grades, and finish of the adjacent surrounding surfaces.

#### **PART 2.- PRODUCTS**

### **BACKFILL MATERIALS.--**

#### Structure backfill.--

Structure and trench backfill shall be free of organic and other deleterious material and shall be suitable for the required compaction. Gravel without sand matrix shall not be used except as free draining granular material beneath slabs and footings.

# Sand.--

Sand shall be clean, washed sand, free from clay or organic material graded such that 100 percent passes the 6 mm sieve, 90 percent to 100 percent passes the 4.75 mm sieve and not more than 5 percent passes the 75 µm sieve size.

### Pea gravel (naturally rounded).--

Pea gravel (naturally rounded) shall be clean, washed, dry density of not less than 1522 kg/m<sup>3</sup>, free from clay or organic material and shall conform to the following grading as determined by California Test 202:

Sieve or Screen Size	Percentage Passing
19 mm	100
13 mm	90-100
9.5 mm	40-70
4.75 mm	0-15
2.36 mm	0-3

Pea gravel shall conform to the following requirements:

Test	California Test No.	Test Requirement s
Durability Index	229	35 Min.

#### Crushed stone .--

Crushed stone shall be clean, washed, dry density of not less than 1522 kg/m<sup>3</sup>, crushed stone or crushed gravel with an angular particle size not less than 3 mm or more than 13 mm.

Sieve or Screen Size	Percentage Passing
13 mm	100
9.5 mm	85-100
4.75 mm	10-30
2.36 mm	0-3

Crushed stone shall conform to the following requirements:

Test	California Test No.	Test Requirement s
Durability Index	229	35 Min.

### **PART 3.- EXECUTION**

### PREPARATION & RESTORATION.--

**Sawcutting.**—Prior to excavation or trenching, existing surfacing shall be removed to saw cut lines, or to existing wood dividers or expansion joints, if any. The saw cut shall be to a neat line and have a depth not less than 25 mm.

**Restoration.**-Surfacing shall be replaced to match the thickness, grades and finish of the adjacent surrounding surfaces.

### STRUCTURE EXCAVATION.--

**General.**—Unless otherwise noted, all excavation for building work shall be classified as structure excavation.

Footing excavation.--The bottom of excavations shall not be disturbed. The Contractor shall excavate by hand to the final grade. The bottom of concrete footings shall be poured against undisturbed material. Unless otherwise noted, compaction of the bottom of footing excavation is not required unless the material is disturbed. The footing depths shown on the plans shall be changed to suit field conditions when directed by the Engineer. Solid rock at or near required depths shall not be disturbed. Unsuitable material shall be excavated down to firm bearing as directed by the Engineer. Work and materials required because of excavation in excess of the depths shown on the plans, when such excavation has been ordered by the Engineer, will be paid for as an ordered change in accordance with the requirements in Section 3, "Changes in the Work," of the General Conditions.

Excavate to the elevations and dimensions within a tolerance of  $\pm 12$  mm. Limits of the excavation shall allow for adequate working space for installing materials and as required for safety of personnel. Such working space excavation shall be replaced in kind and compacted at the Contractor's expense.

Overdepth excavation for footings shall be backfilled with concrete or such other material recommended by the Contractor and approved by the Engineer. Relative compaction shall be not less than 95 percent.

**Excavation for pipes and conduits.-**-Pipes or conduits in the same trench shall have a minimum clear distance between pipes or conduits of 150 mm. Pipes or conduits shall have not less than 0.75 meter of cover from top of pipes or conduits to finished grade unless otherwise shown on the plans or specified.

Trenching shall be of sufficient depth to permit placing a minimum depth of 100 mm of compacted sand under all pipes and conduits.

**Dewatering.--**Excavations shall be kept clear of standing water. Water shall be removed by pumping if necessary. Water removed from excavation shall be carried away from the building site and disposed of in a manner that will not harm State or adjacent property.

### STRUCTURE BACKFILLING.--

**General.-**-Unless otherwise noted, all backfill for building work shall be classified as structure backfill. Backfill shall be placed and compacted in horizontal layers, not more than 150 mm thick prior to compaction, and to the lines and grades shown on the plans or to original ground.

**Structure backfill.-**-After structures are in place and forms are removed, wood and other debris shall be removed from excavations before placing structure backfill.

**Backfilling pipes and conduits.**—Backfill placed under pipe and conduits shall be compacted sand, 100 mm minimum depth. Backfill material placed to a level 150 mm above tops of pipes and conduits shall be sand or fine earth and particles shall not exceed 13 mm in greatest dimension. For wrapped, coated, or plastic pipe or conduits, sand shall be used for backfill. Backfill material placed higher than 150 mm above tops of pipes or conduits shall consist of material free of stones or lumps exceeding 100 mm in greatest dimension except:

- (a) The top 300 mm of backfill under roads, walks or paving shall consist of aggregate base material.
- (b) The top 150 mm of backfill in planted areas shall consist of topsoil.

Where shown on the plans, conduit shall be encased in minor concrete.

#### COMPACTION .--

**General.**—Relative compaction shall be determined in accordance with California Test 216 or 231. Unless otherwise noted below, all backfill shall be compacted to a minimum relative compaction of 90 percent. Unless approved in writing by the Engineer, compaction by jetting or ponding will not be permitted.

**Compact original ground.-**-Original ground surface under concrete slabs and asphalt concrete shall be compacted to a relative compaction of not less than 95 percent for a minimum depth of 150 mm.

Structure backfill.--Structure backfill shall be compacted to not less than 95 percent relative compaction.

**Trench backfill.-**-Trench backfill placed beneath slabs or paved areas shall be compacted to a relative compaction of not less than 95 percent.

### DISPOSAL.--

Surplus material.--Surplus material from the excavation shall be disposed of away from the premises.

### FIELD QUALITY CONTROL .--

**Inspection.-**-When the excavation is substantially completed to grade, the Contractor shall notify the Engineer. No concrete shall be placed until the foundation has been approved by the Engineer.

**Testing.**—The State will conduct compaction tests during the backfilling and compacting operations.

### 2.03 AGGREGATE BASE

### **PART 1.-GENERAL**

### **SUMMARY.--**

**Scope.**—This work shall consist of furnishing, spreading and compacting aggregate base in accordance with the details shown on the plans and these special provisions.

### **PART 2.-PRODUCTS**

### Aggregate base.--

Aggregate base shall be commercial quality aggregates consisting of broken stone; crushed gravel; natural, clean, rough-surfaced gravel and sand; or a combination thereof.

Aggregate base shall conform to the following grading as determined by California Test 202:

Sieve or Screen Size	Percentage Passing
25 mm	100
19 mm	90 - 100
4.75 mm	35 - 60
600 μm	10 - 30
75 μm	2 - 9

Aggregate base shall also conform to the following quality requirements:

Tests	California Test No.	Test Requirement s
Durability Index	229	35 Min.
Resistance (R-Value)	301	78 Min.
Sand Equivalent	217	22 Min.

### **PART 3.-EXECUTION**

### SPREADING AND COMPACTING.--

**Spreading.**--Aggregate base shall be placed and compacted to the lines and grades shown on the plans.

Spreading and compacting shall be performed by methods that will produce a uniform base, free from pockets of coarse or fine material.

**Compaction.**—Relative compaction of each layer of compacted base material shall be not less than 95 percent, as determined by California Test 216 or 231.

### 2.04 FREE DRAINING GRANULAR MATERIAL

### **PART 1.- GENERAL**

#### **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and placing free draining granular material beneath slabs in accordance with the details shown on the plans and these special provisions.

### **PART 2.- PRODUCTS**

#### Free draining granular material.--

Free draining granular material shall be clean, hard, durable, free-draining rock. The material gradation shall be such that all passes the 25 mm screen, and not more than 10 percent passes the 4.75 mm sieve as determined by California Test 202. Granular material shall be free from organic material, clay balls or other deleterious substances.

#### PART 3.- EXECUTION.--

#### SPREADING AND CONSOLIDATING .--

General.—Free draining granular material shall be placed, spread and consolidated by tamping or vibrating.

### 2.05 ASPHALT CONCRETE

### **PART 1.- GENERAL**

#### **SUMMARY.--**

**Scope.**—This work shall consist of furnishing, spreading, placing and compacting asphalt concrete for asphalt concrete surfacing, applying asphaltic emulsion paint binder and fog seal coat, in accordance with the details shown on the plans and these special provisions.

Asphalt concrete shall be commercial quality, produced at a central mixing plant, 13 mm maximum, medium grade, as specified herein.

Areas to be surfaced with asphalt concrete shall be as shown on the plans. Areas to be surfaced with asphalt concrete shall include those locations where existing bituminous surfacing has been removed to facilitate the required work.

### QUALITY ASSURANCE.--

**Certificates of Compliance.**—Certificates of Compliance shall be furnished for asphalt concrete and asphaltic emulsion in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions.

## **PART 2.- PRODUCTS**

#### **MATERIALS.--**

### Asphalts.--

Asphalt binder for asphalt concrete shall be steam-refined paving asphalt Grade AR-4000 (asphalt graded by viscosity), conforming to the requirements in AASHTO Designation: M 226.

Asphalt emulsion for paint binder and fog seal coat shall be asphaltic emulsion Grade SS1h conforming to the requirements in AASHTO Designation: M 140.

### Aggregates .--

Aggregate for asphalt concrete shall be commercial quality asphalt concrete aggregate, and shall have a Sand Equivalent value of 30 minimum, when determined by California Test 217.

The combined aggregate gradings for the respective asphalt concrete mixture shall conform to the following gradations when determined by California Test 202:

13 mm Maximum Grading	
Sieve Sizes	Percentage Passing
19 mm	100
13 mm	95 - 100
4.75 mm	55 - 72
600 μm	18 - 33
75 μm	4 - 8

#### **MIXING.--**

**General.-**-Asphalt binder to be mixed with the aggregate shall be between 5 percent and 8 percent by weight of the dry aggregate as determined by the Engineer.

#### **PART 3.- EXECUTION**

#### PREPARATION .--

**Subgrade.-**-Immediately prior to placing asphalt concrete thereon, the surface of the grading plane shall not vary more than 0.015 meter above or below the grade established by the Engineer.

At the time of placing the asphalt concrete material thereon, the moisture content of the subgrade shall not be more than 3 percent above or below the optimum moisture content of the subgrade material as determined by California Test 216.

**Paint binder.**—Paint binder shall be furnished and applied to all existing surfacing upon which asphalt concrete is to be placed, vertical surfaces against which additional asphalt concrete material is to be placed and other surfaces designated by the Engineer.

#### SPREADING AND COMPACTING.--

**Spreading.-**-The mixture shall be spread at a temperature of not less than 121°C. Spreading shall be performed by methods that will produce an asphalt concrete surfacing of uniform smoothness and texture. Asphalt concrete shall be placed only when the atmospheric temperature is above 10°C.

Asphalt concrete for surfacing more than 1.5 meters in width shall be spread in one operation with an approved spreader and be ready for compaction without further shaping.

**Compacting.**—Asphalt concrete shall be placed in one or more layers of 0.04 meter or less in compacted thickness to match the thickness as shown on the plans. The first coverage of initial or breakdown compaction shall be performed when the temperature of the mixture is not less than 121°C, and all breakdown compaction shall be completed before the temperature of the mixture drops below 93°C.

Asphalt concrete shall be thoroughly compacted with a self-propelled tandem roller, weighing not less than 7260 kg. At locations where asphalt concrete is to be placed and which are inaccessible to rolling equipment, compaction shall be obtained by hand rollers, vibrating plates, impactors or other methods approved by the Engineer.

### 2.06 WASH WATER SYSTEM

### **PART 1.- GENERAL**

### **SUMMARY.--**

**Scope.**--This work shall consist of furnishing, installing and constructing a wash water system and modifying portions of existing water systems in accordance with the details shown on the plans and these special provisions.

Wash water system shall include other fittings and appurtenances, not mentioned, which are required for the complete installation and proper operation of the system.

**Order of work.**--Work which will curtail the use of the existing sewage system shall not be done until the facilities utilizing the system are closed and are no longer required.

#### SUBMITTALS.--

**Product data.-**-Materials list for materials to be used shall be submitted for approval and shall include the name of the manufacturer and the source, model number, description, and standard of manufacture.

Manufacturer's descriptive data and catalog cuts to be submitted for approval are as follows:

Adapter coupling

Assist access cover

Bituminous coatings

Clarifier tank (with sampling tank)

Cleanout to grade

Coatings

Hose faucet

Manhole

Manhole frame and cover

Meter box and cover

Sewer pipe

Drain pipe

Vent pipe

Force main pipe

Pipe wrapping and primer

Underground tracer tape

Valve box and covers

Water hose

Water nozzle

Frame and grates

### **QUALITY ASSURANCE.--**

Codes and standards.--Work shall conform to the applicable portions of the current approved Uniform Plumbing Code as amended by the applicable portions of current approved Title 24 California Building Standards Code, pertaining to the selection and installation of wash water system materials and products.

**Certificates of Compliance.**—Certificates of Compliance shall be furnished for manhole covers and frames in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions.

#### **PART 2.- PRODUCTS**

### **IDENTIFICATION.--**

### Underground tracer tape.--

Underground tracer tape shall be detectable, permanent, bright colored, continuous printed plastic tape with copper wire or aluminum foil intended for direct burial service; not less than 50 mm wide x 0.1 mm thick; lettering shall read "CAUTION SEWER/WATER BURIED BELOW."

#### PIPES AND PIPE FITTINGS .--

**General.-**-Provide pipes of one of the following materials, of weight and class indicated. Provide pipe fittings and accessories of same material and weight and class as pipes, with joining method as indicated.

#### Sewer pipe and fittings.--

Sewer pipe and fittings shall be polyvinyl chloride (PVC) gravity sewer plastic pipe and fittings conforming to ASTM Designation: D 3034, Standard Dimension Ratio (SDR) 35, with integral bell and bell and spigot rubber gasketed joints or conforming to ASTM Designation: D2665 with solvent welded fittings. Rubber gaskets shall conform to ASTM Designation: F 477. Stainless steel clamps with rubber boots shall not be used.

#### Drain pipe and fittings.--

Drain pipe and fittings shall be polyvinyl chloride (PVC) gravity sewer plastic pipe and fittings conforming to ASTM Designation: D 3034, Standard Dimension Ratio (SDR) 35, with integral bell and bell and spigot rubber gasketed joints or conforming to ASTM Designation: D2665 with solvent welded fittings. Rubber gaskets shall conform to ASTM Designation: F 477. Stainless steel clamps with rubber boots shall not be used.

#### Vent pipe and fittings.--

Vent pipe underground shall be plain end schedule 40 polyvinyl chloride (PVC) pipe with solvent welded fittings ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 1380 kPa.

Vent pipe risers above ground and below ground shall be Schedule 40 galvanized steel pipe conforming to ASTM Designation: A 53, with 1040 kPa galvanized malleable iron banded screwed fittings and galvanized steel couplings. The weight of the zinc coating shall be not less than 90 percent of that specified in ASTM Designation: A 53.

### Force main pipe and fittings .--

Force main pipe and fittings shall be polyvinyl chloride (PVC) plastic pipe, Schedule 40, conforming to ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 1380 kPa. Connections shall be threaded and/or slip pipe as shown on the plans.

### Pressure washer pipe and fittings .--

Pressure washer pipe and fittings shall be as recommended by the high pressure washer manufacturer.

### Sewer pipe adapters.--

Sewer pipe adapters for PVC to cast iron soil pipe or clay piping shall be appropriately sized PVC flexible coupling manufactured for connecting dissimilar pipes. Adapters shall be attached to piping with adjustable stainless steel band clamps with hex tightening screws. Rubber boots will not be allowed. Sewer pipe adapter shall be Indiana Seal; Fernco; or equal.

#### Union.--

Unions (for steel pipe) shall be 1730 kPa, threaded malleable iron, ground joint, brass to iron seat, galvanized or black to match piping.

### **CONCRETE TANKS.--**

#### Clarifier tank (with sampling box).--

Clarifier tank (with sampling box) shall be a precast reinforced concrete tank of the size shown on the plans. All joints shall be at the top of the tank above the normal operating water level. Sampling box shall be the depth shown on the plans and supplied by the clarifier tank manufacturer. The clarifier tank shall be listed and approved by the International Association of Plumbing and Mechanical Officials (IAPMO) and tank shall be marked accordingly.

#### MANHOLES AND COVERS .--

#### Manhole .--

Manhole sections and cones and grade rings shall be precast, reinforced concrete, conforming to ASTM Designation: C 478M or precast reinforced concrete pipe conforming to ASTM Designation: C 76.

#### Manhole frame and cover .--

Manhole frame and cover shall be gray cast iron conforming to ASTM Designation: A 48, Class 30B or greater (traffic type). Cover shall be no bolt, watertight, closed pick hole and shall be blank. The bearing surfaces of frames and covers shall be machined, and the cover shall seat firmly into the frame without rocking or sliding. The side or bottom of the cover shall be machined grooved for an integral O-ring gasket. The frame seat for the bottom O-ring gasket shall be a minimum of 22 mm in width. The machine groove may be omitted and a flat gasket may be used, provided that the gasket is bonded to the frame seat with contact cement.

#### Traffic frame and cover .--

Traffic frame and cover shall be square, heavy duty type manhole with bottom flanged frame and solid cover. Frame and cover shall be asphalt coated, ductile iron castings conforming to ASTM Designation: A 536, Grade 60-40-18. Cover shall have lifting handles and 2 corrosion resistant, countersunk bolts located in opposite sides of the cover.

#### Rectangular manhole cast iron cover .--

Rectangular manhole cast iron cover and shall be gray cast iron conforming to ASTM Designation: A 48, Class 30B or greater (traffic type). Cover shall be no bolt, gas tight, closed pick hole and shall be factory marked "SS," "SEWER," or "SANITARY SEWER." Rectangular manhole covers shall conform to the dimensions as shown on the plans. The bearing surfaces of frames and covers shall be machined, and the cover shall seat firmly into the frame without rocking or sliding. Gray iron castings shall be true to pattern in form, dimensions and thickness; shall be free of surface defects; and shall be free from visible, x-ray, and machine operation defects which would affect the service value of the castings. Castings shall be matched sets in appearance and sized as shown on the plans.

### METER AND VALVE BOX.--

#### Meter box.--

Meter box shall be precast concrete meter box and cast iron cover with no holes. Cover shall be traffic rated, factory marked "SEWER," "SS," or "SANITARY SEWER." Meter box shall be Bes Concrete Products, Christy Concrete Products, Cook Concrete Products, or equal with extensions as required by plan dimension. Nominal inside dimensions shall be as shown on the plans.

#### Valve box.--

Valve box shall be precast concrete meter box and cast iron cover with no holes. Cover shall be traffic rated, factory marked "SEWER," "SS," or "SANITARY SEWER," or "WATER," as required. Valve box shall be Cook Concrete Products, Christy Concrete Products, Bes Concrete products, or equal with extensions as required. Nominal inside dimension shall be as shown on the plans.

## Assist access cover (traffic rated).--

The assist access cover shall be H-20 rated, single leaf, hinged with heavy duty pneumatic and/or spring assist for end user lifting force of 11 kg.

The cover and frame shall be constructed of aluminum.

Material shall be 6061-T6 aluminum for bars, angle and extrusions. A minimum 6 mm diamond plate shall be 5086 aluminum.

The cover shall be equipped with an aluminum hold open arm. Door shall lock open in the 90 degree position. The hold open arm shall be fastened to the frame with a 13 mm grade 316 stainless steel bolt.

Hinges shall be heavy duty design. Material shall be a brass alloy with a 448 MPa tensile strength. Each hinge shall have a 10 mm grade 316 stainless steel pin. Hinges shall be bolted to the angle frame and diamond plate with 316 stainless steel bolts and Ny-Lock nuts.

Aluminum shall be mill finish. Exterior of frame shall have a minimum of one coat of bituminous paint.

Each cover shall be supplied with a stainless steel Lev-L-Lock. The Lev-L-Lock shall be fastened with grade 316 stainless steel bolts and washers.

Each cover shall be equipped with a stainless steel lift handle. Lift handles shall be flush with top of diamond plate.

The number of leaves and the cover and frame size shall be as shown on plans.

#### Assist access cover (non traffic rated).--

The assist access cover (non traffic rated) shall be rated for 14.4 kPa, watertight, double leaf, hinged with heavy duty spring assist. The cover shall be equipped with an aluminum hold open arm. Covers shall lock open in the 90 degree position. All hardware shall be stainless steel. Hinges shall be heavy duty, tamper resistant design.

The cover and frame shall be constructed of aluminum. A minimum 6 mm diamond plate shall be used for covers. Aluminum shall be mill finish. Exterior of frame shall have a minimum of one coat of bituminous paint.

Each cover shall be supplied with a stainless steel Lev-L-Lock. The Lev-L-Lock shall be fastened with grade 316 stainless steel bolts and washers.

Each cover shall be equipped with a stainless steel lift handle. Lift handles shall be flush with top of diamond plate. A neoprene gasket shall be installed around exterior at cover seat. Covers shall be supplied with locking mechanism.

Assist access covers shown on the plans as 610 mm by 610 mm opening size or smaller may be single leaf cover.

#### VALVES.--

### Ball valve.--

Ball valve shall be two piece, minimum 2760 kPa WOG, bronze body and chrome plated or brass ball with full size port. Valve shall be Nibco Scott, T-580; Watts, B-6000; Kitz, 56; or equal.

### **CLEANOUTS.--**

#### Cleanout to grade.--

Cleanout piping shall terminate with an appropriately sized flexible PVC access cap and stainless steel band coupler with hex tightening screw. Rubber coupling or cap will not be allowed. Access cap shall be Indiana Seal; Fernco; or equal.

### FAUCETS.--

#### Hose faucet .--

Hose faucet shall be compression type, angle pattern, wall flange at exterior locations, tee handle, NPS 3/4 female thread with hose end, rough chrome or nickel plated finish for locations inside building, rough brass finish for

others. Hose faucet shall be supplied with an integral or nonremovable threaded outlet vacuum breaker which meets the requirements of the American Society of Sanitary Engineering (ASSE) Standard: 1011. Hose faucet shall be Nibco, No. 63VB; Chicago, No. 13T; or equal.

#### **COATINGS.--**

#### Bituminous coating .--

Bituminous coating shall conform to ASTM Designation: D 41.

#### MISCELLANEOUS MATERIALS.--

#### Frame and Grates .--

Frame and grate shall be traffic rated. Grate shall be constructed of fiberglass roving reinforced thermoset plastic produced in a one-piece mold. Color shall be green or light gray and come with an anti-slip surface. Size of grate will be dependent on manufacturer, but shall not exceed 0.30 x 1.22 meters. Grate shall be CSI, Strongwell or equal.

Frame shall be galvanized steel angle with 12.7 mm x 150 mm (minimum) headed steel anchors at 900 mm on center. Headed stud anchors shall be a maximum of 150 mm from ends. Frame shall be equal length and shall be sized so that grate sits flush in frame.

#### Cement mortar.--

Cement mortar shall consist of one part cement to 2 to 3 parts clean plaster or concrete sand mixed with just enough water for suitable consistency.

### Epoxy mortar .--

Epoxy mortar shall be commercial quality, low viscosity paste polysulfide extended epoxy formulated primarily for use in bonding new portland cement concrete to old portland cement concrete.

### Sealant .--

Sealant for precast concrete tank shall be closed cell expanded neoprene conforming to ASTM Designation: D 1056, Grade RE 41.

### Pipe wrapping tape and primer .--

Pipe wrapping tape shall be pressure sensitive polyvinyl chloride or pressure sensitive polyethylene tape having nominal thickness of 0.50 mm. Wrapping tape shall be Polyken, 922; Manville, Trantex VID-20; Scotchrap, 51; or equal.

Pipe wrapping primer shall be compatible with the pipe wrapping tape used.

### Water hose .--

Water hose shall be 18 mm diameter x 30 m length commercial duty rubber hose, industrial 2-layer tire cord reinforcing, resistant to oil, chemicals, abrasion, and weather, with heavy duty brass couplings and octagon head for wrench or hand use.

#### Water nozzle.--

Water nozzle shall be straight nozzle, solid brass with barrel that moves freely from full open to full closed. Barrel shall be removable for use as full flow plain hose nozzle.

#### **PART 3.- EXECUTION**

### INSTALLATION OF PIPE IDENTIFICATION.--

**General.-**-Continuous underground tracer tape shall be installed directly above all buried pipes and 150 mm to 200 mm below finished grade during backfilling operations. Appropriate tape shall be used for drain, sewer and water pipes.

### INSTALLATION OF PIPE AND FITTINGS.--

**General.-**-Pipe shall be installed upgrade unless otherwise permitted by the Engineer. Pipe slopes shall be as shown on the plans. Galvanized steel pipe for above ground air vents shall be installed vertical terminating at grades and mounted in accordance with the details shown on the plans and these special provisions.

**Pipe and fittings.--**Pipe and fittings shall be installed in accordance with the plans and specifications. Lines between manholes shall be flushed as necessary to remove collected material.

Cleaning and closing pipe.—The interior of all pipe shall be cleaned before installation. All openings shall be capped or plugged as soon as the pipe is installed to prevent the entrance of any materials. The caps or plugs shall remain in place until their removal is necessary for completion of the installation.

Flushing completed systems.--All completed systems shall be flushed and blown out.

**Chlorination.-**-All potable source water piping and facilities shall be flushed and chlorinated by disinfecting solutions as specified in the current edition of the California Plumbing Code.

The annular gap between the pipe and sleeve shall be filled with silicone sealant.

**Securing pipe.--**Pipe in the buildings shall be held in place by pipe hangers or construction channel. Material shall be compatible with the piping or neoprene isolators shall be used. Allowances shall be made for expansion and contraction. Steel pipe shall have hangers or supports every 3 m. Plastic pipe shall have hangers or supports every 1.5 m. Vertical pipes shall be supported with clamps or straps. Horizontal and vertical piping shall be securely supported and braced to prevent swaying, sagging or flexing of joints.

Wrapping and coating steel pipe.—Steel pipe buried in the ground shall be wrapped as specified herein:

- 1. Wrapped steel pipe shall be thoroughly cleaned and primed as recommended by the tape manufacturer.
- 2. Tapes shall be tightly applied with 1/2 uniform lap, free from wrinkles and voids with approved wrapping machines and experienced operators to provide not less than 1.00 mm thickness.
- 3. Field joints, fittings and valves for wrapped steel pipe shall be covered to provide continuous protection by puttying and double wrapping with 0.50 mm thick tape. Wrapping at joints shall extend a minimum of 150 mm over the adjacent pipe covering. Width of tape for wrapping fittings shall not exceed 50 mm. Adequate tension shall be applied so tape will conform closely to contours of fittings. Putty tape insulation compounds approved by the Engineer shall be used to fill voids and provide a smooth even surface for the application of the tape wrap.

**Sewers near water pipes.**--Sewers near water pipe shall be installed below, (with vertical separation of not less than 305 mm), water pipe in the same trench, in parallel trenches less than 3 m apart, or at any crossing as specified in the current edition of the California Plumbing Code.

When water pipes cross above a sewer pipe, a vertical separation of not less than 305 mm shall be maintained between the top of the sewer pipe and the bottom of the water pipe.

When water pipes cross under a sewer pipe, a vertical separation of not less than 455 mm shall be maintained between the top of the water pipe and the bottom of the sewer pipe. No sewer pipe joint shall be within 1.5 m of the water pipe.

**Joint adapters.-**-Joints between different types of pipes shall be made only with approved standard manufactured adapters and fittings intended for that purpose as specified in these special provisions. Adapter couplings requiring polyethylene encasement shall be as shown on the plans and these special provisions.

**Interior inspection.--**Interiors of pipes shall be inspected to determine displacement or damage during installation or backfilling.

Damaged pipe shall be replaced.

Misaligned pipe shall be corrected prior to use.

#### INSTALLATION OF CONCRETE TANKS.--

**General.--**Manufactured precast clarifier tank and manhole frames and covers, traffic frames and covers, traffic frames and grates, and other appurtenances shall be installed in accordance with the manufacturer's recommendations and the approved shop drawings.

### INSTALLATION OF MISCELLANEOUS ITEMS.--

**Installing pipe supports.--** Vent drain galvanized steel pipe supports shall be attached to existing equipment building walls with pipe supports.

#### INSTALLATION OF MANHOLES, VALVE AND METER BOXES.--

**General.--**Manufactured manholes, riser sections, concentric/eccentric cones, grade rings, valve, and meter boxes including extensions shall be installed in accordance with the plans, these specifications, code and standards and/or the manufacturer's recommendations where applicable when approved by the Engineer.

Joints and penetrations of manholes, valve and meter boxes shall be sealed watertight, inside and outside, with epoxy mortar.

A reinforced concrete collar or slab shall be formed and cast in place around each manhole, valve, or meter box in accordance with the details shown on the plans.

Reinforcement shall be placed with a minimum 50 mm clearance on all sides. Slabs and collars shall be broom surface finished. Slabs and collars shall match existing/finished grade. Compaction prior to form work shall be as specified elsewhere in these special provisions.

Box penetrations shall be cored.

Where sewer manholes, valve, or meter boxes or cleanouts are to be installed to grade in areas to be paved or surfaced, no individual structure shall be constructed to final grade until the paving or surfacing has been completed in the immediate area

### INSTALLING THRUST BLOCKS.--

**General.--**Cast-in-place concrete thrust blocks shall be installed at changes in direction of PVC pipe fittings in accordance the latest edition of the California Plumbing Code.

### INSTALLATION OF CLEANOUTS.--

**General.-**-Cleanouts shall be installed 90 degrees to finished grade and shall terminate in a valve or meter box as shown on the plans. A concrete pad, 455 mm long and 100 mm thick, shall be provided full width of the trench under the wye/two way cleanout tee branch. A reinforced concrete collar shall be formed and cast-in-place around each cleanout box in conformance to the details shown on the plans.

Cleanouts to grade shall be a combination of fittings as shown on the plans. Piping and fittings for NPS 4 pipe shall be sewer pipe and for NPS 3 and smaller shall be drain pipe. Cleanout piping shall terminate below grade in a valve box.

Slabs shall be broom surface finished. Slabs shall match existing/finished grade. Compaction prior to form work shall be as specified elsewhere in these special provisions.

Where cleanouts are to be installed to grade in areas to be paved or surfaced, no individual structure shall be constructed to final grade until the paving or surfacing has been completed in the indicated area.

#### APPLICATION OF COATINGS.--

**General.-**The edge and bottom of manhole cover seat areas shall be coated with a uniform application of heavy duty, waterproof automotive or industrial grease.

#### TAP CONNECTION.--

**General.-**-Connections to existing systems shall be as shown on the plans and subject to approvals by the local agency and Engineer.

### FIELD QUALITY CONTROL.--

**General.-**All pipes shall be tested for obstructions and leakage before covering. Obstructions or irregularities shall be removed or repaired.

Non pressure (Drain and sewer) pipes shall be tested for leakage for a minimum period of 4 hours by filling with water to an elevation of 1.2 m above the average invert of pipe. The system shall show no visible leaks. Drain and sewer pipe may be tested in sections with the test water progressively passed down the pipes if feasible. Water shall be released at a rate which will not create water hammer or surge in the plugged section of pipe.

Pressure washer pipe shall be tested for leakage for a minimum period of 4 hours by filling pipes with water to a pressure of 14 000 kPa. Provisions shall be made for release of air. Systems shall show no loss in pressure or visible leaks. The Contractor shall repair any leaks or irregularities.

In lieu of hydrostatic test with water, the air test method, as outlined in the California Edition of the Uniform Plumbing Code (CPC), "Low Pressure Air Test for Building Sewers," may be used.

The clarifier tank shall be tested for leakage by filling the tank with water to the level of the outflow line for a period of 24 hours. All seams and joints shall be left exposed (except the bottom of the tank) for inspection purposes. The tank shall remain watertight. Repairs, if necessary, shall be made at the Contractor's expense.

#### 2.07 RV SANITARY STATION

#### PART 1.- GENERAL

#### SUMMARY.--

**Scope.**--This work shall consist of furnishing and installing a RV sanitary station in accordance with the details shown on the plans and these special provisions.

Concrete and reinforcement shall conform to the requirements for minor work specified under "Cast-In-Place Concrete" in Division 3, "Concrete and Reinforcement," of these special provisions.

#### SUBMITTALS.--

**Product data.-**-Manufacturer's descriptive data and catalog cuts for the water tower and accessories shall be submitted for approval.

### **PART 2.- PRODUCTS**

### Water tower .--

Water tower shall be standard commercially manufactured product designed for normal use at RV dump stations. Tower shall have 4.5 meter reach at full extension, 250 mm cast steel base; 13 mm diameter anchor bolts; 13 mm supply bushing at base of vertical, center pivoting stand pipe, heavy return spring at pivot, pressure vacuum breaker at top of tower and flexible 10 mm rubber hose with self-closing, rough brass radiator bib.

#### Sewer and vent pipe below finished grade.--

Sewer and vent pipe below finished grade shall be polyvinyl chloride (PVC) plastic sewer pipe and fittings, Standard Dimension Ratio (SDR) 35, conforming to ASTM Designation: D 3034 or PVC drain waste and vent pipe (PVC-DWV) and fittings conforming to ASTM Designation: D 2665.

### Vent pipe above finished grade.--

Vent pipe above finished grade and embedded in concrete foundation shall be Schedule 40 galvanized steel pipe with galvanized malleable iron fittings.

#### Signs .--

Signs shall be galvanized sheet steel not less than 1.6 mm thick (16-gage) with baked enamel finish and galvanized steel mounting plate and fastening hardware. Sign colors and messages shall be as shown on the plans.

#### **PART 3.- EXECUTION**

#### INSTALLATION.--

**Sewer and vent piping.**—The sewer and vent piping shall be installed in accordance with the applicable requirements specified under "Wash Water System," in this Division 2 of these special provisions.

**Warning signs.**—Warning signs and instructional signs for use of the RV sanitary station shall be installed in accordance with the details shown on the plans.

#### **DIVISION 3. CONCRETE AND REINFORCEMENT**

#### 3.01 CAST-IN-PLACE CONCRETE

#### **PART 1.- GENERAL**

### **SUMMARY.--**

**Scope.**—This work shall consist of constructing cast-in-place concrete facilities in accordance with the details shown on the plans and these special provisions.

### SUBMITTALS.--

**Product data.**—Manufacturer's descriptive data for admixtures, expansion joint material, vapor barrier, hardener, and sealer shall be submitted for approval.

Descriptive data shall be delivered to the Engineer at the jobsite.

### QUALITY ASSURANCE.--

**Certificates of Compliance.**—Certificates of Compliance shall be furnished for cement, reinforcement, and admixtures, in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions.

# PART 2.- PRODUCTS

#### CONCRETE MIXES .--

# Concrete (structural work).--

Commercial quality concrete shall be proportioned to provide a workable mix suitable for the intended use; shall have not less than 350 kg/m<sup>3</sup> of cement; 0 to 50 mm penetration, inclusive, as determined by California Test 533.

### Concrete (minor work).--

Commercial quality concrete for RV sanitary station pad, thrust blocks and collars shall be proportioned to provide a workable mix suitable for the intended use; shall have not less than 300 kg/m³ of cement; 0 to 50 mm penetration, inclusive, as determined by California Test 533.

#### Concrete (washracks and mudrinse slabs).--

Commercial quality concrete for vehicle washracks and mudrinse slabs, shall be proportioned to provide a workable mix suitable for the intended use; shall have not less than 400 kg/m³ of a mixture of Type II cement and 15 percent by weight of a mineral admixture or Type IP (MS) Modified cement; 0 to 50 mm penetration, inclusive, as determined by California Test 533.

### **CONCRETE MATERIALS.--**

#### Cement.--

Cement shall conform to ASTM Designation: C 150, Types II, or III portland cement; or Type IP (MS) Modified cement. Type IP (MS) Modified shall conform to ASTM Designation: C 595 and shall be comprised of an intimate mixture of Type II Modified cement and not more than 20 percent of a pozzolanic material.

### Aggregates .--

Aggregates shall be free from deleterious coatings, clay balls and other extraneous materials.

#### Admixtures .--

Admixtures used in portland cement concrete shall be included on the Department's current list of approved admixtures, and shall conform to ASTM Designation: C 494, Types A, B, D, F or G for chemical admixtures; ASTM Designation: C 260 for air-entraining admixtures; and ASTM Designation: C 618 for mineral admixtures, except loss on ignition shall not exceed 4 percent. Properties of admixtures shall be uniform in each lot.

#### FORM MATERIALS.--

#### Forms for concrete.--

Forms for unexposed finish concrete surfaces shall be plywood, lumber, metal or other acceptable material.

#### Form oil.--

Form oil shall be commercial quality form oil which will permit the ready release of the forms and will not discolor the concrete.

### REINFORCING MATERIALS.--

#### Bar reinforcement .--

Bar reinforcement shall conform to ASTM Designation: A 615/A 615M, Grade 60 [420], or ASTM Designation: A 706/A 706M.

### Bar supports .--

Bar supports for reinforcement shall be precast mortar blocks or ferrous metal chairs, spacers, metal hangers, supporting wires, and other approved devices of sufficient strength to resist crushing under applied loads.

### **RELATED MATERIALS.--**

#### Anchor bolts, nuts, and washers .--

Nonheaded anchor bolts shall conform to ASTM Designation: A 36/A 36M, with a minimum hook length of 6.2 diameters.

Headed anchor bolts shall conform to ASTM Designation: A 307.

Threaded rods shall conform to ASTM Designation: A 572.

Nuts shall conform to ASTM Designation: A 563M, Grade A.

Washers for anchor bolts shall be commercial quality.

Exposed anchor bolts, nuts, and washers shall be hot dipped galvanized.

### Expansion joint material.--

Expansion joint material shall be commercial quality asphalt impregnated pressed fiber sheets, 13 mm minimum thickness.

### Type A control joints .--

Type A control joints shall be commercial quality, preformed, T-shaped plastic strips with detachable top flange.

### **Keyed construction joint forms.--**

Keyed construction joint forms shall be commercial quality, galvanized metal or plastic, factory fabricated construction joint forms. Forms shall produce a rabbeted key type joint.

#### Mortar.--

Mortar shall consist of one part cement to 2 parts clean sand and only enough water to permit placing and packing.

### Curing compound.--

Curing compound shall be a non-pigmented curing compound with fugitive dye conforming to the requirements of ASTM Designation: C 309, Type 1-D, Class A.

#### ADMIXTURES.--

**General.-**-Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option to conserve cement or to facilitate any construction operation.

Calcium chloride shall not be used in any concrete.

Admixtures shall be combined with concrete materials by methods that produce uniform properties throughout the concrete.

If more than one admixture is used, said admixtures shall be compatible with each other so that the desirable effects of all admixtures will be realized.

Mineral admixtures may be used to replace up to 15 percent of Type II portland cement provided the weight of mineral admixture used is not less than the weight of cement replaced. Mineral admixtures shall not be used to replace Type IP (MS) Modified or Type III cements. Chemical admixtures may be used to reduce up to 5 percent of the portland cement except that the cement content shall not be less than 300 kg/m<sup>3</sup>. When both chemical and mineral admixtures are used with Type II cement, the weight of cement replaced by mineral admixture may be considered as cement in determining the resulting cement content.

Mineral admixtures will be required in the manufacture of concrete containing aggregates that are determined to be "deleterious" or "potentially deleterious" when tested in accordance with ASTM Designation: C 289. The use of mineral admixture in such concrete shall conform to the requirements in this section except that the use of set retarding admixtures will not be permitted.

When the use of a chemical admixture is specified or is ordered by the Engineer, the admixture shall be used at the rate specified or ordered. If no rate is specified or ordered, or if the Contractor uses a chemical admixture for his own convenience, the admixture shall be used at the dosage normally recommended by the admixture manufacturer.

When air-entrainment is specified or is ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce concrete having the specified or ordered air content as determined by California Test 504. If the Contractor uses air-entrainment for his own convenience, the average air content shall not exceed 4 percent and no single test shall exceed 5 1/2 percent.

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers shall have sufficient capacity to measure at one time the total quantity required for each batch. If more than one liquid admixture is used in the concrete, a separate measuring unit shall be provided for each liquid admixture and dispensing shall be such that the admixtures are not mixed at high concentrations. When air-entraining admixtures are used with other liquid admixtures, the air-entraining admixtures shall be the first to be incorporated into the mix. Unless liquid admixtures are added to premeasured water for the batch, they shall be discharged to flow into the stream of water so that the admixtures are well dispersed throughout the batch.

## BAR REINFORCING STEEL.--

**Bending.**—Reinforcing steel bars shall accurately conform to the dimensions shown on the plans.

Bars shall be bent or straightened in a manner that will not crack or break the material. Bars with kinks or improper bends shall not be used.

Hooks, bends and splices shall conform to the provisions of the Building Code Requirements for Reinforced Concrete of the American Concrete Institute.

#### MIXING AND TRANSPORTING CONCRETE.--

**General.--**When a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be complete within 1 1/2 hours, or before 250 revolutions of the drum or blades, whichever comes first, after the introduction of cement to the aggregates.

Truck mixers or agitator shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified. The counters shall be of the continuous-registering type, which accurately register the number of revolutions and shall be mounted on the truck so that the Engineer may safely and conveniently inspect them from alongside the truck. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, a time less than 1 1/2 hours may be required.

When non-agitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be complete within one hour after the introduction of cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C, or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete for the work shall be accompanied by a trip ticket, a copy of which shall be delivered to the Engineer at the jobsite. The trip ticket shall show volume of concrete, weight of cement and aggregates, quantity of each admixture, quantity of water including water added at the jobsite, time of day the concrete is batched, and revolution counter readings on transit mix trucks at the times the truck is charged and unloaded.

#### **PART 3.- EXECUTION**

### PREPARATION.--

**Existing concrete construction.-**Where fresh concrete joins existing or previously placed concrete or masonry, the contact surfaces of the existing or previously placed material shall be roughened, cleaned, flushed with water and allowed to dry to a surface dry condition immediately prior to placing the fresh concrete. The roughened surface shall be no smoother than a wood trowelled surface. Cleaning of the contact surfaces shall remove laitance, curing compounds, debris, dirt and such other substances or materials which would prevent bonding of the fresh concrete.

Abrasive blast methods shall be used to clean horizontal construction joints to the extent that clean aggregate is exposed. Exposed reinforcing steel located at the contact surfaces which is to be encased in the fresh concrete shall be cleaned to remove any substance or material that would prevent bonding of the fresh concrete.

**Forms.**—Forms shall be mortar tight, true to the dimensions, lines, and grades shown on the plans, securely fastened and supported, and of adequate rigidity to prevent distortion during placing of concrete.

Forms for exposed surfaces shall be constructed with triangular fillets not less than 19 mm x 19 mm attached so as to prevent mortar runs and to produce smooth straight chamfers at all sharp edges of the concrete.

Form fasteners shall be removable without chipping, spalling, heating or otherwise damaging the concrete surface. Form ties shall be removed to a depth of at least 25 mm below the surface of the concrete.

The inside surfaces of forms shall be cleaned of all dirt, mortar and foreign material. Forms shall be thoroughly coated with form oil prior to use.

Forms shall not be stripped until at least 40 hours after placing concrete.

Anchorages and embedded items shall be placed and rigidly secured at their planned locations prior to placing concrete.

Placing reinforcing steel.--Reinforcing steel bars shall be accurately placed to the dimensions shown on the plans.

Bar reinforcement conforming to ASTM Designation: A 615/A 615M, Grade 60 [420], or A 706//A 706M shall be lapped at least 45 diameters.

Bars shall be firmly and securely held in position by means of wiring and approved bar supports. The spacing of supports and ties shall prevent displacement of the reinforcing or crushing of supports.

Tie wire shall be clear of concrete formwork and concrete surfaces.

All reinforcing steel shall be in place and inspected before concrete placement begins. Placing of bars on fresh layers of concrete will not be permitted.

**Ground bar.-**A continuous reinforcing steel bar shall be installed in the building foundation at the location indicated on the plans for the electrical ground bar. The use of epoxy coated reinforcing bar is not permitted. The end of the ground bar shall extend beyond the concrete surface and shall be protected from damage by construction operations.

### PLACING CONCRETE.--

**General.-**-Concrete shall be placed and consolidated by means of internal vibrators to form dense, homogeneous concrete free of voids and rock pockets.

Forms and subgrade shall be thoroughly moistened with water immediately before placing concrete.

Concrete shall be placed as nearly as possible to its final location and the use of vibrators for extensive shifting of the concrete will not be permitted.

Concrete shall be deposited and consolidated in a continuous operation within limits of construction joints, until the placing of the panel or section is completed.

When concrete is to be placed in large areas requiring more than two pours, concrete shall be placed in alternate long strips between construction joints and the final slab infilled.

## FINISHING CONCRETE SURFACES.--

**Finishing unformed surfaces.**—Slabs shall be placed full thickness to finish elevation and leveled to screeds by use of long straightedges. The screeds shall be set to grade at approximately 1.8 meter centers. After leveling, screeds shall be removed and the surface shall be floated with wooden floats.

Type A control joint strips shall be inserted into the floated concrete so that the bottom of the top flange is flush with the finish elevation. Strips shall be standard manufactured lengths and shall be placed on an approximate straight line. The top flange of the strips shall be removed after the concrete has set and cured.

The floated surface shall be trowelled with steel trowels. Troweling shall form a dense, smooth and true finish. Walkways, pedestrian ramps, stairs and outdoor slabs for pedestrian traffic shall be given a non-slip broom finish unless a different finish is called for on the plans or in these special provisions.

The application of cement dust coat will not be permitted.

Finished surfaces of floor slabs shall not deviate more than 3 mm from the lower edge of a 3-meter long straight edge.

**Finishing formed surfaces.-**-Formed concrete surfaces shall be finished by filling holes or depressions in the surface, repairing all rock pockets, and removing fins. All surfaces of formed concrete exposed to view shall have stains and discolorations removed, unsightly bulges removed, and all areas which do not exhibit the required smooth, even surface of uniform texture and appearance shall be sanded with power sanders or other approved abrasive means until smooth, even surfaces of uniform texture and appearance are obtained.

Cement mortar, patching and finishing materials used to finish exposed surfaces of concrete shall closely match the color of surrounding surfaces.

## **CURING CONCRETE.--**

**General.**—Freshly placed concrete shall be protected from premature drying and excessive cold or hot temperatures.

Initial curing of slabs shall start as soon as free water has disappeared from the concrete surface. The concrete shall be kept continuously wet by application of water for not less than 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or sand blankets may be used as a curing medium to retain the moisture during the curing period. Curing materials that will stain or discolor concrete shall not be used on surfaces exposed to view.

Prior to placing the curing medium, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing mediums.

Concrete surfaces, other than slabs, shall be kept moist for a period of at least 5 days by leaving the forms in place or by covering the exposed surfaces using moist rugs, cotton mats or other curing materials approved by the Engineer.

Concrete curbs, sidewalks, collars, and gutter depressions may be cured with a curing compound.

## PROTECTING CONCRETE.--

**General.--**Concrete shall not be placed on frozen or frost covered surfaces.

Concrete shall be protected from damage due to rain, freezing or inclement weather, and shall be maintained at a temperature of not less than 4°C for 72 hours. When required by the Engineer, the Contractor shall provide a written outline of his proposed methods of protecting concrete.

Vehicles, equipment, or concentrated loads weighing more than 140 kg individually and material stockpiles weighing more than 240 kg/m<sup>2</sup> will not be permitted on the concrete within 10 calendar days after placing.

## **DIVISION 4. (BLANK)**

#### **DIVISION 5. METALS**

### 5.01 STRUCTURAL STEEL FOR BUILDINGS

### **PART 1.- GENERAL**

#### SUMMARY.--

**Scope.**—This work shall consist of fabricating, assembling, furnishing and erecting structural steel in accordance with the details shown on the plans and these special provisions.

Structural steel consists of:

Canopy roof framing, columns, and connections.

**Source quality control.-**-Materials and fabrication procedures are subject to inspection and tests in mill, shop and field, conducted by the Engineer or a qualified inspection agency. The Contractor or fabricator shall provide access to the Engineer or testing agency to places where the structural steel work is being fabricated or produced so that the required inspection and testing can be accomplished. Such inspections and tests will not relieve the Contractor of responsibility for providing materials and fabrication procedures in compliance with specified requirements. The testing agency may inspect the structural steel at the plant before shipment; however, the Engineer reserves the right, at any time before final acceptance to reject the material that does not conform to the contract requirements.

### REFERENCES .--

General.--Structural steel shall be fabricated, assembled and erected in accordance with American Institute of Steel Construction (AISC), "Specifications for the Design, Fabrication and Erection of Structural Steel for Buildings."

Welding shall be in accordance with American Welding Society (AWS) D1.1, "Structural Welding Code - Steel."

### SUBMITTALS.--

**Product data.-**-Product data for items to be incorporated into the work, including structural steel, high strength bolts, nuts and washers and alternative connectors, shall be submitted for approval.

**Shop drawings.**--Shop drawings shall be submitted for approval.

Shop drawings shall show any changes proposed in the work, details of connections and joints exposed to the weather, details for connections not dimensioned on the plans, the sequence of shop and field assembly and erection, welding sequences and procedures. If required, the location of butt welded splices on a layout drawing of the entire structure, and the location and details of any temporary supports that are to be used.

### **CLOSEOUT SUBMITTALS.--**

**Final drawings.-**-At the completion of each building on the contract, one set of reduced prints on 27 kg (minimum) bond paper, 280 mm x 432 mm in size, of the corrected original tracings of all approved drawings for each building shall be furnished to the Engineer. An index prepared specifically for the drawings for each building containing sheet numbers and titles shall be included on the first reduced print in the set for each building. Reduced prints for each building shall be arranged in the order of drawing numbers shown in the index.

The edge of the corrected original tracing image shall be clearly visible and visually parallel with the edges of the page. A clear, legible symbol shall be provided on the upper left side of each page to show the amount of reduction and a horizontal and vertical scale shall be provided on each reduced print to facilitate enlargement to original scale.

## QUALITY ASSURANCE.--

Qualifications for welding.--A certified copy of qualification test record for welders shall be submitted to the Engineer at the jobsite. Descriptive data for equipment for field welding structural steel, including type and electric power requirements, shall be submitted for approval.

Certificates of Compliance.--Certificate of Compliance shall be furnished for structural steel products in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions. Certificate of Compliance shall include mill test certificates for each heat number used in the work.

# **DELIVERY, HANDLING AND STORAGE.--**

Structural materials shall be loaded, transported, unloaded and stored so that it is kept clean and undamaged. Material shall be stored above ground on platforms, skids, or other supports. Covers and protection shall be provided to protect the materials from corrosion.

Anchorages and anchor bolts, which are to be embedded in concrete or masonry, shall be delivered in ample time to not delay the work.

### **PART 2.- PRODUCTS**

### **MATERIALS.--**

### Steel bars, plates and shapes.--

Steel bars, plates and shapes shall conform to ASTM Designation: A 36/A 36M or A 572/A 572M, Grade 60 [345].

## Steel tubing.--

Steel tubing shall conform to ASTM Designation: A 500, Grade B.

### Machine bolts, nuts and washers.--

Machine bolts and nuts shall conform to ASTM Designation: A 325M.

Washers for machine bolts shall be commercial quality.

### Inorganic zinc primer.--

Inorganic zinc primer shall be a waterborne inorganic zinc primer conforming to the requirements of AASHTO Designation: M 300-92 I, Type II. Inorganic zinc primer shall be listed on the qualified products list which may be obtained from the Transportation Laboratory, (916) 227-7000.

## Mortar.--

Mortar shall consist of one part cement, measured by volume, to 2 parts clean sand and only enough water to permit placing and packing.

## FABRICATION .--

Shop fabrication and assembly.--Workmanship and finish shall be equal to the best general practice in modern shops.

Cuts shall not deviate more than 2 mm from the intended line. Roughness, notches or gouges shall be removed.

Bearing stiffeners at points of loading shall be square with the web and shall have at least 75 percent of the stiffener in contact with the flanges.

Finished members shall be true to line, shall have square corners and smooth bends and shall be free from twists, kinks, warps, dents and open joints.

Exposed edges and ends of metal shall be dressed smooth, with no sharp edges and with corners slightly rounded.

## Connections.--Abutting surfaces at connections shall be clean.

Cutting and welding at the jobsite will not be allowed except as shown on the approved drawings or specifically approved by the Engineer.

Finished holes for bolts shall be cylindrical and perpendicular to the plane of the connection. Subpunched and subdrilled holes shall be 6 mm smaller in diameter than the diameter specified for the finished hole.

**Bolted Connections.-**-Bolts for connecting steel to steel shall be machine bolts conforming to ASTM Designation: A 325M.

**Holes for other work.**—Holes for securing other work to structural steel and passage of other work through steel framing members shall be as shown on the approved drawings.

Threaded nuts or specialty items for securing other work to steel members shall be as shown on the approved drawings.

Holes shall be cut, drilled or punched perpendicular to metal surfaces. Holes shall not be flame cut or enlarged by burning. Holes are to be drilled in bearing plates.

### SHOP PAINTING .--

General.--Structural steel members, except those to receive sprayed-fireproofing, shall be painted.

**Surface preparation.--**Surfaces of structural steel to be receive inorganic zinc primer shall be blast cleaned in accordance with Steel Structures Painting Council, SSPC-SP 10, "Near-White Blast Cleaning."

**Bolted connections.**—Contact surfaces of high strength bolted connections and ungalvanized anchor bolt assemblies shall be blast cleaned and coated with waterborne inorganic zinc primer before assembly. The total thickness of primer on each surface shall be between 0.025 mm to 0.076 mm and may be applied in one application.

**Painting.**—Immediately after surface preparation, surfaces of structural steel shall receive an undercoat of waterborne inorganic zinc primer. Color shall essentially match Federal Standard 595B, No. 36373.

The manufacturer's published mixing and application instructions for inorganic zinc primer shall be followed.

### **PART 3.- EXECUTION**

## **ERECTION AND ASSEMBLY.--**

Field splices.--Field splices shall be made only at the locations shown on approved shop drawings.

The parts shall be accurately assembled in their final position as shown on the plans and in true alignment with related and adjoining work before final fastening.

All parts shall be supported adequately and at locations to provide a vibration free, rigid, and secure installation.

**Bolted connections.**—The bolt head type and head location shall be consistent within a joint.

Nuts shall be on side of member least exposed to view.

**Setting bases and bearing plates.-**Concrete surfaces shall be cleaned and roughened to improve bond. Bottom of base and bearing plates shall be clean.

Base plates and bearing plates for structural members shall be set on wedges or other adjusting devices.

Anchor bolts shall be wrench tightened after supported members have been positioned and plumbed.

Mortar shall be solidly packed between bearing surfaces and base or bearing plates to ensure that no voids remain. Exposed surfaces shall be finished and allowed to cure.

### FIELD PAINTING .--

**Touch-up painting.-**-After erection, the Contractor shall clean field welds, bolted connections, and abraded areas of shop paint and apply the same materials as applied for shop painting.

Surfaces which are scheduled to receive finish coats shall be painted with an additional prime coat and finish coats in accordance with the requirements specified for shop primed steel under "Painting" in Division 9.

## QUALITY CONTROL.--

**Testing and inspection.-**-Ultrasonic examination shall be performed by the Contractor on at least 50 percent of all full penetration butt-welded splices in accordance with the requirements of AWS D1.1 and these special provisions.

Welding procedures and methods shall be subject to inspection for conformance with AWS D1.1.

Butt welds shall be tested in accordance with AWS D1.1, Chapter 6, Part C, Ultrasonic Testing of Groove Welds.

Examination, reporting and disposition of tests shall be in accordance with the provisions of 6.12, AWS D1.1.

In addition to ultrasonic examinations by the Contractor, welds may be subject to inspection or non-destructive testing by the Engineer.

When additional inspection or non-destructive testing is required by the Engineer, the Contractor shall provide sufficient access facilities in the shop and at the jobsite to permit the Engineer or his agent to perform such inspection and testing.

The Contractor shall correct all deficiencies in the structural steel work which inspections and laboratory test reports have indicated to be not in compliance with these special provisions. Additional tests shall be performed by the Contractor at his expense to reconfirm any non-compliance of original work, and to show compliance of the corrected work.

## 5.02 METAL DECK

#### PART 1.- GENERAL

### **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing metal deck in accordance with the details shown on the plans and these special provisions.

Metal deck includes ribbed sheet steel decking units, bent plates, accessories, fasteners and such other components, not mentioned, but required for a rigid, secure and complete installation.

#### REFERENCES.--

General.--The design, fabrication and erection of metal deck shall conform to the applicable requirements of the American Iron and Steel Institute (AISI) publication, "Specifications for the Design of Light Gauge Cold Formed Steel Structural Members," and the applicable Steel Deck Institute Design Manual and these special provisions.

## SUBMITTALS.--

Product data.--Manufacturer's descriptive data for each type of deck and accessories shall be submitted for approval.

**Shop drawings.--**Shop drawings showing complete erection layouts, details, dimensions, deck section properties shall be submitted for approval. Drawings shall show types and gages, fastening methods, including the location, type and sequence of connections, surface finishes and temporary supports or bracing.

# **QUALITY ASSURANCE.--**

**Certificates of Compliance.**—Certificates of Compliance shall be furnished for the metal decking in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions.

## DELIVERY, HANDLING AND STORAGE .--

**General.--**Metal deck units and accessories shall be transported, stored and erected in a manner that will prevent corrosion, distortion or other damage.

Deck units shall be stored off the ground with one end elevated to provide drainage.

### **PART 2.- PRODUCTS**

MANUFACTURERS.--Acceptable manufacturers shall be; Verco Manufacturing Co.; BHP Co.; or equal.

### **MATERIALS.--**

#### Deck units .--

Deck units, closures and plates shall be fabricated from galvanized sheet steel conforming to ASTM Designation: A 653/A 653M, Grade 80 [550].

Galvanizing shall conform to the requirements of ASTM Designation: A 924/A 924M, G60 [Z180].

## Miscellaneous steel shapes .--

Miscellaneous steel shapes shall conform to ASTM Designation: A 36/A 36M.

## Anchor clips, vent clips, flashing, saddle plates, flexible closure strips and other accessories.--

Anchor clips, vent clips, flashing, saddle plates, flexible closure strips and other accessories shall be as recommended by the decking manufacturer.

## FABRICATION .--

**General.-**-Deck units shall be formed to span 3 or more supports, with flush, telescoped or nested 50 mm laps at ends and interlocking or nested side laps unless otherwise shown on the plans.

Deck units shall conform to the configurations, metal thickness, depth and width and section properties shown on the plans.

End bearing shall be not less than 38 mm.

**Metal closure strips.**—Metal closure strips for opening between deck units and other construction shall be fabricated from the same gage and material as the adjacent deck units. Strips shall be formed to provide tight-fitting closures at end of cells or flutes and sides of decking.

## **PART 3.- EXECUTION**

## INSTALLATION.--

**General.-**-Deck units and accessories shall be installed in accordance with the manufacturer's recommendations and approved drawings and these special provisions.

Units shall be placed on supporting steel framework, adjusted in place and properly aligned before being permanently fastened. Ends of units shall have positive bearing over structural supports.

Cutting and fitting shall present a neat and true appearance with exposed burrs removed. Openings through the decking shall be cut square and shall be reinforced as recommended by the decking manufacturer.

The metal deck shall not be used as a working platform before deck units are fastened in place. Supplies, equipment or other loads shall not be stored on the deck. Mechanical equipment or other loads shall not be hung from metal roof decking.

**Fastening roof deck units.--**Roof deck units shall be fastened to supporting steel members as shown on the structural plans.

Fastening side laps.--Side laps of adjacent deck units shall be fastened as shown on the plans.

**Field painting:-**-Immediately following erection, field welds, bolted connections and abraded areas shall be cleaned with a wire brush.

Galvanized surfaces shall be touched-up with galvanizing repair paint recommended by the manufacturer.

### **DIVISION 6. WOOD AND PLASTICS**

### 6.01 CARPENTRY

## **PART 1.- GENERAL**

# **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing materials and performing carpentry work including wood framing, furring, and sheathing in accordance with the details shown on the plans and these special provisions.

### SUBMITTALS.--

**Wood treatment data.-**-Chemical treatment manufacturer's instructions shall be submitted for the handling, sorting, installation, and finishing of treated materials.

For each type of preservative treatment used, certification by treating plant shall include type of preservative solution and pressure process used, net amount of preservative retained and conformance with the applicable standards of the American Wood Preservers Association.

## DELIVERY, HANDLING AND STORAGE .--

**Delivery and storage.**—Materials shall be kept under cover and dry. All materials shall be protected from exposure to weather and contact with damp or wet surfaces with blocking and stickers. All lumber, plywood and other panels shall be stacked in such a manner to provide air circulation within and around the stacks.

### **PART 2.- PRODUCTS**

### LUMBER.--

General.--Lumber shall be manufactured to comply with PS 20, "American Softwood Lumber Standard," and with applicable grading rules of inspection.

Softwood lumber shall be quality grade stamped or shall be accompanied by a certificate of inspection. Inspection certificates or grade stamps shall indicate compliance with the grading requirements of WWPA, WCLIB, RIS, or other approved lumber inspection agencies.

All lumber used shall be nominal sized and dressed S4S unless otherwise specified in these special provisions.

Framing lumber shall be solid stock lumber, Douglas Fir-Larch, and the grades indicated under WCLIB or WWPA rules. Moisture content shall not exceed 19 percent and shall be grade stamped "S-Dry."

# **DIMENSION LUMBER.--**

Except as otherwise shown on the plans, lumber shall have the following grades.

# Vertical framing lumber .--

Vertical framing lumber, nominal 51 mm x 51 mm through 102 mm x 102 mm, shall be Construction grade or better.

Vertical framing lumber, nominal 51 mm x 152 mm through 102 mm x 152 mm shall be No. 2 or better.

## Horizontal framing lumber .--

Horizontal framing lumber, nominal 51 mm x 102 mm and wider, including joists and rafters, shall be No. 2 or better.

Horizontal framing lumber, nominal 102 mm x 102 mm and wider, including joist and rafters, shall be No. 1 or better.

### Miscellaneous lumber .--

Miscellaneous lumber for support or attachment of other work including rooftop equipment curbs and support bases, cant strips, bucks, nailers, blocking, furring, grounds, stripping and similar members shall be not less than No. 2 or better.

Lumber in contact with concrete construction shall be pressure treated Douglas Fir-Larch.

### PLYWOOD PANELS .--

General.—Plywood panels shall comply with Voluntary Product Standard PS1, "U. S. Product Standard for Construction and Industrial Plywood."

Plywood panels shall be Group 1 unless otherwise noted.

Each plywood panel shall be factory marked with APA or other trademark evidencing compliance with grade requirements.

# Structural plywood wall sheathing .--

Structural plywood wall sheathing for walls shall be APA RATED SHEATHING, Exposure 1. Thickness and grade shall be as shown on the plans.

## Structural plywood roof sheathing .--

Structure plywood roof sheathing shall be APA RATED SHEATHING, Exposure 1. Span rating, thickness and grade shall be as shown on the plans.

Structure plywood roof sheathing in exposed overhangs shall be APA RATED SHEATHING, A-C, Exterior, Group 1. Thickness shall be the same as the remainder of the sheathing.

### Interior plywood wall and ceiling sheathing.--

Interior plywood wall and ceiling sheathing shall be APA RATED SHEATHING, Exposure 1, CD plugged and touch sanded. Thickness and grade shall be as shown on the plans.

## MISCELLANEOUS MATERIALS.--

### Carpentry Hardware.--

Steel plates and rolled sections shall be mild, weldable steel, conforming to AISI grades 1016 through 1030 except 1017.

Nails, screws, bolts, nuts, washers shall be commercial quality. Exposed fasteners shall be hot dipped galvanized or stainless steel.

Joist hangers, clips and other standard framing hardware shall be ICBO approved, commercial quality, galvanized sheet steel or hot dipped galvanized, of the size shown on the plans.

### Nails .--

Nails shall conform to ASTM F 1667-95. "Common" nails shall conform to the following table:

Nail Size	Length (mm)	Diameter (mm)
8d	63.5	3.33
10d	76.2	3.76
16d	88.9	4.11

### WOOD TREATMENT BY PRESSURE PROCESS .--

### Preservative treatment.--

Preservative treatment shall be copper naphthenate, pentachlorophenol or water-borne arsenicals (ACA, CCA or ACZA).

The following items shall be treated:

Wood sills in contact with concrete.

All holes, daps and cut ends of treated lumber shall be thoroughly swabbed with 2 applications of copper naphthenate.

#### PART 3.- EXECUTION

### INSTALLATION.--

Wood framing.--Wood framing shall be in accordance with Chapter 23 of the California Building Code.

Framing members shall be of sizes and spacing shown on the plans. Unless otherwise shown on the plans, structural members shall not be spliced between supports.

Wood framing shall be accurately cut and assembled to provide closely fitted members. Framing shall be erected true to the lines and grades shown on the plans and shall be rigidly secured in place as shown and as required by recognized standards. Bracing shall be placed wherever necessary to support all loads on the structure during erection.

The size and spacing of fasteners and the edge distance for nails shall be as shown on the plans.

Nailing schedule shall be as shown on the plans and shall comply with the California Building Code.

**Plywood panels.-**-Plywood panels shall be attached to the framing as shown on the plans and these special provisions. All structural plywood sheathing (both roof and wall) shall be nailed with "Common" nails.

Plywood sheathing shall be nailed to the framing system and shall be continuous over 2 or more supports. Roof panels shall be installed with the long dimension across the supports, with end joints staggered 1.22 m. Wall sheathing shall have all edges blocked. Spacing between panels shall be 3 mm.

### DIVISION 7. THERMAL AND MOISTURE PROTECTION

## 7.01 INSULATION (GENERAL)

### PART 1.- GENERAL

## **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing insulation in accordance with the details shown on the plans and these special provisions.

Insulation materials shall be as specified in these special provisions, and shall be compatible with existing or new materials incorporated in the building.

## SUBMITTALS.--

**Product data.-**A list of materials, manufacturer's descriptive data, location schedule, and time schedule shall be submitted for approval.

The list of materials to be used shall include the trade name, manufacturer's name, smoke developed and flame spread classification, resistance rating and thickness for the insulation materials and accessories.

Schedules.-- A location schedule and time schedule shall be submitted for approval.

The location schedule shall show where each material is to be installed.

The Contractor shall provide the Engineer at the jobsite with an accurate time schedule of the areas of the building to be insulated each day. The time schedule shall be submitted 3 working days in advance of the work.

Samples.--Samples of insulation material shall be submitted to the Engineer at the jobsite.

# **QUALITY ASSURANCE.--**

Codes and standards.--All insulating materials shall be certified to comply with the California Quality Standards for Insulating Materials and shall be listed in the Department of Consumer Affairs publication "Consumer Guide and Directory of Certified Insulation Material."

### DELIVERY, STORAGE AND HANDLING .--

**General.-**-Insulating materials shall be delivered to the jobsite and stored in a safe dry location with labels intact and legible.

Insulating materials shall be protected from physical damage and from becoming wet or soiled.

In the event of damage, materials shall be repaired or replaced as necessary to comply with these specifications.

PART 2.- PRODUCTS (Not applicable.)

**PART 3.- EXECUTION** (Not applicable.)

#### 7.02 BATT AND BLANKET INSULATION

**PART 1.- GENERAL** 

**SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing batt or blanket insulation in accordance with the details shown on the plans and these special provisions.

Batt insulation shall include faced and unfaced batts in walls and ceilings, acoustical batts for sound control and exposed batt or blanket insulation for ceilings and walls.

## QUALITY ASSURANCE .--

Codes and standards.--All batt or blanket insulation, including facings such as vapor barriers, shall have a flame-spread rating not to exceed 25 and a smoke density not to exceed 450 when tested in accordance with CBC Standard No. 8-1.

The flame-spread and smoke density limitations do not apply to facings on batt insulation installed between ceiling joists, or in roof-ceiling or wall cavities, provided the facing is installed in substantial contact with the surface of the ceiling or wall finish.

## **PART 2.- PRODUCTS**

## **INSULATING MATERIALS.--**

**General.**--Fiberglass batts shall be thermal insulation produced by combining glass fibers with thermosetting resins to comply with ASTM Designation: C 665.

## Wall insulation.--

Wall insulation shall be R-1.9 K• m<sup>2</sup>/W fiberglass batts with paper-laminate vapor-retarder membrane on one face. Insulation shall conform to ASTM Designation: C 665, Type II, Class C.

## Ceiling insulation.--

Ceiling insulation shall be R-3.3 K• m²/W fiberglass batts with paper-laminate vapor-retarder membrane on one face. Insulation shall conform to ASTM Designation: C 665, Type II, Class C.

### VAPOR-RETARDERS.--

## Paper-laminate vapor-retarder .--

Paper-laminate vapor-retarder shall be kraft paper sheets laminated together with asphalt or other vapor retarding compounds, scrim reinforced at edges of sheets.

### **AUXILIARY INSULATION MATERIALS.--**

## Insulation tape .--

Insulation tape shall be as recommended by the insulation manufacturer.

#### PART 3.- EXECUTION

### INSTALLATION.--

**General.**—The vapor retarder on faced batts shall be toward the interior and shall be fastened to provide a sealed retarder. Punctures and holes in the retarder shall be repaired.

Unless otherwise shown on the plans or specified elsewhere in these special provisions, insulation shall be kept 75 mm to 100 mm clear of lighting fixtures and heat producing electrical appliances and equipment.

**Installing batt type insulation.**—Insulation batts shall be installed to completely fill the space between framing members. Apply a single layer of insulation of required thickness, unless otherwise shown on the plans or required to make up total thickness. Installation shall conform to the manufacturer's recommendations and these special provisions.

## 7.03 METAL ROOF AND SIDING

## **PART 1.- GENERAL**

## **SUMMARY.--**

**Scope.-**-This work shall consist of furnishing and installing manufactured metal roof and siding panels, in accordance with the details shown on the plans and these special provisions.

Metal roof and siding system shall consist of underlayment, prefinished metal roof and siding panels, gutters, downspouts, fasteners, sealants, and accessories and components, not mentioned, which are required for a complete, securely fastened and weathertight installation.

### SYSTEM DESCRIPTION.--

**Design Requirements.-**The roof and siding system shall conform to the wind design requirements for uplift or outward pressures in accordance with Chapter 16 of the Uniform Building Code for the wind speed and exposure shown on the plans.

## SUBMITTALS.--

**Product Data.-**-Manufacturer's technical product data, installation instructions, and recommendations for each type of sheathing material shall be submitted for approval.

Product data shall include the manufacturer's name and a complete material description of all components of the metal sheathing system.

**Samples.**--Material samples shall include a 305 mm x 305 mm sample of the roofing and siding panels for each color to be installed and a sample of each anchor clip and fastening device.

A sample of each type of snow guard shall be submitted for approval.

**Shop Drawings.--**Shop drawings showing the layout and details of the roofing and siding system shall be submitted for approval.

Shop drawings shall include the shape, size, thickness, and method of attachment for each component used in the work; the layout and spacing of fasteners; details of connections and closures; and details for expansion joints and weathertight joints.

Design calculations for the fastening system of the roof and wall panels with the substrate shown on the plans shall be submitted to verify compliance with the design requirements.

Shop drawings and design calculations shall be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration shall be shown. The Engineer's signature shall be original.

## **QUALITY ASSURANCE.--**

**Certificates of Compliance.**—Certificates of Compliance shall be furnished for the metal sheathing system in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions.

## DELIVERY, HANDLING AND STORAGE .--

**Delivery and handling.-**-Metal panels shall be protected against damage and discoloration.

**Storage.**--Metal panels shall be stored above ground, with one end elevated for drainage and protected against standing water and condensation between adjacent surfaces.

#### **PART 2.- PRODUCTS**

### **MATERIALS.--**

### SHEET MATERIALS.--

## Base metal.--

Base metal shall be cold formed, 0.71 mm (24-gage), galvanized sheet steel conforming to ASTM Designation: A 653/A 653M, Grade 33 [230] with G90 [Z275] coating, except where a higher strength is required for performance, extra smooth; or cold formed aluminum-zinc alloy-coated, commercial quality, sheet steel conforming to ASTM Designation: A 792/A 792M, Grade 40 [275] with G90 [Z275] coating, extra smooth.

### Configuration.--

Metal roofing and siding system shall be a standing seam system with standing seams a minimum of 45 mm high and spaced not less than 305 mm nor more than 455 mm on center.

Metal siding system shall have symmetrically shaped trapezoidal ribs spaced approximately 180 mm on center. The depth of the ribs shall be approximately 38 mm.

## **METAL FINISHES.--**

**General.**--Coatings shall be applied before or after forming and fabricating panels, as required for maximum coating performance capability.

Colors or color matches shall be as shown on the plans or, if not otherwise shown, shall be as selected by the Engineer from the manufacturer's standard color palette.

## Fluoropolymer coating .--

Finish shall be the manufacturer's standard Kynar coating with a baked on primer (0.005 mm) and a finish coat of 0.02 mm nominal for a total dry film thickness of approximately 0.025 mm nominal.

Interior finish shall consist of a 0.004 mm epoxy primer and a backer coat.

### MISCELLANEOUS METAL SHAPES.--

### Flashings, gutters, and downspouts.--

Flashings, gutters, and downspouts shall be formed from the same material, gage and in the same finish as the metal roofing and siding panels.

## **MISCELLANEOUS MATERIALS.--**

## Fastener clips .--

Fastener clips shall be noncorrosive, ferrous metal fasteners as recommended by the metal panel system manufacturer to resist the design loads.

#### Fasteners .--

Fasteners shall be as recommended by the metal panel system manufacturer. Sheet metal screws shall not be used except to fasten trim and flashings.

## Underlayment .--

Underlayment shall be as recommended by the metal panel system manufacturer, but not less than 15-pound minimum asphalt impregnated fiber glass mat roofing felt.

## Sealant and sealant tape .--

Sealant and sealant tape shall be as recommended by the panel system manufacturer.

## Closures .--

Closures shall be rubber, neoprene, closed cell plastic or prefinished metal.

## FABRICATION .--

**General.-**-Unless otherwise shown on the plans, or specified herein, roof panels shall be fabricated in continuous lengths for the length of the roof, from ridge or peak to eaves, except such length shall not exceed the manufacturer's maximum production length.

Unless otherwise shown on the plans, or specified herein, siding panels shall be fabricated in continuous lengths for the height of the structure, from eaves to sill, except such length shall not exceed the manufacturer's maximum production length.

Flashings shall be fabricated in the longest practical lengths.

Roofing and siding panels shall be factory formed. Field formed panels are not acceptable.

## **PART 3.- EXECUTION**

## INSTALLATION.--

**Underlayment.--**The roof and siding panels shall be installed over underlayment. Underlayment shall be laid parallel to the eaves, shingle fashion with 152 mm edge laps and 305 mm end laps and shall be fastened as recommended by the metal roofing system manufacturer.

**Roof and siding panels.-**-The roof and siding panels shall be installed and fastened in accordance with the details shown on the plans and the approved shop drawings. Cutting and fitting shall present a neat and true appearance with exposed burrs

removed. Openings through roof panels shall be cut square and shall be reinforced as recommended by the metal panel manufacturer.

Metal panels shall be adjusted in place and properly aligned for the detailed conditions before fastening. Panels shall not be warped, bowed or twisted. The surface finish on the panels shall not be cracked, blemished or otherwise damaged.

Fasteners shall not be driven through roof panels.

**Miscellaneous metal shapes.-**Trim, fascia, flashings, gutters, downspouts, caps, and other prefinished metal work shall be positioned to the correct alignment for each detailed condition. Metal work shall be securely attached to backing construction using fasteners at the spacing shown on approved shop drawings. Prefinished metal to be installed over concrete, masonry or plaster shall be back-coated with asphaltic paint as recommended by the metal roofing system manufacturer.

Metal panels, trim, gutters, and other prefinished metal that are marred, punctured, incorrectly bent, or incorrectly installed will be considered damaged and shall be replaced with undamaged units.

Gutters shall be fabricated by the metal panel system manufacturer to the shape and lengths shown on the plans. Expansion joints shall conform to the manufacturer's recommendations and to SMACNA "Architectural Sheet Metal Manual."

The metal panel system shall be installed weathertight. Closures shall be tight fitting and shall be provided at the ends of panels, at the boundary of the roof, and as indicated on the approved shop drawings.

### CLEAN UP AND CLOSE OUT .--

**Clean up.-**-Adjacent surfaces shall be protected during the roofing system installation and sealant work. Excess sealant shall be removed as the installation progresses.

Roof panels, molding, trim, and other prefinished metal surfaces shall be cleaned after installation as recommended by the manufacturer. Exposed cuts shall be touched-up with a matching durable primer and paint as recommended by the metal roofing system manufacturer.

**Touch up.-**-Damaged paint surfaces shall be touched up by using an air dry touch up paint supplied by the metal roofing system manufacturer. Only a small brush shall be used for touching up. No spraying of touch up paint is to be performed.

**Damaged units.--**Panels and other components of the work which have been damaged or have deteriorated beyond successful repair shall be removed and replaced.

## 7.04 SHEET METAL FLASHING

### **PART 1.- GENERAL**

# **SUMMARY.--**

**Scope.**—This work shall consist of fabricating, furnishing and installing sheet metal flashing in accordance with the details shown on the plans and these special provisions.

Sheet metal shall include metal roof jacks, and screen type vents.

## QUALITY ASSURANCE.--

Codes and standards.--Sheet metal work shall in accordance with the requirements in the latest edition of the Sheet Metal and Air Conditioning Contractors National Association "Standard Practice in Architectural Sheet Metal Work."

### **PART 2.- PRODUCTS**

## **MATERIALS.--**

## Galvanized sheet steel .--

Galvanized sheet steel shall conform to ASTM Designation: A 361, not less than 0.71 mm (24-gage), unless otherwise shown on the plans. Surfaces to be painted shall not have factory coatings on galvanizing that cannot be removed by paint thinner.

### Solder .--

Solder shall conform to ASTM Designation: B 32, Alloy Grade Sn50.

### Soldering flux.--

Soldering flux shall be acid type, conforming to Federal Specification: O-F-506C, Type I, Form A.

#### Insect screen.--

Insect screen shall be industrial wire cloth and screen, medium grade, 18 mesh, 0.43 mm diameter, 1 mm openings, plain weave, galvanized steel conforming to ASTM Designation: E 437.

### Sealant .--

Sealant for exposed locations shall be a silicone sealant conforming to ASTM Designation: C 920.

### Primer .--

Primer shall be as recommended by the sealant manufacturer.

### **FABRICATION.--**

General.--Sheet metal shall be assembled to Sheet Metal and Air Conditioning Contractors National Association Standards.

Sheet metal shall be formed to the sizes, shapes and dimensions shown on the plans or as specified herein with angles and lines straight, sharp and in true alignment. The number of joints shall be kept to a minimum.

Angle bends and folds for interlocking the metal shall be made with full regard for expansion and contraction to avoid buckling or fullness in the metal after it is installed.

Joints in sheet metal work shall be closed watertight unless slip joints are specifically required. Watertight joints shall be mechanically interlocked and then thoroughly soldered for metals other than aluminum. Watertight joints in aluminum or between aluminum and other metals shall be sealed with acrylic sealant.

Sheet metal joints to be soldered shall be cleaned with steel wool or other means, pre-tinned and soldered watertight.

All joints shall be wiped clean of flux after soldering. Acid flux shall be neutralized by washing the joints with sodium bicarbonate.

## **PART 3.- EXECUTION**

**PREPARATION.**—Surfaces to receive sheet metal shall be clean, smooth and free from defects.

### INSTALLATION.--

**Roof penetration flashings.-**-All flues passing through roofs shall be made waterproof with flashings of storm collars or counterflashings.

Roof penetration flashings shall be fabricated from galvanized sheet steel, not less than 0.71 mm (24-gage). Size and shape shall be as shown on the plans.

## 7.05 SEALANTS AND CAULKING

## **PART 1.- GENERAL**

### **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and applying sealants and caulking which are required for this project, but not specified elsewhere, in accordance with the details shown on the plans and these special provisions.

# QUALITY ASSURANCE.--

**Certificates of Compliance.**—Certificates of Compliance shall be furnished for the sealants and caulking in accordance with the requirements specified in Section 4-1.04, "Certificates of Compliance," of the General Conditions.

### SUBMITTALS.--

**Product data.-**-Manufacturer's descriptive data and installation instructions for all sealants shall be submitted for approval.

**Samples.-**-Color samples of all sealants shall be submitted for approval. Unless otherwise shown on the plans, colors will be selected by the Engineer from the manufacturer's standard colors.

### **PART 2.- PRODUCTS**

#### **MATERIALS.--**

**General.-**-All sealants, primers and accessories shall be non-staining to adjacent exposed surfaces. Products having similar applications and usage shall be of the same type and same manufacturer. Gun consistency compound shall be used unless otherwise required by the job conditions.

## Acrylic sealant .--

Acrylic sealant shall be one compound, solvent release acrylic sealant.

# **Butyl sealant.--**

Butyl sealant shall be one component, skinning type.

## Silicone sealant.--

Silicone sealant shall be one component, low modulus building sealant. Sealant shall be tack-free in one hour, shall not sag or flow, shall be ozone resistant and capable of 100 percent extension without failure.

### Joint sealant.--

Joint sealant shall be a two-part, non sag polysulfide base, synthetic rubber sealant formulated from liquid polysulfide polymer.

#### Backer rod .--

Backer rod shall be round, open or closed cell polyurethane. Backer rod shall be sized such that it must be compressed between 25 and 75 percent of its uncompressed diameter during installation in the joint.

### Neoprene .--

Neoprene shall conform to the requirements of ASTM Designation: C 542.

### **PART 3.- EXECUTION**

## APPLICATION.--

General.--Unless otherwise shown on the plans, sealants shall be applied in accordance with the manufacturer's instructions.

Silicone sealants shall not be used in locations where painting is required.

Butyl sealants shall not be used in exterior applications, and acrylic sealants shall not be used in interior applications.

Sealants shall be applied in a continuous operation for the full length of the joint. Immediately following the application of the sealant, the sealant shall be tooled smooth using a tool similar to that used to produce concave masonry joints. Following tooling, the sealant shall remain undisturbed for not less than 48 hours.

## **DIVISION 8. DOORS AND WINDOWS**

### 8.01 HINGED DOORS

**GENERAL.--**This work shall consist of furnishing and installing hinged doors and frames in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.**--Manufacturer's descriptive data shall be submitted for approval.

#### PRODUCTS.--

### Metal door .--

Metal door shall be flush, seamless steel door factory prepared and reinforced to receive hardware and having cold rolled stretcher leveled sheet steel face sheets not less than 1.2 mm thick (18-gage). Face sheets shall be bonded with thermosetting adhesive to rigid board honeycomb or precured foam core; or face sheets shall be welded to all parts of an assembled grid of cold formed pressed metal stiffeners and framing members located around edges, ends, openings and at all locations necessary to prevent buckling of face sheets. Seams shall be tack welded, filled and ground smooth. Bottom edge and internal stiffeners of grid type core shall have moisture vents. Welds on exposed surfaces shall be ground smooth. Louvered or glazed openings shall be provided where shown on the plans.

Active leaf of double door shall have a full height astragal of 3 mm flat bar or folded sheet strip, not less than 1.5 mm thick (16-gage), welded on the outside of the active leaf.

Door shall be cleaned and treated by the bonderized process or approved phosphatizing process and then given one factory application of metal protective rust inhibitive primer. Primer shall not contain lead type pigments.

# Door louvers .--

Door louvers shall be inverted V-type factory primed, galvanized sheet steel louvers. Exterior door louvers shall not be removable from outside of the building. Louvers at exterior doors shall have inside mounted bronze insect screens.

Louvers shall be cleaned and treated by the bonderized process or approved phosphatizing process and then given one factory application of metal protective rust inhibitive primer. Primer shall not contain lead type pigments.

## Pressed metal frame.--

Pressed metal frame shall be not less than 1.5 mm thick (16-gage) sheet steel with integral stop, mitered corners, face welded and ground smooth corners. Frames shall be reinforced for all hardware and shall be cleaned and treated by the bonderized process or an approved phosphatizing process and then given one factory application of metal protective rust inhibitive primer. Primer shall not contain lead type pigments.

### Sealants.--

Sealants shall be ultraviolet and ozone resistant, gun grade polysulfide or polyurethane, multicomponent, Federal Specification: TT-S-227.

### **EXECUTION.--**

**INSTALLATION.--**Doors and frames shall be installed rigidly, securely, plumb and true and in such a manner that the doors operate freely without rubbing or binding. Clearance between frame and door shall be not more than 3 mm. The exterior frame shall be sealed weathertight.

Pressed metal frames shall be secured with clips and anchors as shown on the plans.

**PAINTING.--**Except for the primer application specified herein, doors and frames shall be cleaned, prepared and painted in accordance with the requirements specified under "Painting" in Division 9, "Finishes," of these special provisions.

# 8.02 FINISH HARDWARE

## **PART 1.- GENERAL**

### **SUMMARY.--**

This work shall consist of furnishing and installing hardware items for doors in accordance with the details shown on the plans and these special provisions.

Hardware assemblies shall comply with the disabled accessibility requirements indicated on the plans and specified in these special provisions.

### SUBMITTALS.—

Manufacturer's technical information and catalog cuts for each item of door hardware and a door hardware schedule shall be submitted for approval prior to installation.

Manufacturer's catalog cuts shall include catalog numbers, material, grade, type, size, function, design, quality and finish of hardware.

The door hardware schedule shall indicate the location and size of door opening, the door and frame material, and the size, style, finish and quantity of the hardware components required.

### FINISHES.—

Hardware shall be provided with standard US 26D metal plated finish.

### **KEYING INSTRUCTIONS.**—

New locks shall be compatible with the master key system of the existing facility and shall be keyed to the existing lock system in use.

Locks and cylinders shall be provided with six pin "O" cylinders and blank keys. Cylinders and blank keys shall be delivered to the Engineer for combinating of cylinders and cutting of keys.

The Contractor shall provide cylinders for use during construction. Construction cylinders shall remain in place until permanent cylinders are installed. Construction cylinders shall remain the property of the Contractor.

Key bows shall be stamped "State of California" and "Do Not Duplicate."

#### PART 2.- PRODUCTS.--

## GENERAL.—

Door hardware equal in material, grade, type, size, function, design, quality and manufacture to that specified herein may be submitted for approval.

## **Butt hinges.--**

Butt hinges shall be steel, 1 1/2-pair per door unless otherwise specified or shown on the plans. Nonremovable pins shall be provided at outswing exterior doors. Hinge size shall be 114 mm x 114 mm unless otherwise noted.

# Standard weight hinges shall be:

Hager	BB 1279
McKinney	TB 2714
Stanley	BB 179
or equal.	

#### Mortise lockset .--

Mortise lockset shall be steel case with 32 mm x 203 mm face plate and 70 mm backset. Door and frame preparation for mortise lockset shall conform to ANSI A115.1.

Lever operated lockset shall be:

Best	35H 6FW 15H
Falcon	LM521 DG
Schlage	L9453P x 06
or equal.	

## Flush bolts.--

Flush bolts shall be installed at the top and bottom of the inactive leaf of pairs of doors. Provide automatic bolts on UL rated pairs of doors.

Flush bolts for manual operation shall be:

Builders Brass	5020
Glynn Johnson	FB6
H.B. Ives	457
or equal.	

#### Door closers .--

Parallel arms for closers shall be installed at outswing exterior doors. Closers shall have sprayed finish to match other hardware on door.

Door closers shall be:

LCN	4040
Norton	85001
Dorma	7800
or equal.	

# Thresholds, rain drips, and door shoes .--

Thresholds, rain drips, and door shoes shall conform to the sizes and configurations shown on plans. Thresholds at door openings with accessibility requirements shall not exceed 13 mm in height.

Threshold, rain drip, and door shoe manufacturers shall be Pemko, Reese, Zero, or equal.

## Threshold bedding sealant.--

Threshold bedding sealant shall conform to Federal Specification: SS-C-153.

# Weatherstrip .--

Weatherstrip shall conform to the sizes and shapes shown on plans. Assemblies shall be UL listed and shall be provided where shown on the plans or as specified in these special provisions.

Weatherstrip manufacturers shall be Pemko, Reese, Zero, or equal.

### **PART 3.- EXECUTION**

DOORS AND FRAMES.--Doors and frames shall be set square and plumb and be properly prepared before the installation of hardware.

**INSTALLATION.**--Hardware items shall be accurately fitted, securely applied, and adjusted and lubricated in accordance with the manufacturer's instructions. Installation shall provide proper operation without bind or excessive play.

Hinges shall be installed at equal spacing with the center of the end hinges not more than 244 mm from the top and bottom of the door. Locksets shall be 1024 mm from the finished floor.

Thresholds shall be set in a continuous bed of sealant material.

Hardware, except hinges, shall be removed from surfaces to be painted before painting.

**DOOR HARDWARE GROUPS AND SCHEDULE.-**-Hardware groups specified herein shall correspond to those shown on the plans:

## **GROUP 1**

3-pair butt hinges
1 each lever operated mortise lockset
1 each flush bolt on inactive leaf
1 each door closer on active leaf
2 each door shoe with rain drip
1 each threshhold
2 each weatherstrip

## **DIVISION 9. FINISHES**

### 9.01 PAINTING

## **PART 1.- GENERAL**

**SUMMARY.--**This work shall consist of preparing surfaces to receive coatings, and furnishing and applying coatings, in accordance with the schedules and details shown on the plans, and these special provisions.

The coatings specified in this section are in addition to any factory finishes, shop priming, or surface treatment specified elsewhere in these special provisions.

SUBMITTALS.--Manufacturer's descriptive data, a materials list, and color samples shall be submitted for approval.

Product descriptive data shall include product description, manufacturer's recommendations for product mixing, thinning, tinting, handling, site environmental requirements, product application and drying time.

Materials list shall include manufacturer's name, trade name, and product numbers for each type coating to be applied.

Color samples shall be manufacturer's color cards, approximately 50 mm x 75 mm, for each color of coating shown on the plans. Color samples for stains shall be submitted on wood of the same species, color, and texture as the wood to receive the stain.

**REGULATORY REQUIREMENTS.-**-Coatings and applications shall conform to the rules for control of volatile organic compound emissions adopted by the air quality control district in the air basin in which the coatings are applied.

**SITE ENVIRONMENTAL REQUIREMENTS.-**-Coatings shall not be applied when the air temperature is below 10°C (20°C for varnishes) or when the relative humidity exceeds 75 percent.

The surface to be coated shall be maintained at a minimum temperature of 7°C for a period of 24 hours prior to, and 48 hours after the application of the coating. Heating facilities shall be provided when necessary.

Continuous ventilation shall be provided during application of the coatings.

A minimum lighting level of 865 lux, measured 1 m from the surface to be coated, shall be provided while surfaces are being prepared for coatings and during coating applications.

**DELIVERY, STORAGE, AND HANDLING.**—Products shall be delivered to the site in sealed, labeled containers and stored in a well ventilated area at an ambient air temperature of not less than 7°C. Container labeling shall include manufacturer's name, type of coating, trade name, color designation, drying time, and instructions for tinting, mixing, and thinning.

**MAINTENANCE STOCK.**—Upon completion of coating work, a full 3.8 liter container of each type and color of finish coat and stain used shall be delivered to the location at the project site designated by the Engineer. Containers shall be tightly sealed and labeled with color, texture, and room locations where used, in addition to the manufacturer's standard product label.

#### PART 2.- PRODUCTS

**GENERAL.-**The products shall be the best quality grade coatings of the specified types as regularly manufactured by nationally recognized paint and varnish manufacturers that have not less than 10 years experience in manufacturing paints and varnishes. Products that do not bear the manufacturer's identification as the best quality grade product shall not be used. Products for each coating system shall be by a single manufacturer and shall not contain lead type pigments.

Thinners, shellac, fillers, patching compounds, coloring tint, and other products required to achieve the specified finish shall be the manufacturer's best quality and shall be used as recommended.

### **PART 3.- EXECUTION**

**INSPECTION.--**Surfaces to be coated at the jobsite shall be approved by the Engineer prior to the application of coatings. The Contractor shall notify the Engineer at least 3 working days prior to the application of coatings.

**SURFACE PREPARATION.**—Surfaces scheduled to be coated shall be prepared in accordance with the following, except that the surfaces not specified herein shall be prepared as recommended by the coating manufacturer.

**GENERAL.**--Hardware, cover plates, light fixture trim, and similar items shall be removed prior to preparing surfaces for coating. Following the application of the finish coating, the removed items shall be reinstalled in their original locations.

**WOOD.**—Oil and grease shall be removed by solvent wash. Mildew shall be removed by mildew wash. Surfaces to be coated shall be cleaned of all dirt, excess material, or filler by hand cleaning. Smooth surfaced wood shall be sanded lightly.

A sealer composed of equal parts of shellac and alcohol shall be spot applied to knots, sap, pitch, tar, creosote, and other bleeding substances.

After the application of the prime coat, all nail holes, cracks, open joints, dents, scars, and surface irregularities shall be filled, hand cleaned, and spot primed to provide smooth surfaces for the application of finish coats.

**GALVANIZED METAL.-**Oils, grease, and fabrication lubricants shall be removed by solvent wash. Surfaces shall be cleaned of remaining surface treatments by hand cleaning. New surfaces shall be roughened by hand cleaning or light abrasive blasting.

Abraded or corroded areas shall be hand cleaned and spot coated with one coat of vinyl wash pretreatment. Abraded or corroded areas on new surfaces not scheduled to be painted shall be cleaned by solvent wash, hand cleaned, and given 2 spot applications of zinc rich paint.

**STEEL AND OTHER FERROUS METALS.-**Oils, grease, and fabrication lubricants shall be removed by solvent wash. Dirt, water soluble chemicals, and similar surface contamination shall be removed by detergent wash or steam cleaning. Mill scale and rust shall be removed by hand cleaning or abrasive blasting.

**SHOP PRIMED SURFACES.-**Dirt, oil, grease, or other surface contaminants shall be removed by water blasting, steam cleaning, or TSP wash. Minor surface imperfections shall be filled as required for new work. Mildew shall be removed by mildew wash. Chalking paint shall be removed by hand cleaning. The surfaces of existing hard or glossy coatings shall be abraded to dull the finish by hand cleaning or light abrasive blasting. Abrasive blasting shall not be used on wood or non-ferrous metal surfaces.

Chipped, peeling, blistered, or loose coatings shall be removed by hand cleaning, water blasting, or abrasive blasting. Bare areas shall be pretreated and primed as required for new work.

## **DEFINITIONS.--**

**DETERGENT WASH.**--Removal of dirt and water soluble chemicals by scrubbing with a solution of detergent and water, and removal of all solution and residues with clean water.

**HAND CLEANING.--**Removal of dirt, loose rust, mill scale, excess base material, filler, aluminum oxide, chalking paint, peeling paint, or paint which is not firmly bonded to the surfaces by using hand or powered wire brushes, hand scraping tools, power grinders, or sandpaper and removal of all loose particles and dust prior to coating.

**MILDEW WASH.-**-Removal of mildew by scrubbing with a solution of detergent, hypochlorite-type household bleach, and warm water, and removal of all solution and residues with clean water.

**ABRASIVE BLASTING.**—Removal of oil, grease, form release agents, paint, dirt, rust, mill scale, efflorescence, weak concrete, or laitance, by the use of airborne abrasives, and removal of loose particles, dust, and abrasives by blasting with clean air.

Abrasives shall be limited to clean dry sand, mineral grit, steel grit, or steel shot, and shall be graded to produce satisfactory results. Unwashed beach sand containing salt or silt shall not be used.

Abrasive blasting shall conform to the requirements of SSPC-SP6-85, Commercial Blast Cleaning, as defined in the Steel Structures Painting Council Manual.

Light abrasive blasting shall conform to the requirements of SSPC-SP7-85, Brush-Off Blast Cleaning, as defined in the Steel Structures Painting Council Manual.

**SOLVENT WASH.**--Removal of oil, grease, wax, dirt, or other foreign matter by using solvents, such as mineral spirits or xylol, or other approved cleaning compounds.

**STEAM CLEANING.**—Removal of oil, grease, dirt, rust, scale, or other foreign matter by using steam generated by commercial steam cleaning equipment, from a solution of water and steam cleaning compounds, and removal of all residues and cleaning compounds with clean water.

**TSP WASH.--**Removal of oil, grease, dirt, paint gloss, and other foreign matter by scrubbing with a solution of trisodium phosphate and warm water, and removal of all solution and residues with clean water.

**WATER BLASTING.**—High pressure, low volume water stream for removing dirt, light scale, chalking or peeling paint. Water blasting equipment shall produce not less than a 13 800 MPa minimum output pressure when used. Heated water shall not exceed 66°C. If a detergent solution is used, it shall be biodegradable and shall be removed from all surfaces with clean water.

**PROTECTION.**—The Contractor shall provide protective devices, such as tarps, screens or covers, as necessary to prevent damage to the work and to other property or persons from all cleaning and painting operations.

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at his expense and the original surface restored to the satisfaction of the Engineer.

# APPLICATION.--

**GENERAL.--**Coatings shall be applied in accordance with the printed instructions and at the application rates recommended by the manufacturer to achieve the dry film thickness specified in these special provisions.

Mixing, thinning and tinting shall conform to the manufacturer's printed instructions. Thinning will be allowed only when recommended by the manufacturer.

Coatings shall be applied only when surfaces are dry and properly prepared.

Cleaning and painting shall be scheduled so that dust and other contaminants from the cleaning process will not fall on wet, newly coated surfaces.

Materials required to be coated shall have coatings applied to all exposed surfaces, including the tops and bottoms of wood and metal doors, the insides of cabinets, and other surfaces not normally visible from eye level.

**APPLICATION SURFACE FINISH.-**-Each coat shall be applied to a uniform finish. Finished surfaces shall be free of surface deviations and imperfections such as skips, cloudiness, spotting, holidays, laps, brush marks, runs, sags, curtains, ropiness, improper cutting in, overspray, drips, ridges, waves, and variations in color and texture.

Each application of a multiple application finish system shall closely resemble the final color coat, except each application shall provide enough contrast in shade to distinguish the separate applications.

**WORK REQUIRED BETWEEN APPLICATIONS.**—Each application of material shall be cured in accordance with the coating manufacturer's recommendations before applying the succeeding coating. Enamels and clear finishes shall be lightly sanded, dusted, and wiped clean between applications.

Stain blocking primer shall be spot applied whenever stains bleed through the previous application of a coating.

**TIMING OF APPLICATIONS.**—The first application of the specified coating system shall be applied prior to any deterioration of the newly prepared surface. Metal surfaces shall be prepared and prime coated the same day that cleaning of bare metal is performed. Additional prime coats shall be applied as soon as drying time of the preceding coat permits.

Metal surfaces shall be prime coated within 12 hours of application of vinyl wash pretreatment.

Shellac sealer shall be allowed to dry at least 12 hours before applying the next coat.

Drying time between applications of water borne coatings shall be at least 12 hours.

**APPLICATION METHODS.**—Coatings shall be applied by brush, roller or spray. Rollers shall be of a type which do not leave a stippled texture in the paint film. Extension handles for rollers shall not be greater than 2 m in length.

If spray methods are used, surface deviations and imperfections such as, overspray, thickness deviations, lap marks, and orange peel shall be considered as evidence that the work is unsatisfactory and the Contractor shall apply the remainder of the coating by brush or roller, as approved by the Engineer.

#### DRY FILM THICKNESS .--

Vinyl wash pretreatment	0.007 mm to 0.13 mm, maximum.
Bituminous paint	0.1 mm, minimum.
Other primers, undercoats, sealers, and coatings	As recommended by the manufacturer.

**BACKPRIMING.**—The first application of the specified coating system shall be applied to all wood surfaces (face, back, edges, and ends) of wood materials that are not factory coated, immediately upon delivery to the project site, except surfaces of interior finish woodwork that adjoin concrete or masonry shall be coated with one application of alkyd exterior wood primer before installation.

All primed metal surfaces in contact with concrete or concrete block exterior walls shall be coated with a bituminous paint on those surfaces in contact with the wall.

**PATCHES IN PREVIOUSLY COATED SURFACES.**—Where patches are made on surfaces of previously coated walls or ceilings, the entire surface to corners on every side of the patch shall be coated with a minimum of one application of the finish coat.

**FINISHING MECHANICAL AND ELECTRICAL COMPONENTS.**—Shop primed mechanical and electrical components shall be finish coated in accordance with the coating system entitled, "Shop Primed Steel." Louvers, grilles, covers, and access panels on mechanical and electrical components shall be removed and coated separately.

Interior surfaces of air ducts which are visible through grilles or louvers shall be coated with one application of flat black enamel, to limit of the sight line.

Exposed conduit, piping, and other mechanical and electrical components visible in public areas shall be painted.

Both sides and all surfaces, including edges and back of wood mounting panels for electrical and telephone equipment shall be finish coated before installing equipment.

**CLEANING.-**-Upon completion of all operations, the coated surfaces shall be thoroughly cleaned of dust, dirt, grease, or other unsightly materials or substances.

Surfaces marred or damaged as a result of the Contractor's operations shall be repaired, at his expense, to match the condition of the surfaces prior to the beginning of the Contractor's operations.

**COATING SYSTEMS.--**The surfaces to be coated shall be as shown on the plans and as specified elsewhere in these special provisions. When a coating system is not shown or specified for a surface to be finish coated, the coating system to be used shall be as specified for the substrate material. The number of applications specified for each coating system listed herein is a minimum. Additional coats shall be applied if necessary to obtain a uniform color, texture, appearance, or required dry film thickness.

## SYSTEM 1- GALVANIZED METAL.--

1 pretreat coat: vinyl wash pretreatment 1 prime coat: galvanized metal primer

2 finish coats: acrylic, exterior enamel, semi-gloss

### SYSTEM 2- SHOP PRIMED STEEL.--

1 prime coat : red oxide ferrous metal primer 2 finish coats: alkyd, exterior enamel, semi-gloss

## SYSTEM 3- STEEL AND OTHER FERROUS METALS.--

2 prime coats: red oxide ferrous metal primer 2 finish coats: alkyd, exterior enamel, semi-gloss

## SYSTEM 4- WOOD, PAINTED.--

1 prime coat: alkyd, exterior wood primer

2 finish coats: acrylic, exterior enamel, semi-gloss

**COLOR SCHEDULE.--**Colors shall be as shown on the plans.

### **DIVISION 10. SPECIALTIES**

# 10.01 FIRE EXTINGUISHERS AND CABINETS

### PART 1.- GENERAL

## **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing fire extinguishers with cabinets in accordance with the details shown on the plans and these special provisions.

## REFERENCES .--

**General.-**-Fire Extinguishers shall conform to the requirements in California Code of Regulations, Title 19 Division 1, Chapter 3, "Portable Fire Extinguishers."

# SUBMITTALS.--

Product data.--Manufacturer's descriptive data and installation instructions shall be submitted for approval.

# QUALITY ASSURANCE.--

**Codes and standards.--**Fire extinguishers shall be Underwriters Laboratories or Factory Mutual Laboratories approved for the type, rating and classification of extinguisher specified.

## **PART 2.- PRODUCTS**

## **MANUFACTURER'S.--**

**Acceptable manufacturers,-**-Subject to contract compliance, manufacturers shall be J. L. Industries; Larsen's Manufacturing; Potter-Roemer; or equal.

### **COMPONENTS.--**

# Fire extinguisher .--

Fire extinguisher shall be fully charged, multi-purpose dry chemical type, with charge indicator, hose and nozzle, and attached service record tag. Fire extinguisher shall be of the capacity and type rating shown on the plans.

## Fire extinguisher cabinet .--

Fire extinguisher cabinet shall be factory fabricated, constructed of steel with a clear plastic panel in a steel door frame, and shall have a baked enamel finish. Color to be selected by the Engineer from the manufacturer's standard colors.

Fire extinguisher cabinet shall be surface mounted as shown on the plans.

## **PART 3.- EXECUTION**

### INSTALLATION.--

**General.**—Fire extinguishers shall be installed in locations and at mounting heights shown on the plans, or if not shown, at a height of 1220 mm from the finished floor to the top of the fire extinguisher.

Fire extinguisher mounting brackets and cabinets shall be attached to structure, square and plumb, in accordance with the manufacturer's recommendations.

# **IDENTIFICATION.--**

**Bracket-mounted.-**-Extinguishers shall be identified with red letter decals spelling "FIRE EXTINGUISHER" applied to wall surface. Letter size, style and location as selected by the Engineer.

Cabinet-mounted.--Extinguishers in cabinets shall be identified with letter spelling "FIRE EXTINGUISHER" applied to the cabinet door. Letter size, styles, and color shall be selected by the Engineer from manufacturer's standard arrangements.

### SERVICING .--

General.—Fire extinguishers shall be serviced, charged, and tagged not more than 5 days prior to contract acceptance.

### **DIVISION 11. EQUIPMENT**

# 11.01 HIGH PRESSURE WASHER (STATIONARY)

## **PART 1.- GENERAL**

## **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing a stationary high pressure washer and accessories in accordance with the details shown on the plans and these special provisions.

Natural gas piping and water piping shall be furnished and installed in accordance with the requirements specified under "Pipe, Fittings and Valves" in Division 15, "Mechanical," of these special provisions.

## SUBMITTALS.--

**Product data.-**-Manufacturer's descriptive data for high pressure washer shall be submitted for approval.

Manufacturer's descriptive data shall include a complete description, performance data and installation instructions for the materials and accessories specified herein.

#### **CLOSEOUT SUBMITTALS.--**

**Operation and maintenance manuals.-**-Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be in a bound manual form and shall be complete and adequate for the equipment installed. Inadequate or incomplete material shall be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

#### WARRANTY .--

**Warranties and guarantees.-**-Manufacturers warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

## **PART 2.- PRODUCTS**

### **MANUFACTURERS.--**

**Acceptable manufacturers.--**Subject to compliance with the requirements, products shall be Hotsy, Landa, Whitco, or equal.

## MANUFACTURED UNITS .--

### High pressure washer.--

High pressure washer shall be stationary type, electric motor driven, natural gas-fired, minimum 80 percent efficient, automatic operating type washer designed for continuous operation. The washer shall have a capacity of 15 liters per minute (LPM) of hot water solution heated to 49°C at 13 800 kPa. Maximum natural gas input shall be 129 kW. The heating coil shall have an inside diameter of not less than 12 mm. The unit shall be completely housed in a steel cabinet with parts shielded from spray or splash.

Washer shall be equipped with a remote start panel, consisting of "ON/OFF" pump motor and heater switches. Unit shall have a timer automatic shutdown system preset for 2 minutes.

The unit mounted control panel shall display temperature and pressure gauges and shall include the motor starter and the power disconnect breaker. The motor shall be 3.8 kW, other electrical ratings shall be as shown on the plans.

The unit shall be equipped with safety controls, safety valve, pressure unloader, vent stack and the following accessories: swivel cleaning gun with trigger control and 760 mm long extension; hot water hose with safety couplings, 15 meters in length; flat, round and wide type spray nozzles; water pump and regulator; wall mounted hose reel and wandholder; manual motor starter with motor overload protection and external manual reset button in a NEMA-1 enclosure.

### **ACCESSORIES.--**

## Drum dolly .--

Drum dolly shall have welded steel construction with a cross braced bottom and a 50 mm continuous perimeter lip, 4 ball bearing casters with steel or semi-steel wheels. Drum dolly shall be sized to match the liquid detergent drum with a minimum capacity of 450 kg.

# Vent stack.--

Vent stack shall be listed Class B. Vent stack shall include back draft diverter, fire stop spacer, ventilating thimble with drip cap and listed vent cap.

# Hose and gun reel .--

Hose and gun reel shall be heavy duty assembly of steel construction with connecting hose, locking automatic ratchet, guide rollers and heavy duty spring activated hose pickup. Hose and gun reel shall have bushings, swivels, ball stops, and sized for a 15 m delivery hose. The reel shall have a baked enamel finish. Manufacturers reel mounting brackets shall be supplied with reel.

# Soap/water mixing meter.--

The soap/water mixing meter shall be wall mounted, have a blend center consisting of; siphon breaker; kick-off spring; stainless steel enclosure; vinyl tubing; proportioner; ceramic weight; pipe plug; magnetic housing; push button; spring; spacer; plunger valve body; pipe to garden hose adapter; foot valve; inlet screen; and meter tip kit.

## Non-emulsifying soap.--

Non-emulsifying soap shall be a commercially formulated, concentrated liquid that removes surface dirt, road film, and bug residue from vehicle exteriors with minimal brushing when used in conjunction with a high pressure washer. The soap shall contain no solvents, caustics, acids or phosphates. It shall work with hot or cold water, rinse easily and leave no unsightly soap film or streaks. A drum containing 208 liters of the product shall be supplied by, or approved by the manufacturer of the oil/water separator. Product shall conform to the following:

Boiling point	>100°C
Specific Gravity	1.102
Solubility in water	100%
Evaporation rate	>1
pН	11-12

### Expansion anchors.--

Expansion anchors shall be ICBO approved, integral stud type or internally threaded type with independent stud complete with hex nut and cut washer.

## **PART 3.- EXECUTION**

#### INSTALLATION.--

**General.**—The high pressure washer shall be installed in accordance with the manufacturer's recommendations.

A reduced pressure backflow preventer shall be installed in the water line prior to the unit. Piping shall be installed to provide a minimum headroom clearance of 2.5 meters. Piping shall not be installed in travel areas at floor level.

Hose reel and wandholder shall be installed on the wall to hold both the hot water hose and the gun with extension. Location shall be approved by the Engineer. Hose reel and wandholder shall be attached to the wall with lag screws or expansion anchors.

Hose and gun reel assembly shall be attached to the wall with 6 mm (minimum) stud type expansion anchors. If hose and gun reel does not include brackets for the gun nozzle, wall brackets shall be installed at the Contractor's expense.

Factory fittings for mixing meter shall be installed on the 208 liter drum of non-emulsified soap and placed on the drum dolly. Soap and dolly shall be ready for use and placed in the equipment building or other location as designated by the Engineer.

## FIELD QUALITY CONTROL .--

**Testing.-**-Testing of the high pressure washer shall be conducted by the Contractor in the presence of the Engineer. The Contractor shall notify the Engineer in writing not less than 5 days prior to the time that testing is to be conducted.

## **DIVISIONS 12. THROUGH 14. (BLANK)**

## **DIVISION 15. MECHANICAL**

### 15.01 MECHANICAL WORK

### GENERAL.--

**Scope.**--This work shall consist of performing mechanical work in accordance with the details shown on the plans and these special provisions.

Mechanical work shall include furnishing all labor, materials, equipment and services required for providing, plumbing and natural gas distribution systems.

Earthwork, foundations, sheet metal, painting, electrical, and such other work incidental and necessary to the proper installation and operation of the mechanical work shall be in accordance with the requirements specified for similar type work elsewhere in these special provisions.

System layouts are generally diagrammatic and location of equipment is approximate. Exact routing of pipes, etc., and location of equipment is to be governed by structural conditions and obstructions. Equipment requiring maintenance and inspection is to be readily accessible.

### SUBMITTALS.--

**Product data.-**-A list of materials and equipment to be installed, manufacturer's descriptive data, and such other data as may be requested by the Engineer shall be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions for plumbing fixtures, and component layout shall be included where applicable.

Manufacturer's descriptive data shall be submitted for the following:

Pressure Washer Valves Back flow preventer

## **CLOSEOUT SUBMITTALS.--**

**Operation and maintenance manuals.**--Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be indexed and bound in a manual form and shall be complete and adequate for the equipment installed. Inadequate or incomplete material shall be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

Operation and maintenance manuals shall be submitted for the following equipment:

Pressure Washer

# **QUALITY ASSURANCE.--**

Codes and standards.--Mechanical work, including equipment, materials and installation, shall conform to the California Building Standards Code, Title 24, and to the California Code of Regulations, Title 8, Chapter 4, Division of Industrial Safety (DIS).

### WARRANTY .--

**Warranties and guarantees.**--Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

### 15.02 PIPE, FITTINGS AND VALVES

### **PART 1.- GENERAL**

## **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing pipes, fittings and valves in accordance with the details shown on the plans and these special provisions. Pipe, fittings and valves shall include such plumbing and piping accessories and appurtenances, not mentioned, that are required for the proper installation and operation of the plumbing and piping systems.

All piping insulation and associated material shall be in accordance with the requirements specified under "Mechanical Insulation," elsewhere in this Division 15.

The pipe sizes shown on the plans are nominal pipe size. No change in the pipe size shown on the plans shall be permitted without written permission from the Engineer.

The pipe and fitting classes and material descriptions shall be as specified herein. No change in class or description shall be permitted without written permission from the Engineer.

## **OUALITY ASSURANCE.--**

**Codes and standards.**—Pipe, fittings and valves shall be installed in accordance with the requirements in the latest edition of the Uniform Plumbing Code, the manufacturer's recommendations and the requirements specified herein.

### **PART 2.- PRODUCTS**

**MATERIALS.--**

## PIPE AND FITTINGS --

## **Class** Description

# A1.--

Schedule 40 galvanized steel pipe conforming to ASTM Designation: A 53, with 1040 kPa galvanized malleable iron banded screwed fittings and galvanized steel couplings. The weight of the zinc coating shall be not less than 90 percent of that specified in ASTM Designation: A 53.

### A2.--

Schedule 40 galvanized steel pipe conforming to ASTM Designation: A 53, with black cast iron recessed drainage fittings. For rainwater leaders, neoprene-gasket compression couplings, Smith Blair, Dresser, or equal, may be used. The weight of the zinc coating shall be not less than 90 percent of that specified in ASTM Designation: A 53.

## B2.--

Schedule 40 black steel pipe conforming to ASTM Designation: A 53, with 1040 kPa black malleable iron banded screwed fittings and black steel couplings.

Steel pipe coating, where required, shall be factory applied plastic. Pipe coating shall be Standard Pipe Protection, X-Tru-Coat (0.50 mm thick); Pipe Line Service Corporation, Republic; 3M Company, Scotchkote 205 (0.30 mm thick); or equal.

## H2.--

Type K hard copper tubing conforming to ASTM Designation: B 88, with wrought copper or cast bronze solder joint pressure fittings, stop type couplings and threaded adapters. Solder shall be lead-free.

#### H3.--

Type L hard copper tubing conforming to ASTM Designation: B 88, with wrought copper or cast bronze solder joint pressure fittings, stop type couplings and threaded adapters. Solder shall be lead-free.

#### P2.--

Polyvinyl chloride (PVC) plastic pipe and fittings conforming to ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 1380 kPa working pressure at 23°C, National Sanitation Foundation approved. Pipe shall have bell ends conforming to ASTM Designation: D 3139 with triple edge rubber sealing ring. For pipe sizes 50 mm diameter and smaller, plain end pipe with solvent welded fittings ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 1380 kPa may be used.

### P3.--

Polyvinyl chloride (PVC) standard weight pipe and fittings, Schedule 40, conforming to ASTM Designation: D 1785. Pipe shall meet or exceed requirements of National Sanitation Foundation Standard No. 14. Pipe shall have bell ends conforming to ASTM Designation: D 2672. For pipe sizes 75 mm and smaller, plain end pipe with solvent welded fittings conforming to ASTM Designation: D 2241, may be used.

## P4.--

Polyvinyl chloride (PVC) plastic pipe and fittings shall conform to AWWA Designation: C900, Class 150, Standard Dimension Ratio (SDR) 18. Pipe shall have bell end with a solid cross section elastomeric ring conforming to ASTM Designation: D 1869. Pipe shall be listed for fire protection.

## P5.--

Polyethylene plastic gas pipe and fittings conforming to ASTM Designation: D 1248 and D 2513 with Standard Dimension Ratio (SDR) 11, rated for 415 kPa working pressure at 23°C, socket type fittings, joined by heat fusion.

# P6.--

Polyvinyl chloride (PVC) natural gas pipe, Class 315, conforming to ASTM Designation: D 2513. Fittings shall be Schedule 40 conforming to ASTM Designation: D 2513, and shall be primed and glued. Primer shall conform to ASTM Designation: F656. Solvent cement shall conform to ASTM Designation: D2564. Approved adapters shall be used for transition to other pipe materials.

## Unions (for steel pipe).--

Unions (for steel pipe) shall be 1730 kPa, threaded malleable iron, ground joint, brass to iron seat, galvanized or black to match piping.

# Unions (for copper or brass pipe).--

Unions (for copper or brass pipe) shall be 1040 kPa cast bronze, ground joint, bronze to bronze seat with silver brazing threadless ends or 860 kPa cast brass, ground joint, brass to brass seat with threaded ends.

## Unions (for brass waste and flush pipes).--

Unions (for brass waste and flush pipes) shall be slip or flange joint unions with soft rubber or leather gaskets. Unions shall be placed on the fixture side of the traps.

## Insulating union .--

Insulating union or flange as applicable shall be suitable for the service on which used. Connections shall be constructed such that the 2 pipes being connected are completely insulated from each other with no metal to metal contact. Insulating couplings shall not be used. Insulating union shall be F. H. Maloney; Central Plastics; EPCO; or equal.

### VALVES .--

## Gate valve (65 mm and smaller).--

Gate valve (65 mm and smaller) shall be bronze body and trim, removable bonnet and non rising stem, Class 125 and same size as pipe in which installed. Gate valve shall be Crane, 438; Nibco Scott, T-113; Jenkins, 370; or equal.

Gate valve in nonferrous water piping systems may be solder joint type with bronze body and trim. Valve shall be Kitz, 59; Nibco Scott, S-113; Jenkins, 1240; or equal.

## Gate valve (75 mm and larger, above ground).--

Gate valve (75 mm and larger, above ground) shall be iron body with bronze trim, removable bonnet and non-rising stem, class 125 and same size as pipe in which installed. Gate valve shall be Crane, 461; Nibco Scott, F-619; Jenkins, 326; or equal.

## Gate valve (75 mm and larger, below ground).--

Gate valve (75 mm and larger, below ground) shall be AWWA double disc, hub or rubber ring type, removable bonnet and non-rising stem, equipped with operating nuts, 1380 kPa working pressure, and Tee handle wrench for each valve. Valve shall be Mueller, A-2380; American Valve, Model 28; or equal.

### Ball valve.--

Ball valve shall be two piece, minimum 2760 kPa WOG, bronze body and chrome plated or brass ball with full size port. Valve shall be Nibco Scott, T-580; Watts, B-6000; Kitz, 56; or equal.

#### Gas valve.--

Gas valve shall be natural gas service type, bronze body, quarter turn, flathead and rated for 860 kPa. Gas valve shall be Crane, American or equal.

## FAUCET.--

## Hose faucet .--

Hose faucet shall be compression type, angle pattern, wall flange at exterior locations, tee handle, 20 mm female thread with hose end, rough chrome or nickel plated finish for locations inside building, rough brass finish for others. Hose faucet shall be supplied with an integral or nonremovable threaded outlet vacuum breaker which meets the requirements of the American Society of Sanitary Engineering (ASSE) Standard: 1011. Hose faucet shall be Nibco, No. 63VB; Chicago, No. 13T; or equal.

## **MISCELLANEOUS ITEMS.--**

## Gas regulator .--

Gas regulator shall be listed as suitable for gas and equipped with full capacity relief valve, low pressure safety shutoff and weatherproof and insect proof vent for outside installation. Capacity shall be as shown on the plans. Gas regulator shall be Fisher; Reliance; Rockwell; or equal.

# **Backflow preventer.--**

Backflow preventer shall be factory assembled with 2 check valves, one pressure differential relief valve, 2 ball valves and 4 test cocks. Backflow preventers shall be of the approved type reduced pressure principle devices listed by the County of Los Angeles Department of Health Services, Cross-Connection and Water Pollution Control Section, 2525 Corporate Place, Monterey Park, California 91754, Telephone (213) 881-4140.

## Emergency eyewash and shower .--

Emergency eyewash and shower shall be separate drench shower and eye bath, 32 mm minimum, galvanized steel pipe stand with 229 mm floor mounting flange and equipped with 216 mm x 279 mm pictorial and worded emergency identification sign.

Shower head shall have a 254 mm diameter ABS plastic head with a stay-open ball valve operated by a rigid pullrod with triangular handle.

Eyewash shall have a 254 mm diameter stainless steel bowl, anti-surge heads and circular chrome plated spray ring to bathe the entire face, dust cover assembly, and a stay-open ball valve operated by a flag handle. Eyewash unit shall be mounted on the shower pipe stand.

# Pipe wrapping tape and primer .--

Pipe wrapping tape shall be pressure sensitive polyvinyl chloride or pressure sensitive polyethylene tape having nominal thickness of 0.50 mm. Wrapping tape shall be Polyken, 922; Manville, Trantex VID-20; Scotchrap, 51; or equal.

Pipe wrapping primer shall be compatible with the pipe wrapping tape used.

## Floor, wall, and ceiling plates .--

Floor, wall, and ceiling plates shall be chromium plated steel or plastic plates having screw or spring clamping devices and concealed hinges. Plates shall be sized to completely cover the hole.

#### Valve box .--

Valve box shall be precast high density concrete with polyethylene face and cast iron traffic rated cover marked "WATER," "GAS" or "CO-SS" as applicable. Extension shall be provided as required. Valve box shall be Christy, B3; Brooks Products Company, 3TL; Frazer, 3; or equal.

#### **PART 3.- EXECUTION**

## **INSTALLATION.--**

### INSTALLATION OF PIPES AND FITTINGS.--

Pipe and fittings.--Pipe and fittings shall be installed in accordance with the following designated uses:

Designated Use	Pipe and Fitting Class
Domestic water	H3 or A1
Domestic water underground	P2, P3, P4, A1 or H2
Natural gas, above ground	A1 or B2
Natural gas, underground	B2 (plastic coated), P5 or P6

**Installing piping.-**-Water piping shall be installed generally level, free of traps and bends, and arranged to conform to the building requirements.

Piping installed underground shall be tested as specified elsewhere in these special provisions before backfilling.

Piping shall not be run in floor fill, except as shown on the plans.

Piping shall be installed parallel to walls. All obstructions shall be cleared, headroom preserved and openings and passageways kept clear whether shown or not. Piping shall not interfere with other work.

Where pipes pass through exterior walls, a clear space around pipe shall be provided. Space shall be caulked water tight with silicone caulk.

Underground copper pipe shall have brazed joints. Underground plastic pipe shall be buried with No. 14 solid bare copper wire. Wire ends at pipe ends shall be brought up 200 mm and looped around pipe.

Gas piping shall not be installed under building concrete slabs or structure. An insulating connection and valve shall be installed above ground at each building supply.

Gas piping shall be pitched to equipment or to low point and provided with a 200 mm minimum dirt leg.

Plastic pipe used for natural gas shall be below grade outside of building only. Transition to Class B2 plastic coated shall be before meter, regulator, or building wall with approved metal to plastic transition fitting. PVC natural gas pipe shall be installed in accordance with International Association of Plumbing and Mechanical Officials (IAPMO) Standard: IS 10.

Forty-five degree bends shall be used where offsets are required in venting. Vent pipe headers shall be sloped to eliminate any water or condensation.

Vent piping shall extend a minimum of 200 mm above the roof.

Drainage pipe shall be run as straight as possible and shall have easy bends with long turns.

Wye fittings and 1/8 or 1/16 bends shall be used where possible. Long sweep bends and combination Wye and 1/8 bends may be used only for the connection of branch pipes to fixtures and on vertical runs of pipe.

**Pipe sleeves.-**-The Contractor shall provide sleeves, inserts and openings necessary for the installation of pipe, fittings and valves. Damage to surrounding surfaces shall be patched to match existing.

PVC pipe sleeves shall be provided where each pipe passes through concrete floors, footings, walls or ceilings. Inside diameter of sleeves shall be at least 20 mm larger than outside diameter of pipe. Sleeves shall be installed to provide at least 10 mm space all around pipe the full depth of concrete. Space between pipes and pipe sleeves shall be caulked watertight.

**Cutting pipe.-**-All pipe shall be cut straight and true and the ends shall be reamed to the full inside diameter of the pipe after cutting.

**Damaged pipe.**—Pipe that is cracked, bent or otherwise damaged shall be removed from the work.

**Pipe joints and connections.-**-Joints in threaded steel pipe shall be made with teflon tape or a pipe joint compound that is nonhardening and noncorrosive, placed on the pipe and not in the fittings.

The use of thread cement or caulking on threaded joints will not be permitted. Threaded joints shall be made tight. Long screw or other packed joints will not be permitted. Any leaky joints shall be remade with new material.

Cleaning and closing pipe.—The interior of all pipe shall be cleaned before installation. All openings shall be capped or plugged as soon as the pipe is installed to prevent the entrance of any materials. The caps or plugs shall remain in place until their removal is necessary for completion of the installation.

**Securing pipe.--**Pipe in the buildings shall be held in place by iron hangers, supports, pipe rests, anchors, sway braces, guides or other special hangers. Material for hangers and supports shall be compatible with the piping or neoprene isolators shall be used. Allowances shall be made for expansion and contraction. Steel pipe shall have hangers or supports every 3 m. Copper pipe 25 mm or smaller shall have hangers or supports every 2 m and sizes larger than 25 mm shall have hangers or supports every 3 m. Plastic pipe shall have hangers or supports every 1 m. Cast iron soil pipe with neoprene gaskets shall be supported at each joint. Vertical pipes shall be supported with clamps or straps. Horizontal and vertical piping shall be securely supported and braced to prevent swaying, sagging or flexing of joints.

**Hangers and supports.-**-Hangers and supports shall be selected to withstand all conditions of loading to which the piping and associated equipment may be subjected and within the manufacturer's load ratings. Hangers and supports shall be spaced and distributed so as to avoid load concentrations and to minimize the loading effect on the building structure.

Hangers and supports shall be sized to fit the outside diameter of pipe or pipe insulation. Hangers shall be removable from around pipe and shall have provisions for vertical adjustment after erection. Turnbuckles may be used.

Materials for holding pipe in place shall be compatible with piping material.

Wrapping and coating steel pipe.--Steel pipe buried in the ground shall be wrapped or shall be plastic coated as specified herein:

- 1. Wrapped steel pipe shall be thoroughly cleaned and primed as recommended by the tape manufacturer.
- 2. Tapes shall be tightly applied with 1/2 uniform lap, free from wrinkles and voids with approved wrapping machines and experienced operators to provide not less than 1.00 mm thickness.
- 3. Plastic coating on steel pipe shall be factory applied. Coating imperfections and damage shall be repaired to the satisfaction of the Engineer.
- 4. Field joints, fittings and valves for wrapped and plastic coated steel pipe shall be covered to provide continuous protection by puttying and double wrapping with 0.50 mm thick tape. Wrapping at joints shall extend a minimum of 150 mm over the adjacent pipe covering. Width of tape for wrapping fittings shall not exceed 50 mm. Adequate tension shall be applied so tape will conform closely to contours of fittings. Putty tape insulation compounds

approved by the Engineer shall be used to fill voids and provide a smooth even surface for the application of the tape wrap.

Wrapped or coated pipe, fittings, and filed joints shall be approved by the Engineer after assembly. Piping shall be placed on temporary blocks to allow for inspection. Deficiencies shall be repaired to the satisfaction of the Engineer before backfilling or closing in.

**Thrust blocks.--**Thrust blocks shall be formed by pouring concrete between pipe and trench wall. Thrust blocks shall be sized and so placed as to take all thrusts created by maximum internal water pressure.

Plastic pipe underground shall be provided with thrust blocks and clamps at changes in direction of piping, connections or branches from mains 50 mm and larger, and all capped connections.

**Union.**—Unions shall be installed where shown and at each threaded or soldered connection to equipment and tanks. Unions shall be located so piping can be easily disconnected for removal of equipment or tanks. Unions shall be omitted at compression stops.

**Insulating union and insulating connection.**—Insulating union and insulating connection shall be provided where shown and at the following locations:

- 1. In metallic water and gas service connections into each. Insulating connections shall be installed on the exterior of the building, above ground and after shut-off valve.
- 2. In water and gas service connections in ground at point where new metallic pipes connect to existing metallic pipes. Install valve box above insulating connection.
- 3. At points of connections of copper or steel water pipes to steel domestic water heaters and tanks.

**Bonding at insulating connections.**—Interior water piping and other interior piping that may be electrically energized and are connected with insulating connections shall be bonded in accordance with the National Electrical Code. Bonding shall all be coordinated with electrical work.

## INSTALLATION OF VALVES.--

**Exterior valves.**--Exterior valves located underground shall be installed in a valve box marked "Water." Extensions shall be provided as required.

### INSTALLATION OF MISCELLANEOUS ITEMS.--

**Gas appliance connection.-**-Gas valve and flexible connector shall be provided for gas piping at pressure washer. Appropriately rated gas cocks may be used in 15 mm gas pipe. Cock or valve shall be within one meter of the appliance.

**Gas regulator.-**-Gas regulator shall be installed complete with dirt leg, capped test tee, union, insulating union, gas valve and fittings.

**Backflow preventer.**—Backflow preventer assembly shall include a wye strainer, backflow preventer, fittings and pipe. Assembly components shall be the same size as the pipe in which they are installed unless otherwise shown on the plans.

Backflow preventer shall be installed a minimum of 300 mm above ground and shall be the same size as the pipe in which it is installed unless otherwise shown on the plans.

**Emergency eyewash and shower.-**-Emergency eyewash and shower shall be installed with a rigid bracket located 1.2 m above the floor. Bracket shall be minimum 1.52 mm (16-gage) steel and shall be braced to the wall.

Flushing completed systems.--All completed systems shall be flushed and blown out.

## FIELD QUALITY CONTROL .--

**Testing.**—The Contractor shall test piping at completion of roughing in, before backfilling, and at other times as directed by the Engineer.

The system shall be tested as a single unit, or in sections as approved by the Engineer. The Contractor shall furnish necessary materials, test pumps, instruments and labor and notify the Engineer at least 3 working days in advance of testing. After testing, the Contractor shall repair all leaks and retest to determine that leaks have been stopped. Surplus water shall be disposed of after testing as directed by the Engineer.

The Contractor shall take precautions to prevent joints from drawing while pipes and appurtenances are being tested. The Contractor shall repair damage to pipes and appurtenances or to other structures resulting from or caused by tests.

**General tests.-**-All piping shall be tested after assembly and prior to backfill, pipe wrapping, connecting fixtures, wrapping joints and covering the pipe. Systems shall show no loss in pressure or visible leaks.

The Contractor shall test systems according to the following schedule for a period of not less than 4 hours:

Test Schedule		
Piping System	Test Pressure	Test Media
Water	860 kPa	Water
Gas (except P6)	690 kPa	Air
Gas (P6)	350 kPa	Air

During testing of water systems, valves shall be closed and pipeline filled with water. Provisions shall be made for release of air.

Sanitary sewers shall be cleared of obstructions before testing for leakage. The pipe shall be proved clear of obstructions by pulling an appropriate size inflatable plug through the pipe. The plug shall be moved slowly through the pipe with a tag line. The Contractor shall remove or repair any obstructions or irregularities.

Sanitary sewer pipes shall be tested for leakage for a period of not less than 4 hours by filling with water to an elevation of 1.2 m above average invert of sewer or to top of manholes where less than 1.2 m deep. The system shall show no visible leaks. The sewer may be tested in sections with testing water progressively passed down the sewer as feasible. Water shall be released at a rate that will not create water hammer or surge in plugged sections of sewer.

**Testing backflow preventers.-**Backflow preventers installed by the Contractor shall be tested at the completion of the supply system installation for proper operation by a certified Backflow Preventer Tester.

The tester shall hold a valid certificate as a Backflow Preventer Tester from the county in which the device to be tested is located or, if the county does not have a certification program for Backflow Preventer Testers, the tester shall have a certificate from one of the following:

- 1. The American Water Works Association.
- 2. A county which has a certification program for Backflow Preventer Testers. The certification under which the tester has been certified shall be acceptable to the water purveyor and the local agency having jurisdiction.

Testing for proper operation shall conform to the procedures of the county in which the testing is being performed, or, if such procedures are not available in the county, such tests shall conform to the provisions in the latest edition of the Guidance Manual For Cross Connection Control Program, which is available from the California Department of Health Services, Division of Drinking Water and Environmental Management, 601 N 7th Street, P.O. Box 942732, Sacramento, CA 94234.

The Contractor shall notify the Engineer at least 5 days prior to testing backflow preventers. Such tests shall be satisfactorily completed after installation of the backflow preventer assemblies and before operation of the systems.

One copy of all test results for each backflow preventer shall be furnished to the Engineer.

Full compensation for providing the certified Backflow Preventer Tester and for testing the backflow preventers shall be considered as included in the lump sum price paid for building work and no additional compensation will be allowed therefor

### **DIVISION 16. ELECTRICAL**

### 16.01 ELECTRICAL WORK

# **PART 1.- GENERAL**

## **SUMMARY.--**

**Scope.**—This work shall consist of performing electrical work in accordance with the details shown on the plans and these special provisions.

Electrical work shall include furnishing all labor, materials, equipment and services required to construct and install the complete electrical system shown on the plans and the work of installing high pressure washer and controls specified elsewhere in these special provisions.

System layouts are generally diagrammatic and location of equipment is approximate. Exact routing of conduits and other facilities and location of equipment is to be governed by structural conditions and other obstructions, and shall be coordinated with the work of other trades. Equipment requiring maintenance and inspection shall be located where it is readily accessible for the performance of such maintenance and inspection.

**Related work.--**Earthwork, foundations, sheet metal, painting, mechanical and such other work incidental to and necessary for the proper installation and operation of the electrical work shall be done in accordance with the requirements specified for similar work elsewhere in these special provisions.

## QUALITY ASSURANCE.--

Codes and standards.--All work performed and materials installed shall be in accordance with the National Electrical Code; the California Building Standards Code, Title 24, Part 3, "California Electrical Code," and the California Code of Regulations, Title 8, Chapter 4, "Electrical Safety Orders," and all state ordinances.

**Warranties and guarantees.**--Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

### **TESTING.--**

After the electrical system installation work has been completed, the electrical system shall be tested in the presence of the Engineer to demonstrate that the electrical system functions properly. The Contractor shall make necessary repairs, replacements, adjustments and retests at his expense.

## 16.02 BASIC MATERIALS AND METHODS

### **PART 1.- GENERAL**

## **SUMMARY.--**

**Scope.**—This work shall consist of furnishing and installing conduits, conductors, fittings, and wiring devices in accordance with the details shown on the plans and these special provisions.

Conduits, conductors, fittings, and wiring devices shall include those accessories and appurtenances, not mentioned, that are required for the proper installation and operation of the electrical system.

### SUBMITTALS.--

**Product data.-**-A list of materials and equipment to be installed, manufacturer's descriptive data, and such other data as may be requested by the Engineer shall be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions for recessed junction and pull

boxes, and component layout shall be included where applicable. All control and power conductors on the shop drawings shall be identified with wire numbers.

### **PART 2.- PRODUCTS**

#### CONDUITS AND FITTINGS.--

## Rigid steel conduit and fittings .--

Rigid steel conduit shall be threaded, full weight rigid steel, hot-dip galvanized inside and outside with steel or malleable iron fittings. Fittings shall be threaded unless otherwise specified or shown on the plans.

Split or three-piece couplings shall be electroplated, malleable cast iron couplings.

Insulated grounding bushings shall be threaded malleable cast iron body with plastic insulated throat and steel, lay-in ground lug with compression screw.

Insulated metallic bushings shall be threaded malleable cast iron body with plastic insulated throat.

## Electrical metallic tubing (EMT) and fittings.--

Electrical metallic tubing shall be formed of cold rolled strip steel, electrical resistance welded continuously along the longitudinal seam with zinc coating outside and enamel or lacquer coating inside.

Couplings shall be electroplated, rain and concrete tight, gland compression type, steel body couplings with malleable iron nuts.

Connectors shall be electroplated, rain and concrete tight, gland compression type, steel body connectors with male hub, malleable iron nut and insulated plastic throat.

## Liquid tight flexible metallic conduit and fittings .--

Liquid tight flexible metallic conduit shall be fabricated in continuous length from galvanized sheet steel, spirally wound and formed to provide an interlocking design with an extruded polyvinyl chloride cover.

Fittings shall be electroplated, malleable cast iron body, with cap nut, grounding ferrule, and connector body with insulated throat.

## Rigid non-metallic conduit and fittings .--

Rigid non-metallic conduit shall be Schedule 40, high impact, nonconducting, self-extinguishing polyvinyl chloride (PVC) rigid non-metallic conduit for direct underground burial.

Couplings shall be PVC, socket type or thread on one end and socket type on the other end as required for the particular application.

Terminal adapters for adapting PVC conduit to boxes, threaded fittings, or metallic conduit system shall be PVC adapters with threads on one end and socket type on the other end.

### **CONDUCTORS.--**

### Conductors.--

Conductors shall be stranded copper wire.

Conductor insulation types unless otherwise shown or specified, shall be as follows:

- 1. Conductors shall be type XHHW-2 in wet and outdoor locations.
- 2. Conductors shall be type THHN in dry locations.

### Wire connections and devices .--

Wire connections and devices shall be pressure or compression type, except that connectors for No. 10 AWG and smaller conductors in dry locations may be preinsulated spring-pressure type.

## **ELECTRICAL BOXES.--**

## Outlet, device and junction boxes .--

Unless otherwise shown or specified, boxes shall be galvanized steel boxes with knock-outs and shall be the size and configuration best suited to the application indicated on the plans. Minimum size of outlet, receptacle, switch or junction boxes shall be 100 mm square by 40 mm deep, except that switch boxes for the installation of single switches and outlet boxes for flush-mounted light fixtures shall be 50 mm by 75 mm by 40 mm deep.

Cast metal boxes shall be cast iron boxes with threaded hubs and shall be of the size and configuration best suited to the application shown on the plans.

Unless otherwise shown or specified, surface-mounted boxes shall have galvanized steel covers with metal screws.

Weatherproof junction boxes shall have cast metal covers with gaskets.

Weatherproof switch and receptacle boxes shall be cast metal box and have gasketed covers with gasketed hinged flaps to cover switches and receptacles.

# Underground pull boxes.--

Pull boxes shall be high density reinforced concrete box with ultraviolet inhibitor polyethylene etched face anchored in concrete and fiberglass cover with hold down bolts. The polyethylene and fiberglass material shall be fire resistant and show no appreciable change in physical properties with exposure to the weather. No. 3 1/2 pull box shall be Brooks Products, No. 3 1/2; Christy Concrete Products, N9; or equal.

Traffic rated pull boxes shall be high density reinforced concrete box with steel cover with hold down bolts and bonding strap. Pull box and cover shall be designed for H20 loading. No. 3 1/2 pull box shall have inside dimensions of 270 mm by 440 mm a.

## RECEPTACLES AND SWITCHES.--

## Ground fault circuit interrupter receptacles, (GFCI).--

Ground fault circuit interrupter receptacles shall be NEMA Type 5-20R, feed-through type, ivory color, 3-wire, 20-ampere, 125-volt AC, grounding type, specification grade, duplex receptacle with ground fault interruption. Receptacle shall detect and trip at current leakage of 5 milliamperes and shall have front mounted test and reset buttons.

# Snap switches .--

Snap switches shall be 20-ampere, 120/277-volt AC, quiet type, specification grade, ivory color switch with silver cadmium alloy contacts. Switch shall be suitable for wiring with stranded conductors.

## MISCELLANEOUS MATERIALS.--

## Warning Tape.--

Warning tape shall be 100 mm wide and contain the printed warning "CAUTION ELECTRICAL CONDUIT" in bold 19 mm black letters at 760 mm intervals on bright orange or yellow background. The printed warning shall be non-erasable when submerged under water and resistant to insects, acids, alkali, and other corrosive elements in the soil. The tape shall have a tensile strength of not less than 70 kg per 100 mm wide strip and shall have a minimum elongation of 700 percent before breaking.

### Pull ropes.--

Pull ropes shall be nylon or polypropylene with a minimum tensile strength of 225 kg.

## Anchorage devices .--

Anchorage devices shall be corrosion resistant, toggle bolts, wood screws, bolts, machine screws, studs, expansion shields, and expansion anchors and inserts.

## Electrical supporting devices.--

Electrical supporting devices shall be one hole conduit clamps with clamp backs, hot-dipped galvanized, malleable cast iron.

Construction channel shall be 41 mm x 41 mm, 2.66 mm (12-gage) galvanized steel channel with 13 mm diameter bolt holes, 40 mm on center in the base of the channel.

### **PART 3.- EXECUTION**

### **INSTALLATION.--**

**Conduit, general.-**Rigid steel conduit shall be used unless otherwise shown on the plans or specified in these special provisions.

Electrical metallic tubing may be used in furred spaces and for exposed work indoors above the switch height.

Unless otherwise specified or shown on the plans, liquid-tight flexible metal conduit shall be used to connect high pressure washer and other equipment subject to vibration in wet locations.

Rigid non-metallic conduit shall be used at the locations shown on the plans for direct underground burial outside the building foundation.

**Conduit installation.-**Conduit trade sizes are shown on the plans. No deviation from the conduit size shown on the plans will be permitted without written permission from the Engineer.

Conduit shall be concealed unless otherwise shown on the plans.

Conduits shall be tightly covered and well protected during construction using metallic bushings and bushing "pennies" to seal open ends.

Rigid non-metallic conduit bends of 30 degrees or greater shall be factory-made long radius sweeps. Bends less than 30 degrees shall be made using an approved heat box.

A pull rope shall be installed in all empty conduits. At least one meter of pull rope shall be doubled back into the conduit at each termination.

Locations of conduit runs shall be planned in advance of the installation and coordinated with the ductwork, plumbing, ceiling and wall construction in the same areas and shall not unnecessarily cross other conduits or pipe, nor prevent removal of ceiling tiles or panels, nor block access to mechanical or electrical equipment.

Where practical, conduits shall be installed in groups in parallel, vertical or horizontal runs and at elevations that avoid unnecessary offsets.

Exposed conduit shall be installed parallel and at right angles to the building lines.

Conduits shall not be placed closer than 300 mm from a parallel hot water or steam pipe or 75 mm from such lines crossing perpendicular to the runs.

All raceway systems shall be secured to the building structures using specified fasteners, clamps and hangers.

Single conduit runs shall be supported by using one hole pipe clamps. Where run horizontally on walls in damp or wet locations, conduit shall be installed with "clamp backs" to space conduit off the surface.

Multiple conduit runs shall be supported with construction channel secured to the building structure. Conduits shall be fastened to construction channel with channel compatible pipe clamps.

Raceways of different types shall be joined using approved couplings or transition fittings.

All floor and wall penetrations shall be sealed water-tight.

Conduit terminations.--Rigid steel conduits shall be securely fastened to cabinets, boxes and gutters using 2 locknuts and specified insulating metallic bushing. Electrical metallic tubing shall be securely fastened to cabinets, boxes and gutters using specified connectors. Conduit terminations at exposed weatherproof enclosures and cast outlet boxes shall be made watertight using specified hubs.

Grounding bushings with bonding jumpers shall be installed on all type of conduits terminating at concentric knockouts and on all conduits containing service conductors, grounding electrode conductor, and conductors feeding separate buildings.

All future conduits terminated in underground pull boxes or exposed indoor and outdoor shall be provided with watertight conduit plugs.

Warning Tape.—Warning tape shall be placed over each conduit in a trench. Each warning tape shall be centered over the conduit and shall be placed over the 150 mm layer of sand covering the conduit as described elsewhere in these special provisions.

Conductor and cable installation.--Conductors shall not be installed in conduit until all work of any nature that may cause injury is completed. Care shall be taken in pulling conductors that insulation is not damaged. An approved non-petroleum base and insulating type pulling compound shall be used as needed.

All cables shall be installed and tested in accordance with manufacturer's recommendations.

Splices and joints shall be insulated with insulation equivalent to that of the conductor.

Provide 155 mm of slack at each outlet and device connection. If the outlet or device is not at the end of a run of wire, connection shall be made with correctly colored pigtails tapped to the runs with splices as specified herein.

Branch circuit conductors in load centers shall be neatly trained along a path from the breaker terminals to their exit point. The conductors shall have ample length to transverse the path without strain, but shall not be so long as to require coiling, doubling back, or cramming.

All pressure type connectors and lugs shall be retightened after the initial set.

Splices in underground pull boxes and similar locations shall be made watertight.

Junction boxes in furred or accessible ceiling spaces shall be identified with felt-tip pen denoting the circuits contained in the box.

Conductor identification.--The neutral and equipment grounding conductors shall be identified as follows:

Neutral conductor shall have a white or natural gray insulation except that conductors No. 4 and larger may be identified by distinctive white marker such as paint or white tape at each termination.

Equipment grounding conductor shall be bare or insulated. If insulated, equipment grounding conductors shall have green or green with one or more yellow stripes insulation over its entire length except that conductors No. 4 and larger may be permanently identified by distinctive green markers such as paint or green tape over its entire exposed insulation.

Feeder and branch circuit ungrounded conductors shall be color coded by continuously colored insulation, except conductors No. 6 AWG or larger may be color coded by colored tape at each connection and where accessible. Ungrounded conductor color coding shall be as follows:

SYSTEM	COLOR CODE
120/240V-Single phase	Black, blue
120/240V-Three phase	Black, orange, blue
120/208V-Three phase	Black, red, blue

Where more than one branch circuit enters or leaves a conduit, panel, gutter, or junction box, each conductor shall be identified by its load center and circuit number. All control conductors including control conductors of manufacturer supplied and field wired control devices shall be identified at each termination with the wire numbers shown on the plans, approved shop drawings, and as directed by the Engineer where deemed necessary. Identification shall be made with one of the following:

- Adhesive backed paper or cloth wrap-around markers with clear, heat shrinkable tubing sealed over either type of marker.
- 2. Self-laminating wrap around type, printable, transparent, permanent heat bonding type thermoplastic film markers.
- 3. Pre-printed, white, heat-shrinkable tubing.

**Outlet, device and junction box installation.-**Where exposed threaded steel conduits are connected to an outlet, device, or junction box below switch height, the box shall be a cast metal box. Unless otherwise shown on the plans or specified in these special provisions, all other boxes shall be sheet steel boxes. Weatherproof outlet, device and junction boxes shall have cast metal covers with gaskets. Unless otherwise shown on the plans or specified in these special provisions, all other boxes shall have standard galvanized covers.

All boxes shall finish flush with building walls, ceiling and floors except where exposed work is called for.

Raised device covers (plaster rings) shall be installed on all boxes concealed in concrete, masonry or stud walls.

No unused openings shall be left in any box. Knockout seals shall be installed as required to close openings.

Outlet, device, and junction boxes shall be installed at the locations and elevations shown on the plans or specified herein. Adjustments to locations may be made as required by structural conditions and to suit coordination requirements of other trades.

Boxes in stud walls and partitions shall not be mounted back to back. Through-wall boxes shall not be used.

Boxes installed in metal stud walls shall be equipped with brackets designed for attaching directly to the studs or shall be mounted on heavy gauge galvanized steel, snap-in box supports.

## **Underground pull box installation.**--Electrical pull box covers or lids shall be marked "ELECTRICAL."

The bottom of pull boxes shall be bedded in 155 mm of clean, crushed rock or gravel and shall be grouted with 40 mm thick grout prior to installation of conductors. Grout shall be sloped to a 25 mm PVC pipe drain hole. Conduit shall be sealed in place with grout.

Top of pull boxes shall be flush with surrounding grade or top of curb. In unpaved areas where pull box is not immediately adjacent to and protected by a concrete foundation, pole or other protective construction, the top of pull box shall be set at plus 30 mm above surrounding grade. Pull boxes shown on the plans in the vicinity of curbs shall be placed adjacent to the back of curb.

**Ground rod(s) installation.-**The ground rod(s) shall be driven vertically until the top is 155 mm above the surrounding surface. When vertical penetration of the ground rod cannot be obtained, an equivalent horizontal grounding system, approved by the Engineer, shall be installed.

**Anchorages.--**Hangers, brackets, conduit straps, supports, and electrical equipment shall be rigidly and securely fastened to surfaces by means of toggle bolts on hollow masonry; expansion shields and machine screws, or expansion anchors and studs or standard preset inserts on concrete or solid masonry; machine screws or bolts on metal surfaces; and wood or lag screws on wood construction.

Anchorage devices shall be installed in accordance with the anchorage manufacturer's recommendations.

**Mounting heights.-**-Electrical system components shall be mounted at the following mounting heights, unless otherwise shown on the plans. The mounting height dimensions shall be measured above the finished floor to the bottom of the device or component.

## 16.03 ELECTRICAL EQUIPMENT

## **PART 1.- GENERAL**

### **SUMMARY.--**

**Scope.**--This work shall consist of furnishing and installing load center and related accessories in accordance with the details shown on the plans and these special provisions.

**Related work.**—Anchorage devices shall be as specified under "Basic Materials and Methods" elsewhere in this Division 16.

## SUBMITTALS.--

**Product data.-**-A list of materials and equipment to be installed, manufacturer's descriptive data, and such other data as may be requested by the Engineer shall be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions, and component layout shall be included where applicable. All control and power conductors on the shop drawings shall be identified with wire numbers.

### **PART 2.- PRODUCTS**

#### PANELBOARDS.--

#### Panelboard W .--

Panelboard W shall be indoor type, surface-mounted, factory assembled, single-phase, 3-wire, 240-volt, AC load center with 50-ampere main breaker, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans.

#### Panelboard Y .--

Panelboard Y shall be indoor type, surface-mounted, factory assembled, 3-phase, 4-wire, 120/208-volt, AC load center with 40-ampere main breaker, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans.

### MISCELLANEOUS MATERIALS.--

## Nameplates .--

Nameplates shall be laminated phenolic plastic with white core and black front and back. Nameplate inscription shall be in capitals letters etched through the outer layer of the nameplate material.

## **PART 3.- EXECUTION**

### INSTALLATION.--

**Existing panelboards.**--Provide new circuit breakers, where required to match existing type unless otherwise shown on the plans. Provide mounting hardware, bus straps, and related materials for proper circuit breaker installation. Provide new typewritten circuit directory reflecting changes made under the Contract.

**Panelboard installation.**--Set cabinets plumb and symmetrical with building lines. Train interior wiring as specified under "Conductor and Cable Installation" in "Basic Materials and Methods" of these special provisions. Touch-up paint any marks, blemishes, or other finish damage suffered during installation. Replace cabinets, doors or trim exhibiting dents, bends, warps or poor fit which may impede ready access, security or integrity.

Mounting height shall be 1.67 meters to the highest circuit breaker handle, measured above the finished floor.

Where "Future" or "Space" is indicated on the plans, branch connectors, mounting brackets, and other hardware shall be furnished and installed for future breaker.

A typewritten directory under transparent protective cover shall be provided and set in metal frame inside each cabinet door. Directory panel designation for each circuit breaker shall include complete information concerning equipment controlled, including room number or area designated on the plans.

**Equipment identification.-**-Equipment shall be identified with nameplates fastened with self-tapping, cadmium-plated screws or nickel-plated bolts.

Nameplate inscriptions shall read as follows:

Item	Letter	Inscription
	height,	
	mm	
Panel W	8	PANEL W
		120/240V, 1-PHASE, 3-W
Panel Y	8	PANEL Y
		120/208V, 3-PHASE, 4-W
Pressure washer	8	PRESSURE WASHER
remote on/off switch		ON-OFF

## 16.04 LIGHTING

**GENERAL.-**This work shall consist of furnishing, installing and connecting all lighting equipment in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.-**-Manufacturer's descriptive information, photometric curves, catalog cuts, and installation instructions shall be submitted for approval.

## PRODUCTS .--

## Lighting fixture lamps.--

Lighting fixture lamps shall be type and size as shown on the plans. Lamps shall be General Electric, Phillips, Sylvania, or equal. Fluorescent lamps, unless otherwise noted, shall be 4100K tri-phosphor with a CRI of 70 or greater.

## Ballasts .--

All fixtures shall be equipped with high power factor ballasts suitable for the line voltage and for the type, size and number of lamps required by the fixture. Fluorescent ballasts shall be UL Listed, Class P and ETL Certified ballasts with sound rating A. Fluorescent ballasts shall be high-frequency electronic ballasts with power factor greater then 0.95, nominal ballast factor of 0.88 unless specified otherwise, total harmonic distortion less than 20 percent, crest factor less than or equal to 1.7, complying with ANSI C 62.41 Category A for surge protection, and FCC Part 18 for interference.

# Lighting fixtures.--

Lighting fixtures shall be as shown on the plans and as specified herein. Outdoor luminaires shall be listed and labeled "Fixture Suitable For Wet Locations."

### F1.--

Ceilingmounted fluorescent fixture with two 32-watts T8 lamps, electronic ballast and one-piece, clear acrylic, wrap-around diffuser. The fixture shall be Day Brite, Catalog No. SWN232; Lithonia, Catalog No. LB 232 120; or equal.

# MH1.--

Yoke or trunnion mounted, 175-watt, 120-volt, Metal halide, cutoff, luminaire with integral ballast. The luminaire shall be General Electric, Catalog No. PF-154 powerflood floodlight; Ruud Lighting, Catalog No MFS4 SERIES; or equal.

## H1.--

Outdoor, wall mounted, 70-watt, 120-volt high pressure sodium luminaire with integral ballast and photoelectric unit. The luminaire shall be Holophane, Catalog No. WL3K-070HP-12-GR-F1PS; Lithonia, Catalog No. TWP 70S 120; or equal.

#### Timer Switches.--

Timer switches shall be 20A, 120V AC spring wound mechanical timer with one hour range and without hold feature.

## **EXECUTION.--**

**LIGHTING FIXTURES.-**-Lighting fixtures shall be mounted securely in accordance with the manufacturer's recommendations. Mounting methods shall be suitable for the particular type of ceiling or support at each location.

The Contractor shall provide all supports, hangers, spacers, channels, fasteners and other hardware necessary to support the fixtures.

Fixtures shall be set at the mounting heights shown on the plans, except heights shown shall be adjusted to meet conditions.